

The Crossed Menagerie:  
an introduction to crossed gadgetry and cohomology in algebra and  
topology.

(Notes prepared for the XVI Encuentro Rioplatense de Álgebra y  
Geometría Algebraica, in Buenos Aires, 12-15 December 2006. )

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## Introduction

These notes were originally intended to supplement lectures given at the Buenos Aires meeting in December 2006, and have been extended to give a lot more background for a course in cohomology at Ottawa (Summer term 2007). They introduce some of the family of crossed algebraic gadgetry that have their origins in combinatorial group theory in the 1930s and '40s, then were pushed much further by Henry Whitehead in the papers on Combinatorial Homotopy, in particular, [103]. Since about 1970, more information and more examples have come to light, initially in the work of Ronnie Brown and Phil Higgins, (for which a useful central reference will be the forthcoming, [28]), in which crossed complexes were studied in depth. Explorations of crossed squares by Loday and Guin-Valery, [61, 75] and from about 1980 onwards indicated their relevance to many problems in algebra and algebraic geometry, as well as to algebraic topology have become clear. More recently in the guise of 2-groups, they have been appearing in parts of differential geometry, [21, 10] and have, via work of Breen and others, [17, 18, 19, 20], been of central importance for *non-Abelian cohomology*. This connection between the crossed menagerie and non-Abelian cohomology is almost as old as the crossed gadgetry itself, dating back to Dedecker's work in the 1960s, [47]. Yet the basic message of what they are, why they work, how they relate to other structures, and how the crossed menagerie works, still need repeating, especially in that setting of non-Abelian cohomology in all its bewildering beauty.

The original notes have been augmented by additional material, since the link with non-Abelian cohomology was worth pursuing in much more detail. These notes thus contain an introduction to the way 'crossed gadgetry' interacts with non-Abelian cohomology and areas such as topological and homotopical quantum field theory. This entails the inclusion of a fairly detailed introduction to torsors, gerbes etc. This is based in part on Larry Breen's beautiful Minneapolis notes, [20].

If this is the first time you have met this sort of material, then some words of warning and welcome are in order.

*There is much too much in these notes to digest in one go!*

There is probably a lot more than you will need in your continuing research. For instance, the material on torsors, etc., is probably best taken at a later sitting and the chapter 'Beyond 2-types' is not directly used until a lot later, so can be glanced at.

I have concentrated on the group theoretic and geometric aspects of cohomology, since the non-Abelian theory is better developed there, but it is easy to attack other topics such as Lie algebra cohomology, once the basic ideas of the group case have been mastered and applications in differential geometry do need the torsors, etc. I have emphasised approaches using crossed modules (of groups). Analogues of these gadgets do exist in the other settings (Lie algebras, etc.), and most of the ideas go across without too much pain. If handling a non-group based problem (e.g. with monoids or categories), then the internal categorical aspect - crossed module as internal category in groups - would replace the direct method used here. Moreover the group based theory has the advantage of being central to both algebraic and geometric applications.

The aim of the notes is not to give an exhaustive treatment of cohomology. That would be impossible. If at the end of reading the relevant sections the reader feels that they have some intuition on the *meaning* and *interpretation* of cohomology classes in their own area, and that they can more easily attack other aspects of cohomological and homotopical algebra by themselves, then the notes will have succeeded for them.

Although not 'self contained', I have tried to introduce topics such as sheaf theory as and when necessary, so as to give a natural development of the ideas. Some readers will already have been

introduced to these ideas and they need not read those sections in detail. Such sections are, I think, clearly indicated. They do not give all the details of those areas, of course. For a start, those details are not needed for the purposes of the notes, but the summaries do try to sketch in enough ‘intuition’ to make it reasonable clear, I hope, what the notes are talking about!

(This version is a shortened version of the notes. It does not contain the material on gerbes. It is still being revised. The full version will be made available later.)

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# Chapter 1

## Preliminaries

### 1.1 Groups and Groupoids

Before launching into crossed modules, we need a word on groupoids. By a groupoid, we mean a small category in which all morphisms are isomorphisms. (If you have not formally met categories then do not worry, the idea will come through without that specific formal knowledge, although a quick glance at Wikipedia for the definition of a category might be a good idea at some time soon. You do not need category theory as such at this stage.) These groupoids typically arise in three situations (i) symmetry objects of a fibred structure, (ii) equivalence relations, and (iii) group actions. It is worth noting that several of the initial applications of groups were thought of, by their discoverers, as being more naturally this type of groupoid structure.

For the first, assume we have a family of sets  $\{X_a : a \in A\}$ . Typically we have a function  $f : X \rightarrow A$  and  $X_a = f^{-1}a$  for  $a \in A$ . We form the symmetry groupoid of the family by taking the index set,  $A$ , as the set of objects of the groupoid,  $\mathcal{G}$ , and, if  $a, a' \in A$ , then  $\mathcal{G}(a, a')$ , the set of arrows in our symmetry groupoid from  $a$  to  $a'$ , is the set  $\text{Bijections}(X_a, X_{a'})$ . This  $\mathcal{G}$  will contain all the individual symmetry groups / permutation groups of the various  $X_a$ , but will also record comparison information between different  $X_a$ s.

Of course, any group is a groupoid with one object and if  $\mathcal{G}$  is any groupoid, we have, for each object  $a$  of  $\mathcal{G}$ , a group  $\mathcal{G}(a, a)$ , of arrows that start and end at  $a$ . This is the ‘automorphism group’,  $\text{aut}_{\mathcal{G}}(a)$ , of  $a$  within  $\mathcal{G}$ . It is also referred to as the vertex group of  $\mathcal{G}$  at  $a$ , and denoted  $\mathcal{G}(a)$ . This later viewpoint and notation emphasise more the combinatorial, graph-like side of  $\mathcal{G}$ ’s structure. Sometimes the notation  $G[1]$  may be used for  $\mathcal{G}$  as the process of regarding a group as a groupoid is a sort of ‘suspension’ or ‘shift’. It is one aspect of ‘categorification’, cf. Baez and Dolan, [9].

That combinatorial side is strongly represented in the second situation, equivalence relations. Suppose that  $R$  is an equivalence relation on a set  $X$ . Going back to basics,  $R$  is a subset of  $X \times X$  satisfying:

- (a) if  $a, b, c \in X$  and  $(a, b)$  and  $(b, c) \in R$ , then  $(a, c) \in R$ , i.e.  $R$  is transitive;
- (b) for all  $a \in X$ ,  $(a, a) \in R$ , alternatively the diagonal  $\Delta \subseteq R$ , i.e.  $R$  is reflexive;
- (c) if  $a, b \in X$  and  $(a, b) \in R$ , then  $(b, a) \in R$ , i.e.  $R$  is symmetric.

Two comments might be made here. The first is ‘everyone knows that!’, the second ‘that is not the usual order to put them in! Why?’

It is a well known, but often forgotten, fact that from  $R$ , you get a groupoid (which we will denote by  $\mathcal{R}$ ). The objects of  $\mathcal{R}$  are the elements of  $X$  and  $\mathcal{R}(a, b)$  is a singleton if  $(a, b) \in R$  and is empty otherwise. (There is really no need to label the single element of  $\mathcal{R}(a, b)$ , when this is non empty, but it is sometimes convenient to call it  $(a, b)$  at the risk of over using the ordered pair notation.) Now transitivity of  $R$  gives us a composition function: for  $a, b, c \in X$ ,

$$\circ : \mathcal{R}(a, b) \times \mathcal{R}(b, c) \rightarrow \mathcal{R}(a, c).$$

(Remember that a product of a set with the empty set is itself always empty, and that for any set, there is a unique function with domain  $\emptyset$  and codomain the set, so checking that this composition works nicely is slightly more subtle than you might at first think. This *is* important when handling the analogues of equivalence relations in other categories., then you cannot just write  $(a, b) \circ (b, c) = (a, c)$ , or similar, as ‘elements’ may not be obvious things to handle.) Of course this composition *is* associative, but if you have not seen the verification, it is important to think about it, looking for subtle points, especially concerning the empty set and empty function and how to do the proof without ‘elements’.

This composition makes  $\mathcal{R}$  into a category, since (a) gives the existence of identities for each object. ( $Id_a = (a, a)$  in ‘elementary’ notation.) Finally (c) shows that each  $(a, b)$  is invertible, so  $\mathcal{R}$  is a groupoid. (You now see why that order was the natural one for the axioms. You cannot prove that  $(a, a)$  is an identity until you have a composition, and similarly until you have identities, inverses do not make sense.) We may call  $\mathcal{R}$ , the groupoid of the equivalence relation  $R$ .

This shows how to think of  $R$  as a groupoid,  $\mathcal{R}$ . The automorphism groups,  $\mathcal{R}(a)$ , are all singletons as sets, so are trivial groups. Conversely any groupoid,  $\mathcal{G}$ , gives a diagram

$$Arr(\mathcal{G}) \begin{array}{c} \xrightarrow{s} \\ \xleftarrow{t} \\ \xleftarrow{i} \end{array} Ob(\mathcal{G})$$

with  $s =$  ‘source’,  $t =$  ‘target’. It thus gives a function

$$Arr(\mathcal{G}) \xrightarrow{(s,t)} Ob(\mathcal{G}) \times Ob(\mathcal{G}).$$

The image of this function is an equivalence relation as is easily checked. We will call this equivalence relation  $R$  for the moment. If  $\mathcal{G}$  is a groupoid such that each  $\mathcal{G}(a)$  is a trivial group, then each  $\mathcal{G}(a, b)$  has at most one element (check it), so  $(s, t)$  is a one-one function and it is then trivial to note that  $\mathcal{G}$  is isomorphic to the groupoid of the equivalence relation,  $R$ .

We have looked at this simple case in some detail as in applications of the basic ideas, especially in algebraic geometry, arguments using elements are quite tricky to give and the initial intuition coming from this set-based case can easily be forgotten.

The third situation, that of group actions, is also a common one in algebra and algebraic geometry. Equivalence relations often come from group actions. If  $G$  is a group and  $X$  is a  $G$ -set with (left)  $G$ -action

$$\begin{array}{ccc} G \times X & \longrightarrow & X \\ (g, x) & & g \cdot x \end{array}$$

then we get a groupoid  $Act_G(X)$  as follows:

- the objects of  $Act_G(X)$  are the elements of  $X$ ;

- if  $a, b, \in X$ ,

$$\mathcal{A}ct_G(X)(a, b) \cong \{g \mid g \cdot a = b\}.$$

An important word of caution is in order here. Logical complications can occur here if  $\mathcal{A}ct_G(X)(a, b)$  is set equal to  $\{g \mid g \cdot a = b\}$ , since then a  $g$  can occur in several different ‘hom-sets’. A good way to avoid this is to take

$$\mathcal{A}ct_G(X)(a, b) = \{(g, a) \mid g \cdot a = b\}.$$

This is a non-trivial change. It basically uses a disjoint union but although very simple it is fundamental in its implications. We could also do it by taking  $Arr_{\mathcal{G}}(X) = G \times X$  with source and target maps  $s(g, x) = x, t(g, x) = g \cdot x$ .

We have not discussed morphisms of groupoids. These are straightforward to define and to work with. Most of the concepts we will be handling in what follows exist in many-object, groupoid versions as well as single-object, group based ones. For simplicity we will often, but not always, give concepts in the group based form, and will leave the other many-object form ‘to the reader’. The conversion is usually not that difficult.

For more details on the theory of groupoids, the best two sources are Ronnie Brown’s book, [23] or Phil Higgins’ monograph, now reprinted as [62].

## 1.2 A very brief introduction to cohomology

Partially as a case study, at least initially, we will be looking at various constructions that relate to group cohomology. Later we will explore a more general type of (non-Abelian) cohomology, but that is for later. To start with we will look at a simple group theoretic problem that will be used for motivation at several places in what follows. Much of what is in books on group cohomology is the Abelian theory, whilst we will be looking more at the non-Abelian one. If you have not met cohomology at all, take a look at the Wikipedia entries for group cohomology. You may not understand everything but there are ideas there that will recur in what follows, and some terms that are described there or on linked entries, that will be needed later.

### 1.2.1 Extensions.

Given a group,  $G$  an extension of  $G$  by a group  $K$  is a group  $E$  with an epimorphism  $p : E \rightarrow G$  whose kernel is isomorphic to  $K$  (i.e. a short exact sequence of groups

$$\mathcal{E} : 1 \rightarrow K \rightarrow E \xrightarrow{p} G \rightarrow 1.$$

As we asked that  $K$  is isomorphic to  $Ker p$ , we could have different groups  $E$  perhaps fitting into this, yet they would still be essentially the same extension. We say two extensions,  $\mathcal{E}$  and  $\mathcal{E}'$ , are equivalent if there is an isomorphism between  $E$  and  $E'$  compatible with the other data. We can draw a diagram

$$\begin{array}{ccccccccc} \mathcal{E} & & 1 & \longrightarrow & K & \longrightarrow & E & \longrightarrow & G & \longrightarrow & 1 \\ & & & & \downarrow & & \downarrow & & \downarrow & & \\ & & & & = & & \cong & & = & & \\ & & & & \downarrow & & \downarrow & & \downarrow & & \\ \mathcal{E}' & & 1 & \longrightarrow & K & \longrightarrow & E' & \longrightarrow & G & \longrightarrow & 1 \end{array}$$

A typical situation might be that you have an unknown group  $E'$  that you suspect is really  $E$  (i.e. is isomorphic to  $E$ ). You find a known normal subgroup  $K$  of  $E$  is isomorphic to one in  $E'$  and

that the two quotient groups are isomorphic,

$$\begin{array}{ccccccccc}
 1 & \longrightarrow & K & \longrightarrow & E & \longrightarrow & G & \longrightarrow & 1 \\
 & & \cong \downarrow & & \downarrow ? & & \downarrow \cong & & \\
 1 & \longrightarrow & K' & \longrightarrow & E' & \longrightarrow & G' & \longrightarrow & 1
 \end{array}$$

(But always remember, isomorphisms compare snap shots of the two structures and once chosen can make things more ‘rigid’ than perhaps they really ‘naturally’ are. For instance, we might have  $G$  a cyclic group of order 5 generated by an element  $a$ , and  $G'$  one generated by  $b$ . ‘Naturally’ we choose an isomorphism  $\varphi : G \rightarrow G'$  to send  $a$  to  $b$ , but why? We could have sent  $a$  to any non-identity element of  $G'$  and need to be sure that this makes no difference. This is not just ‘attention to detail’. It can be very important. It stresses the importance of  $At(G)$ , the group of automorphisms of  $G$  in this sort of situation.)

A simple case to illustrate that the extension problem is a valid one is to consider  $K = C_3 = \langle a \mid a^3 \rangle$ ,  $G = C_2 = \langle b \mid b^2 \rangle$ .

We could take  $E = S_3$ , the symmetric group on three symbols, or alternatively  $D_3$  (also called  $D_6$  to really confuse things, but being the symmetry group of the triangle). This has a presentation  $\langle a, b \mid a^3, b^2, (ab)^2 \rangle$ . But what about  $C_6 = \langle c \mid c^6 \rangle$ ? This has a subgroup  $\{1, c^2, c^4\}$  isomorphic to  $K$  and the quotient is isomorphic to  $G$ . Of course,  $S_3$  is non-Abelian, whilst  $C_6$  is. The presentation of  $C_6$  needs adjusting to see just how similar the two situations are. This group also has a presentation  $\langle a, b \mid a^3, b^2, aba^{-1}b \rangle$ , since we can deduce  $aba^{-1}b = 1$  from  $[a, b] = 1$  and  $b^2 = 1$  where in terms of the old generator  $c$ ,  $a = c^2$  and  $b = c^3$ . So there is a presentation of  $C_3$  which just differs by a small ‘twist’ from that of  $S_3$ .

How could one be sure if  $S_3$  and  $C_6$  are the ‘only’ groups (up to isomorphism) that we could put in that central position? Can we classify all the extensions of  $G$  by  $K$ ?

These extension problems were one of the impetuses for the development of a ‘cohomological’ approach to algebra, but they were not the only ones.

### 1.2.2 Invariants.

Another group theoretic input is via group representation theory and the theory of invariants. If  $G$  is a group of  $n \times n$  invertible matrices then one can use the simple but powerful tools of linear algebra to get good information on the elements of  $G$  and often one can tie this information in to some geometric context, say, by identifying elements of  $G$  as leaving invariant some polytope or pattern, so  $G$  acts as a subgroup of the group of the symmetries of that pattern or object.

If therefore we use the group  $Gl(n, \mathbb{K})$  of such invertible matrices over some field  $\mathbb{K}$ , then we could map an arbitrary  $G$  into it and attempt to glean information on elements of  $G$  from the corresponding matrices. We thus consider a group homomorphism

$$\rho : G \rightarrow Gl(n, \mathbb{K}),$$

then look for nice properties of the  $\rho(g)$ . of course,  $\rho$  need not be a monomorphism and then we will loose information in the process, but in any case such a morphism will make  $G$  act (linearly) on the vector space  $\mathbb{K}^n$ . We could, more generally, replace  $\mathbb{K}$  by a general commutative ring  $R$ , in particular we could use the ring of integers,  $\mathbb{Z}$ , and then replace  $\mathbb{K}^n$  by a general *module*,  $M$ , over  $R$ . If  $R = \mathbb{Z}$ , then this is just an Abelian group. (If you have not formally met modules look up a

definition. The theory feels very like that of vector spaces to start with at least, but as elements in  $R$  need not have inverses, care needs to be taken - you cannot cancel or divide in general, so  $rx = ry$  does not imply  $x = y$ ! Having looked up a definition, for most of the time you can think of modules as being vector spaces or Abelian groups and you will not be far wrong. We will shortly but briefly mention modules over a group algebra  $R[G]$  and that ring is not commutative, but again the complications that this does cause will not worry us at all.)

We can thus 'represent'  $G$  by mapping it into the automorphism group of  $M$ . This gives  $M$  the structure of a  $G$ -module. We look for invariants of the action of  $G$  on  $M$  - what are they? Suppose that  $G$  is some group of symmetries of some geometric figure or pattern, that we will call  $X$ , in  $\mathbb{R}^n$ , then for each  $g \in G$ ,  $gX = X$ , since  $g$  acts by pushing the pattern around back onto itself. An invariant of  $G$ , considered as acting on  $M$ , or, to put it more neatly, of the  $G$ -module,  $M$ , is an element  $m$  in  $M$  such that  $g.m = m$  for all  $g \in G$ . These form a submodule

$$M^G = \{m \mid gm = m \text{ for all } g \in G\}.$$

Clearly it will help in our understanding of the structure of  $G$  if we can calculate and analyse these modules of invariants. Now suppose we are looking at a submodule  $N$  of  $M$ , then  $N^G$  is a submodule of  $M^G$  and we can hope to start finding invariants, perhaps by looking at such submodules and the corresponding quotient modules,  $M/N$ . We have a short exact sequence

$$0 \rightarrow N \rightarrow M \rightarrow M/N \rightarrow 0,$$

but, although applying the (functorial) operation  $(-)^G$  does yield

$$0 \rightarrow N^G \rightarrow M^G \rightarrow (M/N)^G,$$

the last map need not be onto so we may not get a short exact sequence and hence a nice simple way of finding invariants!

**Example** Try  $G = C_2 = \{1, a\}$ ,  $M = \mathbb{Z}$  the Abelian group of integers, with  $G$  action  $a.n = -n$ , and  $N = 2\mathbb{Z}$ , the subgroup of even integers, with the same  $G$  action. Now calculate the invariant modules  $M^G$  and  $N^G$ ; they are both trivial, but  $M/N \cong \mathbb{Z}_2$ , and ... what is  $(M/N)^G$  for this example?

The way of studying this in general is to try to continue the exact sequence further to the right in some universal and natural way (via the theory of derived functors). This is what cohomology does. We can get a long exact sequence,

$$0 \rightarrow N^G \rightarrow M^G \rightarrow (M/N)^G \rightarrow H^1(G, N) \rightarrow H^1(G, M) \rightarrow H^1(G, M/N) \rightarrow H^2(G, N) \rightarrow \dots$$

But what are these  $H^k(G, M)$  and how does one get at them for calculation and interpretation? In fact what is cohomology in general?

Its origins lie within Algebraic Topology as well as in Group Theory and that area provides some useful intuitions to get us started, before asking how to form group cohomology.

### 1.2.3 Homology and Cohomology of spaces.

Naively homology and cohomology give methods for measuring the holes in a space, holes of different dimensions yield generators in different (co)homology groups. The idea is easily seen for graphs and low dimensional simplicial complexes.

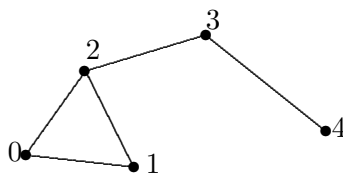
First we recall the definition of simplicial complex as we will need to be fairly precise about such objects and their role in relation to triangulations and related concepts.

**Definition.** A simplicial complex  $K$  is a set of objects,  $V(K)$ , called *vertices* and a set,  $S(K)$ , of finite non-empty subsets of  $V(K)$ , called *simplices*. The simplices satisfy the condition that if  $\sigma \in S(K)$  is a simplex and  $\tau \subset \sigma$ ,  $\tau \neq \emptyset$ , then  $\tau$  is also a simplex.

We say  $\tau$  is a *face* of  $\sigma$ . If  $\sigma \in S(K)$  has  $p + 1$  elements it is said to be a  $p$ -*simplex*. The set of  $p$ -simplices of  $K$  is denoted by  $K_p$ . The dimension of  $K$  is the largest  $p$  such that  $K_p$  is non-empty.

(We will sometimes use the notation  $\mathcal{P}(X)$  for the power set of a set  $X$ , i.e. the set of subsets of  $X$ .)

When thinking about simplicial complexes, it is important to have a picture in our minds of a triangulated space (probably a surface or similar, a wireframe as in computer graphics). The simplices are the triangles, tetrahedra, etc., and are determined by their sets of vertices. Not every set of vertices need be a simplex, but if a set of vertices does correspond to a simplex then all its non-empty subsets do as well, as they give the faces of that simplex. Here is an example:



Here  $V(K) = \{0, 1, 2, 3, 4\}$  and  $S(K)$  consists of  $\{0, 1, 2\}$ ,  $\{2, 3\}$ ,  $\{3, 4\}$  and all the non-empty subsets of these. Note the triangle  $\{0, 1, 2\}$  is intended to be solid, (but I did not work out how to do it on the system I was using!)

Simplicial complexes are a natural combinatorial generalisation of (undirected) graphs. They not only have vertices and edges joining them, but also possible higher dimensional simplices relating paths in that low dimensional graph. It is often convenient to put a (total) order on the set  $V(K)$  of vertices of a simplicial complex as this allows each simplex to be specified as a list  $\sigma = \langle v_0, v_1, \dots, v_n \rangle$  with  $v_0 < v_1 < \dots < v_n$ , instead of as merely a set  $\{v_0, v_1, \dots, v_n\}$  of vertices. This, in turn, allows us to talk, unambiguously, of the  $k^{\text{th}}$  face of such a simplex, being the list with  $v_k$  omitted, so the zeroth face is  $\langle v_1, \dots, v_n \rangle$ , the first is  $\langle v_0, v_2, \dots, v_n \rangle$  and so on.

Given two simplicial complexes  $K, L$ , then a function on the vertex sets,  $f : V(K) \rightarrow V(L)$  is a *simplicial map* if it preserves simplices. (But that needs a bit of care to check out its exact meaning! ... for you to do. Look it up, or better try to see what the problem might be, try to resolve it your self and then look it up! )

### 1.2.4 Betti numbers and Homology

One of the first sorts of invariant considered in what was to become Algebraic Topology was the family of Betti numbers. Given a simple shape, the most obvious piece of information to note would be the number of ‘pieces’ it is made up of, or more precisely, the number of *components*. The idea is very well known, at least for graphs, and as simplicial complexes are closely related to graphs, we will briefly look at this case first.

For convenience we will assume the vertices  $V = V(\Gamma)$  of a given finite graph,  $\Gamma$ , are ordered, so for each edge  $e$  of  $\Gamma$ , we can assign a source  $s(e)$  and a target  $t(e)$  amongst the vertices. Two vertices  $v$  and  $w$  are said to be in the same component of  $\Gamma$  if there is a sequence of edges  $e_1, \dots, e_k$  of  $\Gamma$  joining them<sup>1</sup>. There are, of course, several ways of thinking about this, for instance, define a relation  $\sim$  on  $V$  by : for each  $e$ ,  $s(e) \sim t(e)$ . Extend  $\sim$  to an equivalence relation on  $V$  in the standard way, then  $v \sim w$  if and only if they are in the same component. The zeroth Betti number,  $\beta_0(\Gamma)$ , is the number of components of  $\Gamma$ .

The first Betti number,  $\beta_1(\Gamma)$ , somewhat similarly, counts the number of cycles of  $\Gamma$ . We have ordered the vertices of  $\Gamma$ , so have effectively also directed its edges. If  $e$  is an edge, going from  $u$  to  $v$ , (so  $u < v$  in the order on  $\Gamma_0$ ), we write  $e$  also for the path going just along  $e$  and  $-e$  for that going backwards along it, then extend our notation so  $s(-e) = t(e) = v$ , etc. Adding in these ‘negative edges’ corresponds to the formation of the symmetric closure of  $\sim$ . For the transitive closure we need to concatenate these simple one-edge paths: if  $e'$  is an edge or a ‘negative edge’ from  $v$  to  $w$ , we write  $e + e'$  for the path going along  $e$  then  $e'$ . Playing algebraically with  $s$  and  $t$  and making them respect addition, we get a ‘pseudo-calculation’ for their difference  $\partial = t - s$ :

$$\partial(e + e') = t(e + e') - s(e + e') = t(e) + t(e') - s(e) - s(e') = t(e') - s(e) = u - w,$$

since  $t(e) = v = s(e')$ . In other words, defined in a suitable way, we would get that  $\partial$ , equal to ‘target minus source’, applies nicely to paths as well as edges, so that, for instance, two vertices would be related in the transitive closure of  $\sim$  if there was a ‘formal sum’ of edges that mapped down to their ‘difference’. We say ‘formal sum’ as this is just what it is. We will need ‘negative vertices’ as well as ‘negative edges’.

We set this up more formally as follows: Let

$C_0(\Gamma)$  = the set of formal sums,  $\sum_{v \in \Gamma_0} a_v v$  with  $a_v \in \mathbb{Z}$ , the additive group of integers, (an alternative form is to take  $a_v \in \mathbb{R}$ ;

$C_1(\Gamma)$  = the set of formal sums,  $\sum_{e \in \Gamma_1} b_e e$  with  $b_e \in \mathbb{Z}$ ,

where  $\Gamma_1$  denotes the set of edges of  $\Gamma$ , and  $\partial : C_1(\Gamma) \rightarrow C_0(\Gamma)$  defined by extending additively the mapping given on the edges by  $\partial = t - s$ .

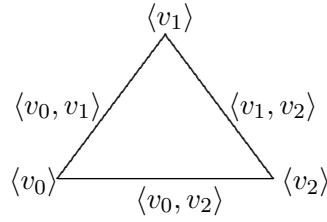
The task of determining components is thus reduced to calculating when integer vectors differ by the image of one in  $C_1(\Gamma)$ . The Betti number  $\beta_0(\Gamma)$  is just the *rank* of the quotient  $C_0(\Gamma)/Im(\partial)$ , that is, the number of free generators of this commutative group. This would be exactly the dimension of this ‘vector space’ if we had allowed real coefficients in our formal sums not just integer ones.

Having reformulated components and  $\sim$  in an algebraic way, we immediately get a pay-off in our determination of cycles. A cycle is a path which starts and ends at the same vertex; a path is being modelled by an element in  $C_1(\Gamma)$ , so a cycle is an element  $x$  in  $C_1(\Gamma)$  satisfying  $\partial(x) = 0$ . With this we have  $\beta_1(\Gamma) = rank(Ker(\partial))$ , a similar formulation to that for  $\beta_0$ . The similarity is even more striking if we replace the graph  $\Gamma$  by a simplicial complex  $K$ . We can then define in general and in any dimension  $p$ ,  $C_p(K)$  to be the commutative group of all formal sums  $\sum_{\sigma \in K_p} a_\sigma \sigma$ .

We next need to get an analogue of the  $\partial = t - s$  formula. We want this to correspond to the boundary of the objects to which it is applied. For instance, if  $\sigma$  was the triangle / 2-simplex,  $\langle v_0, v_1, v_2 \rangle$ , we would want  $\partial\sigma$  to be  $\langle v_1, v_2 \rangle + \langle v_0, v_1 \rangle - \langle v_0, v_2 \rangle$ , since going (clockwise) around the triangle, that cycle will be traced out:

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<sup>1</sup>In fact here, the ordering we have assumed on the vertices complicates the exposition a little but it is useful later on so will stick with it here.



If we write, in general,  $d_i\sigma$  for the  $i^{\text{th}}$  face of a  $p$ -simplex  $\sigma = \langle v_0, \dots, v_p \rangle$ , then in this 2-dimensional example  $\partial\sigma = d_0\sigma - d_1\sigma + d_2\sigma$ , changing the order for later convenience. This is the sum of the faces with weighting  $(-1)^i$  given to  $d_i\sigma$ . This is consistent with  $\partial = t - s$  in the lower dimension as  $t = d_0$  and  $s = d_1$ . We can thus suggest that

$$\partial = \partial_p : C_p(K) \rightarrow C_{p-1}(K)$$

be defined on  $p$ -simplices by

$$\partial_p\sigma = \sum_{i=0}^p (-1)^i d_i\sigma,$$

and then extended additively to all of  $C_p(K)$ .

As an example of what this does, look at a square  $K$ , with vertices  $v_0, v_1, v_2, v_3$ , edges  $\langle v_i, v_{i+1} \rangle$  for  $i = 0, 1, 2$  and  $\langle v_0, v_2 \rangle$ , and 2-simplices  $\sigma_1 = \langle v_0, v_1, v_2 \rangle$  and  $\sigma_2 = \langle v_0, v_2, v_3 \rangle$ . As the square has these two 2-simplices, we can think of it as being represented by  $\sigma_1 + \sigma_2$  in  $C_2(K)$ , then  $\partial(\sigma_1 + \sigma_2) = \langle v_0, v_1 \rangle + \langle v_1, v_2 \rangle + \langle v_2, v_3 \rangle - \langle v_0, v_3 \rangle$ , as the two occurrences of the diagonal  $\langle v_0, v_2 \rangle$  cancel out as they have opposite sign, and this is the path around the actual boundary of the square.

It is important to note that the boundary of a boundary is always trivial, that is, the composite mapping

$$C_p(K) \xrightarrow{\partial_p} C_{p-1}(K) \xrightarrow{\partial_{p-1}} C_{p-2}(K)$$

is the mapping sending everything to  $0 \in C_{p-1}(K)$ .

The idea of the higher Betti numbers,  $\beta_p(K)$  is that they measure the number of  $p$ -dimensional ‘holes’ in  $K$ . Imagine we have a tunnel-shaped hole through a space  $K$ , then we would have a cycle around the hole at one end of the tunnel and another around the hole at the other end. If we merely count cycles then we will get at least two such coming from this hole, but these cycles are linked as there is the cylindrical hole itself and that gives a 2 dimensional element with boundary the difference of the two cycles. In general a  $p$ -cycle will be an element  $x$  of  $C_p(K)$  with trivial boundary, i.e., such that  $\partial x = 0$ , and we say that two  $p$ -cycles  $x$  and  $x'$  are *homologous* if there is an element  $y$  in  $C_{p+1}(K)$  such that  $\partial y = x - x'$ . The ‘holes’ correspond to classes of homologous cycles as in our tunnel.

The number of ‘independent’ cycle classes in the various dimensions give the corresponding Betti number. Using some algebra this is easier to define rigorously, but at the same time the geometric insights from the vaguer description are important to try to retain. (They are not always put in a central enough position in textbooks!) This algebraic approach identifies  $\beta_p(K)$  as the (torsion free) rank of a certain commutative group formed as follows: the  $p^{\text{th}}$  homology group of



$K$  is defined to be the quotient:

$$H_p(K) = \frac{\text{Ker}(\partial_p : C_p(K) \rightarrow C_{p-1}(K))}{\text{Im}(\partial_p : C_{p+1}(K) \rightarrow C_p(K))},$$

and then  $\beta_p(K) = \text{rank}(H_p(K))$

Thus far we have from  $K$  built a sequence of modules  $C(K)_n$ , generated by the  $n$ -simplices of  $K$  and with homomorphisms  $\partial_p : C_p(K) \rightarrow C_{p-1}(K)$  satisfying  $\partial_{p-1}\partial_p = 0$ . (We abstract this structure calling it a *chain complex*. We will look at in more detail at several places later in these notes.)

**Exercises.** Try to investigate this homology in some very simple situations perhaps including some of the following:

- (a)  $V(K) = \{0, 1, 2, 3\}$ ,  $S(K) = \mathcal{P}(V(K)) \setminus \{\emptyset, \{0, 1, 2, 3\}\}$ . This is an empty tetrahedron so one expects one 3-dimensional hole, i.e.  $\beta_3(K) = 1$  but the others are zero.
- (b)  $\Delta[2]$  is the (full) triangle and  $\partial\Delta[2]$  its boundary, so is an empty triangle. Find the homology of  $\partial\Delta[2] \times \partial\Delta[2]$ , which is a triangulated torus.
- (c) Find the homology of  $\Delta[1] \times \partial\Delta[2]$ , which is a cylinder.

Note, it is up to you to find the meaning of product in this context. Remember the discussion of the square, above, which is, of course  $\Delta[1] \times \Delta[1]$ .

Often cohomology is more use than homology. Starting with  $K$  and a module  $M$  work out  $C^n(K, M) = \text{Hom}(C(K)_n, M)$ . Now the boundary maps increase (upper) degree by one. The cohomology is  $H^n(K, M) = \text{Ker } \partial^n / \text{Im } \partial^{n-1}$ . Again this measures ‘holes’ detectable by  $M$ ! What does that mean? The cohomology groups are better structured than the homology ones, but how are these invariants to be interpreted?

A simplicial map  $f : K \rightarrow L$  will induce a map on cohomology groups. Try it! We can equally well do this for chain or ‘cochain complexes’. There is a notion of chain map between chain complexes, say,  $\varphi : C \rightarrow D$  and such a map will induce maps on both homology and cohomology. Of special interest is when the induced maps are isomorphisms. The chain map is then called a *quasi-isomorphism*.

### 1.2.5 Interpretation

The question of interpretation is a very crucial question but rather than answering it now, we will return to the cohomology of groups. The terminology may seem a bit strange. Here we have been talking about measuring holes in a space, so how does that relate to groups. The idea is that one builds a space from a group in such a way as the properties of the space reflect those of the group in some sense. The simplest case of this is an Eilenberg-MacLane space,  $K(G, 1)$ . The defining property of such a space is that its fundamental group is  $G$  whilst all other homotopy groups are trivial. Eilenberg and MacLane showed that however such a space was constructed its cohomology could be got just from  $G$  itself and that cohomology was related with the extension problem and the invariant module problem. Their method was to build a chain complex that would copy the structure of the chain complex on the  $K(G, 1)$ . This chain complex, *the bar resolution*, was very important because although in the group case there was an alternative route via the topological space  $K(G, 1)$ , for many other types of algebraic system (Lie algebras, associative

algebras, commutative algebras, etc.), the analogous basic construction could be used, and in those contexts no space was available. Thus from  $G$ , we want to construct a nice chain complex directly. The construction is reasonably simple. It gives a natural way of getting a chain complex, but it does not exploit any particular features of the group so if the group is infinite, the modules will be infinitely generated, which will occupy us later, as we use insights from combinatorial group theory to construct smaller models for equivalent resolutions, and better still look at ‘crossed’ versions.

For the moment we just need the definition (adapted from the account given in Wikipedia):

### 1.2.6 The bar resolution

The input data is a group  $G$  and a module  $M$  with a left  $G$ -action (i.e. a left  $G$ -module).

For  $n \geq 0$ , we let  $C^n(G, M)$  be the group of all *functions* from the  $n$ -fold product  $G^n$  to  $M$ :

$$C^n(G, M) = \{\varphi : G^n \rightarrow M\}$$

This is an Abelian group; its elements are called the  $n$ -cochains. We further define group homomorphisms

$$\partial^n : C^n(G, M) \rightarrow C^{n+1}(G, M)$$

by

$$\begin{aligned} \partial^n(\varphi)(g_0, \dots, g_n) &= g_0 \cdot \varphi(g_1, \dots, g_n) \\ &\quad + \sum_{i=0}^{n-1} (-1)^{i+1} \varphi(g_0, \dots, g_{i-1}, g_i g_{i+1}, g_{i+2}, \dots, g_n) \\ &\quad + (-1)^{n+1} \varphi(g_0, \dots, g_{n-1}) \end{aligned}$$

These are known as the *coboundary homomorphisms*. The crucial thing to check here is  $\partial^{n+1} \circ \partial^n = 0$ , thus we have a chain complex and we can ‘compute’ its cohomology. For  $n \geq 0$ , define the group of  $n$ -cocycles as:

$$Z^n(G, M) = \text{Ker } \partial^n$$

and the group of  $n$ -coboundaries as

$$\begin{cases} B^0(G, M) = 0 \\ B^n(G, M) = \text{Im}(\partial^{n-1}) \quad n \geq 1 \end{cases}$$

and

$$H^n(G, M) = Z^n(G, M) / B^n(G, M).$$

Thinking about this topologically it is as if we had constructed a sort of space / simplicial complex  $K$  from  $G$  by taking  $K_n = G^n$ . We will see this idea several times later on. This cochain complex is often called the *bar resolution*. It exists in a normalised and a unnormalised form. This is the unnormalised one.

There are lots of properties that are easy to check here. Some will be suggested as exercises for you to do.

One further point is that this cohomology used a module, and so encodes ‘commutative’ or Abelian information. We will be also looking at the non-Abelian case.

Before we leave this introduction to cohomology, it should be mentioned that in the topological case, if we do not have a simplicial complex to start with, we either use the singular complex (see next section) which is a simplicial set and not a simplicial complex, but the theory extends easily enough, or we use open covers of the space to build a system of simplicial complexes approximating to the space. We will see this later as Čech cohomology. This is most powerful when the module  $M$  of coefficients is allowed to vary over the various points of the space. For this we will need the notion of sheaf, which will be discussed in some detail later.

## 1.3 Simplicial things in a category

### 1.3.1 Simplicial Sets

Simplicial objects are extremely useful. Simplicial sets extend ideas of simplicial complexes in a neat way. They combine a reasonably simple combinatorial definition with subtle algebraic properties. Their original construction was motivated in algebraic topology by the singular complex of a space.

If  $X$  is a topological space,  $Sing(X)$  denotes the collection of sets and mappings defined by

$$Sing(X)_n = Top(\Delta^n, X), \quad n \in \mathbb{N},$$

where  $\Delta^n$  is the usual topological  $n$ -simplex given, for example, by

$$\{\underline{x} \in \mathbb{R}^{n+1} \mid \sum x_i = 1; \text{ all } x_i \geq 0\}.$$

There are inclusion maps  $\delta_i : \Delta^{n-1} \rightarrow \Delta^n$  and ‘squashing’ maps  $\sigma_i : \Delta^{n+1} \rightarrow \Delta^n$  and these induce the face maps

$$d_i : Sing(X)_n \rightarrow Sing(X)_{n-1} \quad 0 \leq i \leq n,$$

and degeneracy maps

$$s_i : Sing(X)_n \rightarrow Sing(X)_{n+1} \quad 0 \leq i \leq n.$$

These satisfy the simplicial identities

$$\begin{aligned} d_i d_j &= d_{j-1} d_i && \text{if } i < j, \\ d_i s_j &= \begin{cases} s_{j-1} d_i & \text{if } i < j, \\ id & \text{if } i = j \text{ or } j + 1, \\ s_j d_{i-1} & \text{if } i > j + 1, \end{cases} \\ s_i s_j &= s_j s_{i-1} && \text{if } i > j. \end{aligned}$$

Generally this structure is abstracted to give a family of sets,  $\{K_n : n \geq 0\}$ , face maps  $d_i : K_n \rightarrow K_{n-1}$  and degeneracy maps,  $s_i : K_n \rightarrow K_{n+1}$ , satisfying these simplicial identities.

If  $\mathcal{C}$  is any category, a simplicial object in  $\mathcal{C}$  is given by a family of objects of  $\mathcal{C}$ ,  $\{K_n : n \geq 0\}$  and *morphisms*  $d_i$  and  $s_i$  as above. If  $\mathbf{\Delta}$  denotes the category of finite ordinal sets,  $[n] = \{0 < 1 < \dots < n\}$  and order preserving functions between them, then a simplicial object in  $\mathcal{C}$  is simply a functor,  $K : \mathbf{\Delta}^{op} \rightarrow \mathcal{C}$ , so the obvious definition of a simplicial map will be a natural transformation of functors,  $f : K \rightarrow L$ . This translates as a family of morphisms,  $f_n : K_n \rightarrow L_n$ , compatible in the obvious way with the  $d_i$  and  $s_i$ .

We denote the category of simplicial objects in  $\mathcal{C}$  by  $Simp(\mathcal{C})$ , but will shorten  $Simp(Sets)$  to  $\mathcal{S}$ .

The category  $\mathcal{S}$  models all homotopy types of spaces. It is a presheaf category, so is a topos and has a lot of nice structure including products, and mapping space objects  $\underline{\mathcal{S}}(K, L)$ , where

$$\underline{\mathcal{S}}(K, L)_n = \mathcal{S}(K \times \Delta[n], L).$$

Here  $\Delta[n] = \mathcal{S}(-, [n])$ , the standard simplicial  $n$ -simplex.

### Examples of simplicial sets.

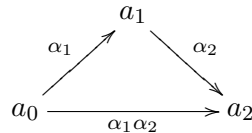
(i) If  $\mathcal{A}$  is a small category or a groupoid, we can form a simplicial set,  $Ner(\mathcal{A})$ , defined by  $Ner(\mathcal{A})_n = Cat([n], \mathcal{A})$ , with the obvious face and degeneracy maps induced by composition with the analogues of the  $\delta_i$  and  $\sigma_i$ . The simplicial set,  $Ner(\mathcal{A})$ , is called the *nerve of the category*  $\mathcal{A}$ . An  $n$ -simplex in  $Ner(\mathcal{A})$  is a sequence of  $n$  composable arrows in  $\mathcal{A}$ .

This is easier to understand in pictures:

$Ner(\mathcal{A})_0$  is the set of objects;

$Ner(\mathcal{A})_1$  is the set of arrows or morphisms;

$Ner(\mathcal{A})_2$  is the set of composable pairs of morphisms, so  $\sigma \in Ner(\mathcal{A})_2$  will be of form  $\sigma = (a_0 \xrightarrow{\alpha_1} a_1 \xrightarrow{\alpha_2} a_2)$ . Visualising this as a triangle shows the faces more clearly:



The case  $Ner(\mathcal{A})_n$  for  $n = 3$ , etc. are left to you. This *is* worth doing if you have not seen it before.

Note that in these contexts, we will usually use composition in the ‘left-to-right’ order, but in general categorical settings will use  $gf$  being first do  $f$  then  $g$ . To stick exclusively to one or the other is usually awkward, so we use both as appropriate.

If we have a group  $G$ , consider it as the one object groupoid  $G[1]$  as before, then  $Ner(G[1])$  is really the simplicial set corresponding to our construction of the bar resolution of  $G$ . It is called the *nerve of*  $G$ .

(ii) Suppose we have a simplicial complex  $K$ , then it almost is a simplicial set. There are some problems, but they are easily resolved. If we, a bit naïvely, set  $K_n$  to be the set of  $n$ -simplices of  $K$ , then how are we to define the face maps, and if  $K$  has no simplices in dimensions greater than  $n$  say,  $K_{n+1}$  will be empty so degeneracies cause problems as you cannot map from a non-empty set to an empty one!

That was too naïve, so we pick a partial order on the vertices of  $K$  such that any simplex is totally ordered, (for instance, a total order on  $V(K)$  does the job, but may not be convenient sometimes and so may be ‘overkill’). Now reset  $K_n$  to be the set of all ordered strings  $\sigma = \langle x_0, \dots, x_n \rangle$  of vertices, for which the underlying (unordered) set is a simplex of  $K$ . The degeneracies now can be handled simply. For example, if  $\sigma = \langle x_0, x_1 \rangle$  is a 1-simplex in this simplicial set, then  $s_0\sigma = \langle x_0, x_0, x_1 \rangle$ , whilst  $s_1\sigma = \langle x_0, x_1, x_1 \rangle$ . (The details are left to you to complete. Note we did not specify how to define the face maps, so you need to do that as well and to verify that it all fits together neatly.)

If you want to learn more about simplicial set theory, the old paper of Curtis, [42] and Peter May's monograph, [81], are very readable. There is a fairly well behaved notion of homotopy in  $\mathcal{S}$ , and simplicial homotopy theory is the subject of many good books. A chatty introduction to it can be found in Kamps and Porter, [69], which, of course, is highly recommended!

The homotopy theory of simplicial sets yields a notion of weak equivalence. (This is similar to 'quasi-isomorphism' in the homotopy theory of chain complexes.) There are homotopy groups and  $f : K \rightarrow L$  is a weak equivalence if  $f$  induces isomorphisms on all homotopy groups. We will not need the detailed definition yet.

We next look at some simplicial algebraic gadgets, especially simplicial groups and simplicially enriched groupoids. We will concentrate on the first but must mention the second for completeness.

### 1.3.2 Simplicial Objects in Categories other than *Sets*

If  $\mathcal{A}$  is any category, we can form  $Simp.\mathcal{A} = \mathcal{A}^{\Delta^{op}}$ . (Sometimes we will use a variant notation:  $Simp(\mathcal{A})$ , as occasionally the first notation may be ambiguous.)

These categories often have a good notion of homotopy as briefly mentioned above; see also the discussion of simplicially enriched categories in [69]. Of particular use are:

(i) *Simp.Ab*, the category of simplicial Abelian groups. This is equivalent to the category of chain complexes by the Dold-Kan theorem, which we will mention in more detail later.

(ii) *Simp.Grps*, the category of simplicial groups. This 'models' all connected homotopy types, by Kan, [70] (cf., Curtis, [42]). There are adjoint functors  $G : \mathcal{S}_{conn} \rightarrow Simp.Grps$ ,  $\overline{W} : Simp.Grps \rightarrow \mathcal{S}_{conn}$ , with the two natural maps  $G\overline{W} \rightarrow Id$  and  $Id \rightarrow \overline{W}G$  being weak equivalences.

Results on simplicial groups by Carrasco, [35], generalise the Dold-Kan theorem to the non-Abelian case, (cf., Carrasco and Cegarra, [36]).

(iii) '*Simp.Grpds*': in 1984 Dwyer and Kan, [51], (and also Joyal and Tierney, and Duskin and van Osdol, cf., Nan Tie, [92, 93]) noted how to generalise the  $(G, \overline{W})$  adjoint pair to handle all simplicial sets, not just the connected ones. (Beware there are several important printing errors in the paper [51].) For this they used a special type of simplicial groupoid. Although the term used in [51] was exactly that, 'simplicial groupoid', this is really a misnomer and may give the wrong impression as not all simplicial objects in the category of groupoids are used. A probably better term would be 'simplicially enriched groupoid', although 'simplicial groupoid with discrete objects' is also used. We will denote this category by  $\mathcal{S} - Grpds$ .

*This category 'models' all homotopy types using a mix of algebra and combinatorial structure.* We will later describe both  $G$  and  $\overline{W}$  in some detail.

(iv) *Nerves of internal categories*: Suppose that  $\mathcal{D}$  is a category with finite limits and  $C$  is an internal category in  $\mathcal{D}$ . What does that mean? In our earlier discussion on groupoids, we had the diagram that looked a bit like

$$C_1 \begin{array}{c} \xrightarrow{s} \\ \xrightarrow{t} \\ \xleftarrow{i} \end{array} C_0 .$$

We complete this one stage to build in the set of composable pairs  $C_2 = C_1 \times_{C_0} C_1$  and the

multiplication/ composition map, which we denote here by  $m$ .

$$\begin{array}{ccccc}
 & \xrightarrow{p_1} & & \xrightarrow{s} & \\
 C_2 & \xrightarrow{m} & C_1 & \xrightarrow{t} & C_0 \\
 & \xrightarrow{p_2} & & \xleftarrow{i} & 
 \end{array}$$

We did this previously within the category of sets, but could do it equally well in  $\mathcal{D}$ . We should also mention an object  $C_3$  given by a ‘triple pullback’ which is useful when discussing the associativity of composition. This will give us the analogue of a small category but in which the object of objects and the object of arrows are both themselves objects of  $\mathcal{D}$  and the source target and composition maps are all morphisms in that category.

If one interprets this for  $\mathcal{D} = Sets$ , it becomes clear that this diagram that we seem to be building is part of the diagram specifying the nerve of the small category,  $C$ , with  $C_0$  the set of objects,  $C_1$  that of morphisms,  $C_2$  that of composable pairs and so on. (We have not specified the two degeneracies from  $C_1$  to  $C_2$  in the diagram, but this is merely because we left the details of the rules governing identities out of our earlier discussion.) This builds a simplicial object in  $\mathcal{D}$  as follows: take an  $n$ -fold pullback to get

$$C_n = \underbrace{C_1 \times_{C_0} C_1 \times_{C_0} C_1 \times_{C_0} \dots \times_{C_0} C_1}_n,$$

define face and degeneracies by the same sort of rules as in the set based nerve, that is, in dimension  $n$ ,  $d_0$  and  $d_n$  each leave out an end, whilst the  $d_i$  use the composition in the category to get a composite of two adjacent ‘arrows’, and the degeneracies are ‘insertion of identities’. (Working out how to do these morphisms in terms of diagrams is quite fun!) We thus get a simplicial object in  $\mathcal{D}$  called the *nerve of the internal category,  $C$* . We will use this in several situations later in a key way. In particular we will use the case  $\mathcal{D} = Grps$ .

### 1.3.3 The Moore complex and the homotopy groups of a simplicial group

Given a simplicial group  $G$ , the *Moore complex*,  $(NG, \partial)$ , of  $G$  is the chain complex defined by

$$NG_n = \bigcap_{i=1}^n Ker d_i^n$$

with  $\partial_n : NG_n \rightarrow NG_{n-1}$  induced from  $d_0^n$  by restriction. (Note there is no assumption that the  $NG_n$  are Abelian.

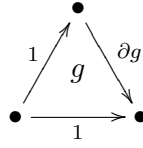
The  $n^{th}$  homotopy group,  $\pi_n(G)$ , of  $G$  is the  $n^{th}$  homology of the Moore complex of  $G$ , i.e.,

$$\begin{aligned}
 \pi_n(G) &\cong H_n(NG, \partial), \\
 &= \left( \bigcap_{i=0}^n Ker d_i^n \right) / d_{n+1}^{n+1} \left( \bigcap_{i=0}^n Ker d_i^{n+1} \right).
 \end{aligned}$$

(You should check that  $\partial NG_{n+1} \triangleleft NG_n$ .) The interpretation of  $NG$  and  $\pi_n(G)$  is as follows: for  $n = 1$ ,  $g \in NG_1$ ,

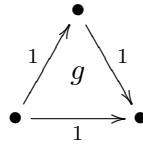
$$\partial g \bullet \xrightarrow{g} \bullet 1$$

and  $g \in NG_2$  looks like



and so on.

We note that  $g \in NG_2$  is in  $Ker \partial$  if it looks like



whilst it will give the trivial element of  $\pi_2(G)$  if there is a 3-simplex  $x$  with  $g$  on its third face and all other faces identity.

This simple interpretation of the elements of  $NG$  and  $\pi_n(G)$  will ‘pay off’ later by aiding interpretation of some of the elements in other situations.

**$n$ -equivalences and homotopy  $n$ -types** Let  $n \geq 0$ . A morphism  $f : G \rightarrow H$  of simplicial group(oid)s is an  $n$ -equivalence if the induced homomorphisms,  $\pi_k(f) : \pi_k(G) \rightarrow \pi_k(H)$  are isomorphisms for all  $k < n$ .

Inverting the  $n$ -equivalences in  $Simp.Grps$  gives a category  $Ho_n(Simp.Grps)$  and two simplicial groups have the same  $n$ -type if they are isomorphic in  $Ho_n(Simp.Grps)$ .

**Remark and warning:** For a space or simplicial set  $K$ ,  $\pi_k(K) \cong \pi_{k-1}(\mathcal{G}(K))$ , so these simplicial group  $n$ -types correspond to restrictions on  $\pi_k(K)$  for  $k \leq n$  in the spatial context.

To consider the application of this to homotopical and homological algebra, we will also need the following:

**Definition:** A simplicial group,  $G$ , is *augmented* by specifying a constant simplicial group  $K(G_{-1}, 0)$  and a surjective group homomorphism,  $f = d_0^0 : G_0 \rightarrow G_{-1}$  with  $fd_0^1 = fd_1^1 : G_1 \rightarrow G_{-1}$ . An augmentation of the simplicial group  $G$  is then a map

$$G \longrightarrow K(G_{-1}, 0),$$

where  $K(G_{-1}, 0)$  is the constant simplicial group with value  $G_{-1}$ . An augmented simplicial group,  $(G, f)$ , is *acyclic* if the corresponding complex is acyclic, i.e.,  $H_n(NG) \cong 1$  for  $n > 0$  and  $H_0(NG) \cong G_{-1}$ .

### 1.3.4 Kan complexes and Kan fibrations

Within the category of simplicial sets, there is an important subcategory determined by those objects that satisfy the Kan condition, that is the *Kan complexes*.

As before we set  $\Delta[n] = \Delta(-, [n]) \in \mathcal{S}$ , then, for each  $i$ ,  $0 \leq i \leq n$ , we can form, within  $\Delta[n]$ , a subsimplicial set,  $\Lambda^i[n]$ , called the  $(n, i)$ -horn or  $(n, i)$ -box, by discarding the top dimensional  $n$ -simplex (given by the identity map on  $[n]$ ) and its  $i^{th}$  face. We must also discard all the degeneracies of those simplices.

By an  $(n, i)$ -horn or *box* in a simplicial set  $K$ , we mean a simplicial map  $f : \Lambda^i[n] \rightarrow K$ . Such a simplicial map corresponds intuitively to a family of  $n$  simplices of dimension  $(n - 1)$ , fitting together to form a ‘funnel’ or ‘empty horn’ shaped subcomplex within  $K$ . The idea is that a Kan fibration of simplicial sets is a map in which the horns in the domain can be ‘filled’ if their images in the codomain can be. More formally:

**Definition:** A map  $p : E \rightarrow B$  is a *Kan fibration* if, for any  $n, i$  as above, given any  $(n, i)$ -horn in  $E$ , specified by a map  $f_1 : \Lambda^i[n] \rightarrow E$ , together with an  $n$ -simplex,  $f_0 : \Delta[n] \rightarrow B$ , such that

$$\begin{array}{ccc} \Lambda^i[n] & \xrightarrow{f_1} & E \\ \text{inc} \downarrow & & \downarrow p \\ \Delta[n] & \xrightarrow{f_0} & B \end{array}$$

commutes, then there is an  $f : \Delta[n] \rightarrow E$  such that  $pf = f_0$  and  $f \cdot \text{inc} = f_1$ , i.e.,  $f$  lifts  $f_0$  and extends  $f_1$ .

**Definition:** A simplicial set,  $K$ , is a *Kan complex* if the unique map  $K \rightarrow \Delta[0]$  is a Kan fibration. This is equivalent to saying that every horn in  $K$  has a filler, i.e., any  $f_1 : \Lambda^i[n] \rightarrow Y$  extends to an  $f : \Delta[n] \rightarrow Y$ .

Singular complexes,  $\text{Sing}(X)$ , and the simplicial mapping spaces,  $\text{Top}(X, Y)$ , are always Kan complexes. The nerve of a category,  $C$ , is a Kan complex if and only if the category is a groupoid. This is important as the filler structure involves compositions and inverses, so encodes the *algebraic* structure of  $C$ .

If  $G$  is a simplicial group, then its underlying simplicial set is a Kan complex. Moreover, given a box in  $G$ , there is an algorithm for filling it using products of degeneracy elements. A form of this algorithm is given below. More generally if  $f : G \rightarrow H$  is an epimorphism of simplicial groups, then the underlying map of simplicial sets is a Kan fibration.

The following description of the algorithm is adapted from May’s monograph, [81], page 67.

**Proposition 1** *Let  $G$  be a simplicial group, then every box has a filler.*

**Proof:** Let  $(y_0, \dots, y_{k-1}, -, y_{k+1}, \dots, y_n)$  give a horn in  $G_{n-1}$ , so the  $y_i$ s are  $(n - 1)$  simplices that fit together as if they were all but one, the  $k^{\text{th}}$  one, of the faces of an  $n$ -simplex. There are three cases:

- (i)  $k = 0$ : Let  $w_n = s_{n-1}y_n$  and then  $w_i = w_{i+1}(s_{i-1}d_iw_{i+1})^{-1}s_{i-1}y_i$  for  $i = n, \dots, 1$ , then  $w_1$  satisfies  $d_iw_1 = y_i$ ,  $i \neq 0$ ;
- (ii)  $0 < k < n$ : Let  $w_0 = s_0y_0$  and  $w_i = w_{i-1}(s_id_iw_{i-1})^{-1}s_iy_i$  for  $i = 0, \dots, k - 1$ , then take  $w_n = w_{k-1}(s_{n-1}d_nw_{k-1})^{-1}s_{n-1}y_n$ , and finally a downwards induction given by  $w_i = w_{i+1}(s_{i-1}d_iw_{i+1})^{-1}s_{i-1}y_i$ , for  $i = n, \dots, k + 1$ , then  $w_{k+1}$  gives  $d_iw_{k+1} = y_i$  for  $i \neq k$ ;
- (iii) the third case,  $k = n$  uses  $w_0 = s_0y_0$  and  $w_i = w_{i-1}(s_id_iw_{i-1})^{-1}s_iy_i$  for  $i = 0, \dots, n - 1$ , then  $w_{n-1}$  satisfies  $d_iw_{n-1} = y_i$ ,  $i \neq n$ . ■



## Chapter 2

# Crossed modules - definitions, examples and applications

We will give these for groups, although there are analogues for many other algebraic settings.

### 2.1 Crossed modules

**Definition:** A *crossed module*,  $(C, G, \delta)$ , consists of groups  $C$  and  $G$  with a left action of  $G$  on  $C$ , written  $(g, c) \rightarrow {}^g c$  for  $g \in G$ ,  $c \in C$ , and a group homomorphism  $\delta : C \rightarrow G$  satisfying the following conditions:

CM1) for all  $c \in C$  and  $g \in G$ ,

$$\delta({}^g c) = g\delta(c)g^{-1},$$

CM2) for all  $c_1, c_2 \in C$ ,

$$\delta({}^{c_2}c_1) = c_2c_1c_2^{-1}.$$

(CM2 is called the *Peiffer identity*.)

If  $(C, G, \delta)$  and  $(C', G', \delta')$  are crossed modules, a *morphism*,  $(\mu, \eta) : (C, G, \delta) \rightarrow (C', G', \delta')$ , of *crossed modules* consists of group homomorphisms  $\mu : C \rightarrow C'$  and  $\eta : G \rightarrow G'$  such that

$$(i) \delta'\mu = \eta\delta \quad \text{and} \quad (ii) \mu({}^g c) = {}^{\eta(g)}\mu(c) \text{ for all } c \in C, g \in G.$$

Crossed modules and their morphisms form a category, of course. It will usually be denoted  $CMod$ .

Several well known situations give rise to crossed modules. The verification is left to you.

#### 2.1.1 Algebraic examples of crossed modules

- (i) Let  $H$  be a normal subgroup of a group  $G$  with  $i : H \rightarrow G$  the inclusion, then we will say  $(H, G, i)$  is a *normal subgroup pair*. In this case, of course,  $G$  acts on the left of  $H$  by conjugation and the inclusion homomorphism  $i$  makes  $(H, G, i)$  into a crossed module. Conversely it is an easy exercise to prove

**Lemma 1** *If  $(C, G, \partial)$  is a crossed module,  $\partial C$  is a normal subgroup of  $G$ .* ■

- (ii) Suppose  $G$  is a group and  $M$  is a left  $G$ -module; let  $0 : M \rightarrow G$  be the trivial map sending everything in  $M$  to the identity element of  $G$ , then  $(M, G, 0)$  is a crossed module.

Again conversely:

**Lemma 2** *If  $(C, G, \partial)$  is a crossed module,  $K = \text{Ker } \partial$  is central in  $C$  and inherits a natural  $G$ -module structure from the  $G$ -action on  $C$ . Moreover,  $N = \partial C$  acts trivially on  $K$ , so  $K$  has a natural  $G/N$ -module structure. ■*

Again the proof is left as an exercise.

As these two examples suggest, general crossed modules lie between the two extremes of normal subgroups and modules, in some sense, just as groupoids lay between equivalence relations and  $G$ -sets. Their structure bears a certain resemblance to both - they are “external” normal subgroups but also are “twisted” modules.

- (iii) Let  $G$  be a group, then, as usual, let  $\text{Aut}(G)$ , denote the group of automorphisms of  $G$ . Conjugation gives a homomorphism

$$\partial : G \rightarrow \text{Aut}(G).$$

Of course,  $\text{Aut}(G)$  acts on  $G$  in the obvious way and  $\partial$  is a crossed module. We will need this later so will give it its own name:  $\text{Aut}(G)$ .

More generally if  $L$  is some type of algebra then  $U(L) \rightarrow \text{Aut}(L)$  will be a crossed module, where  $U(L)$  denotes the units of  $L$  and the morphism send a unit to the automorphism given by conjugation by it.

- (iv) We suppose given a morphism

$$\theta : M \rightarrow N$$

of left  $G$ -modules and form the semi-direct product  $N \rtimes G$ . This group we make act on  $M$  via the projection from  $N \rtimes G$  to  $G$ .

We define a morphism

$$\partial : M \rightarrow N \rtimes G$$

by  $\partial(m) = (\theta(m), 1)$ , where 1 denotes the identity element of  $G$ , then  $(M, N \rtimes G, \partial)$  is a crossed module. In particular, if  $A$  and  $B$  are Abelian groups, and  $B$  is considered to act trivially on  $A$ , then any homomorphism,  $A \rightarrow B$  is a crossed module.

- (v) As a last algebraic example for the moment, let

$$1 \rightarrow K \xrightarrow{a} E \xrightarrow{b} G \rightarrow 1$$

be an extension of groups with  $K$  a central subgroup of  $E$ , i.e. a *central extension* of  $G$  by  $K$ . For each  $g \in G$ , pick an element  $s(g) \in b^{-1}(g) \subseteq E$ . Define an action of  $G$  on  $E$  by: if  $x \in E$ ,  $g \in G$ , then

$${}^g x = s(g)x s(g)^{-1}.$$

This is well defined, since if  $s(g)$ ,  $s'(g)$  are two choices,  $s(g) = ks'(g)$  for some  $k \in K$ , and  $K$  is central. (This also shows that this *is* an action.) The structure  $(E, G, b)$  is a crossed module.

A particular important case is: for  $R$  a ring, let  $E(R)$  be, as before, the group of elementary matrices of  $R$ ,  $E(R) \subseteq Gl(R)$  and  $St(R)$ , the corresponding Steinberg group with  $b : St(R) \rightarrow E(R)$ , the natural morphism, (see later or [83], for the definition). Then this gives a central extension

$$1 \rightarrow K_2(R) \rightarrow St(R) \rightarrow E(R) \rightarrow 1$$

and thus a crossed module. In fact, more generally,

$$b : St(R) \rightarrow Gl(R)$$

is a crossed module. The group  $Gl(R)/Im(b)$  is  $K_1(R)$ , the first algebraic  $K$ -group of the ring.

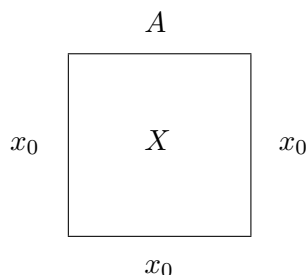
### 2.1.2 Topological Examples

In topology there are several examples that deserve looking at in detail as they do relate to aspects of the above algebraic cases. They require slightly more topological knowledge that has been assumed so far.

- (vi) Let  $X$  be a pointed space, with  $x_0 \in X$  as its base point, and  $A$  a subspace with  $x_0 \in A$ . Recall that the second relative homotopy group,  $\pi_2(X, A, x_0)$ , consists of relative homotopy classes of continuous maps

$$f : (I^2, \partial I^2, J) \rightarrow (X, A, x_0)$$

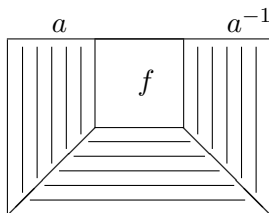
where  $\partial I^2$  is the boundary of  $I^2$ , the square,  $[0, 1] \times [0, 1]$ , and  $J = \{0, 1\} \times [0, 1] \cup [0, 1] \times \{0\}$ . Schematically  $f$  maps the square as:



so the top of the boundary goes to  $A$ , the rest to  $x_0$  and the whole thing to  $X$ . The relative homotopies considered then deform the maps in such a way as to preserve such structure, so intermediate mappings also send  $J$  to  $x_0$ , etc. Restriction of such an  $f$  to the top of the boundary clearly gives a homomorphism

$$\partial : \pi_2(X, A, x_0) \rightarrow \pi_1(A, x_0)$$

to the fundamental group of  $A$ , based at  $x_0$ . There is also an action of  $\pi_1(A, x_0)$  on  $\pi_2(X, A, x_0)$  given by rescaling the ‘square’ given by



where  $f$  is partially ‘enveloped’ in a region on which the mapping is behaving like  $a$ .

Of course, this gives a crossed module

$$\pi_2(X, A, x_0) \rightarrow \pi_1(A, x_0).$$

A direct proof is quite easy to give. One can be found in Hilton’s book, [63] or in Brown-Higgins-Sivera, [28]. Alternatively one can use the argument in the next example.

- (vii) Suppose  $F \xrightarrow{i} E \xrightarrow{p} B$  is a fibration sequence of pointed spaces. Thus  $p$  is a fibration,  $F = p^{-1}(b_0)$ , where  $b_0$  is the basepoint of  $B$ . The fibre  $F$  is pointed at  $f_0$ , say, and  $f_0$  is taken as the basepoint of  $E$  as well.

There is an induced map on fundamental groups

$$\pi_1(F) \xrightarrow{\pi_1(i)} \pi_1(E)$$

and if  $a$  is a loop in  $E$  based at  $f_0$ , and  $b$  a loop in  $F$  based at  $f_0$ , then the composite path corresponding to  $aba^{-1}$  is homotopic to one wholly within  $F$ . To see this, note that  $p(aba^{-1})$  is null homotopic. Pick a homotopy in  $B$  between it and the constant map, then lift that homotopy back up to  $E$  to one starting at  $aba^{-1}$ . This homotopy is the required one and its other end gives a well defined element  ${}^a b \in \pi_1(F)$  (abusing notation by confusing paths and their homotopy classes). With this action  $(\pi_1(F), \pi_1(E), \pi_1(i))$  is a crossed module. This will not be proved here, but is not that difficult. Links with previous examples are strong.

If we are in the context of the above example, consider the inclusion map,  $f$  of a subspace  $A$  into a space  $X$  (both pointed at  $x_0 \in A \subset X$ ). Form the corresponding fibration

$$i^f : M^f \rightarrow X$$

by forming the pullback

$$\begin{array}{ccc} M^f & \xrightarrow{\pi^f} & X^I \\ j^f \downarrow & & \downarrow e_0 \\ A & \xrightarrow{f} & X \end{array}$$

so  $M^f$  consists of pairs  $(a, \lambda)$ , where  $a \in A$  and  $\lambda$  is a path from  $f(a)$  to some point  $\lambda(1)$ . Set  $i^f = e_1 \pi^f$ , so  $i^f(a, \lambda) = \lambda(1)$ . It is standard that  $i^f$  is a fibration and its fibre is the subspace  $F_h(f) = \{(a, \lambda) \mid \lambda(1) = x_0\}$ , often called the homotopy fibre of  $f$ . The base point of  $F_h(f)$  is taken to be the constant path at  $x_0$ ,  $(x_0, c_{x_0})$ .

If we note that

$$\pi_1(F_h(f)) \cong \pi_2(X, A, x_0)$$

$$\pi_1(M^f) \cong \pi_1(A, x_0)$$

(even down to the descriptions of the actions, etc.), the link with the previous example becomes clear, and thus furnishes another proof of the statement there.

(viii) The link between fibrations and crossed modules can also be seen in the category of simplicial groups. A morphism  $f : G \rightarrow H$  of simplicial groups is a fibration if and only if each  $f_n$  is an epimorphism. This means that a fibration is determined by the fibre over the identity which is, of course, the kernel of  $f$ . The  $(G, \overline{W})$ -links between simplicial groups and simplicial sets mean that the analogue of  $\pi_1$  is  $\pi_0$ . Thus the fibration  $f$  corresponds to

$$\text{Ker } f \xrightarrow{\triangleleft} G$$

and each level of this is a crossed module by our earlier observations. Taking  $\pi_0$ , it is easy to check that

$$\pi_0(\text{Ker } f) \rightarrow \pi_0(G)$$

is a crossed module. In fact any crossed module is isomorphic to one of this form. (Proof left to the reader.)

If  $\mathcal{M} = (C, G, \partial)$  is a crossed module, then we sometimes write  $\pi_0(\mathcal{M}) := G/\partial C$ ,  $\pi_1(\mathcal{M}) := \text{Ker } \partial$ , and then have a 4-term exact sequence:

$$0 \rightarrow \pi_1(\mathcal{M}) \rightarrow C \xrightarrow{\partial} G \rightarrow \pi_0(\mathcal{M}) \rightarrow 1.$$

In topological situations when  $\mathcal{M}$  provides a model for (part of) the homotopy type of a space  $X$  or a pair  $(X, A)$ , then typically  $\pi_1(\mathcal{M}) \cong \pi_2(X)$ ,  $\pi_0(\mathcal{M}) \cong \pi_1(X)$ .

MacLane and Whitehead, [80], showed that crossed modules give algebraic models for all homotopy 2-types of connected spaces. We will visit this result in more detail later, but loosely a 2-equivalence between spaces is a continuous map that induces isomorphisms on  $\pi_1$  and  $\pi_2$ , the first two homotopy groups. Two spaces have the same 2-type if there is a zig-zag of 2-equivalences joining them.

We next turn to the use of crossed modules in combinatorial group theory.

## 2.2 Group presentations, identities and 2-syzygies

### 2.2.1 Presentations and Identities

(cf. Brown-Huebschmann, [29]) We consider a presentation  $\mathcal{P} = (X : R)$  of a group  $G$ . The elements of  $X$  are called *generators* and those of  $R$  *relators*. We then have a short exact sequence,

$$1 \rightarrow N \rightarrow F \rightarrow G \rightarrow 1,$$

where  $F = F(X)$ , the free group on the set  $X$ ,  $R$  is a subset of  $F$  and  $N = N(R)$  is the normal closure in  $F$  of the set  $R$ . The group  $F$  acts on  $N$  by conjugation:  ${}^u c = ucu^{-1}$ ,  $c \in N, u \in F$  and the elements of  $N$  are words in the conjugates of the elements of  $R$ :

$$c = {}^{u_1}(r_1^{\varepsilon_1}) {}^{u_2}(r_2^{\varepsilon_2}) \dots {}^{u_n}(r_n^{\varepsilon_n})$$

where each  $\varepsilon_i$  is +1 or -1. One also says such elements are *consequences* of  $R$ . Heuristically an *identity among the relations* of  $\mathcal{P}$  is such an element  $c$  which equals 1. The problem of what this means is analogous to that of working with a relation in  $R$ . For example, in the presentation

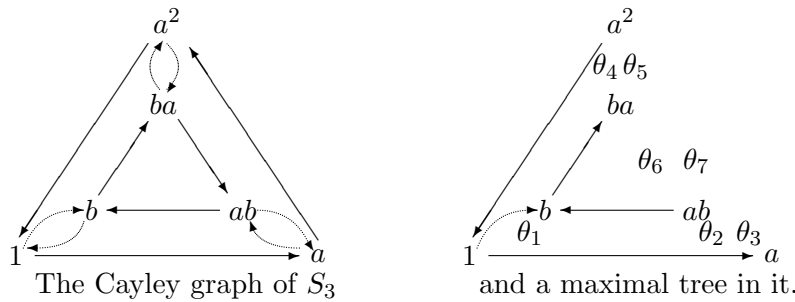
$(a : a^3)$  of  $C_3$ , the cyclic group of order 3, if  $a$  is thought of as being an element of  $C_3$ , then  $a^3 = 1$ , so why is this different from the situation with the ‘presentation’,  $(a : a = 1)$ ? To get around that difficulty the free group on the generators  $F(X)$  was introduced and, of course, in  $F(\{a\})$ ,  $a^3$  is not 1. A similar device, namely *free crossed modules* on the presentation will be introduced in a moment to handle the identities. Before that consider some examples which indicate that identities exist even in some quite common-or-garden cases.

**Example 1:** Suppose  $r \in R$ , but it is a power of some element  $s \in F$ , i.e.  $r = s^m$ . Of course,  $rs = sr$  and

$${}^s r r^{-1} = 1$$

so  ${}^s r.r^{-1}$  is an identity. In fact, there will be a unique  $z \in F$  with  $r = z^q$ ,  $q$  maximal with this property. This  $z$  is called the *root of  $r$*  and if  $q > 1$ ,  $r$  is called a *proper power*.

**Example 2:** Consider one of the standard presentations of  $S_3$ ,  $(a, b : a^3, b^2, (ab)^2)$ . Write  $r = a^3$ ,  $s = b^2$ ,  $t = (ab)^2$ . Here the presentation leads to  $F$ , free of rank 2, but  $N(R) \subset F$ , so it must be free as well, by the Nielsen-Schreier theorem. Its rank will be 7, given by the Schreier index formula or, geometrically, it will be the fundamental group of the Cayley graph of the presentation. This group is free on generators corresponding to edges outside a maximal tree as in the following diagram:



The set of normal generators of  $N(R)$  has 3 elements;  $N(R)$  is free on 7 elements (corresponding to the edges not in the tree), but is specified as consisting of products of conjugates of  $r$ ,  $s$  and  $t$ , and there are infinitely many of these. Clearly there must be some slight redundancy, i.e., there must be some identities among the relations!

A path around the outer triangle corresponds to the relation  $r$ ; each other region corresponds to a conjugate of one of  $r$ ,  $s$  or  $t$ . (It may help in what follows to think of the graph being embedded on a 2-sphere, so ‘outer’ and ‘outside’ mean ‘round the back face.’) Consider a loop around a region. Pick a path to a start vertex of the loop, starting at 1. For instance the path that leaves 1 and goes along  $a$ ,  $b$  and then goes around  $aaa$  before returning by  $b^{-1}a^{-1}$  gives  $abrb^{-1}a^{-1}$ . Now the path around the outside can be written as a product of paths around the inner parts of the graph, e.g.  $(abab)b^{-1}a^{-1}b^{-1}(bb)(b^{-1}a^{-1}b^{-1}a^{-1}) \dots$  and so on. Thus  $r$  can be written in a non-trivial way as a product of conjugates of  $r$ ,  $s$  and  $t$ . (An explicit identity constructed like this is given in [29].)

**Example 3:** In a presentation of the free Abelian group on 3 generators, one would expect the commutators,  $[x, y]$ ,  $[x, z]$  and  $[y, z]$ . The well-known identity, usually called the Jacobi identity, expands out to give an identity among these relations (again see [29], p.154 or Loday, [76].)

### 2.2.2 Free crossed modules and identities

The idea that an identity is an equation in conjugates of relations leads one to consider formal conjugates of symbols that label relations. Abstracting this a bit, suppose  $G$  is a group and  $f : Y \rightarrow G$ , a function ‘labelling’ the elements of some subset of  $G$ . To form a conjugate, you need a thing being conjugated and an element ‘doing’ the conjugating, so form pairs  $(p, y)$ ,  $p \in G$ ,  $y \in Y$ , to be thought of as  ${}^p y$ , the *formal conjugate* of  $y$  by  $p$ . Consequences are words in conjugates of relations, *formal consequences* are elements of  $F(G \times Y)$ . There is a function extending  $f$  from  $G \times Y$  to  $G$  given by

$$\bar{f}(p, y) = pf(y)p^{-1},$$

converting a formal conjugate to an actual one and this extends further to a group homomorphism

$$\phi : F(G \times Y) \rightarrow G$$

defined to be  $\bar{f}$  on the generators. The group  $G$  acts on the left on  $G \times Y$  by multiplication:  $p \cdot (p', y) = (pp', y)$ . This extends to a group action of  $G$  on  $F(G \times Y)$ . For this action,  $\phi$  is  $G$ -equivariant if  $G$  is given its usual  $G$ -group structure by conjugations / inner automorphisms. Naively identities are the elements in the kernel of this, but there are some elements in that kernel that are there regardless of the form of function  $f$ . In particular, suppose that  $g_1, g_2 \in G$  and  $y_1, y_2 \in Y$  and look at

$$(g_1, y_1)(g_2, y_2)(g_1, y_1)^{-1}((g_1 f(y_1)g_1^{-1})g_2, y_2)^{-1}.$$

Such an element is always annihilated by  $\phi$ . The normal subgroup generated by such elements is called the Peiffer subgroup. We divide out by it to obtain a quotient group. This is the construction of the free crossed module on the function  $f$ . If  $f$  is, as in our initial motivation, the inclusion of a set of relators into the free group on the generators we call the result the *free crossed module on the presentation  $\mathcal{P}$*  and denote it by  $C(\mathcal{P})$ .

We can now formally define the module of identities of a presentation  $\mathcal{P} = (X : R)$ . We form the free crossed module on  $R \rightarrow F(X)$ , which we will denote by  $\partial : C(\mathcal{P}) \rightarrow F(X)$ . The *module of identities* of  $\mathcal{P}$  is  $\text{Ker } \partial$ . By construction, the group presented by  $\mathcal{P}$  is  $G \cong F(X)/\text{Im } \partial$ , where  $\text{Im } \partial$  is just the normal closure of the set,  $R$ , of relations and we know that  $\text{Ker } \partial$  is a  $G$ -module. We will usually denote the module of identities by  $\pi_{\mathcal{P}}$ .

We can get to  $C(\mathcal{P})$  in another way. Construct a space from the combinatorial information in  $C(\mathcal{P})$  as follows. Take a bunch of circles labelled by the elements of  $X$ ; call it  $K(\mathcal{P})_1$ , it is the 1-skeleton of the space we want. We have  $\pi_1(K(\mathcal{P})_1) \cong F(X)$ . Each relator  $r \in R$  is a word in  $X$  so gives us a loop in  $K(\mathcal{P})_1$ , following around the circles labelled by the various generators making up  $r$ . This loop gives a map  $S^1 \xrightarrow{f_r} K(\mathcal{P})_1$ . For each such  $r$  we use  $f_r$  to glue a 2-dimensional disc  $e_r^2$  to  $K(\mathcal{P})_1$  yielding the space  $K(\mathcal{P})$ . The crossed module  $C(\mathcal{P})$  is isomorphic to  $\pi_2(K(\mathcal{P}), K(\mathcal{P})_1) \xrightarrow{\partial} \pi_1(K(\mathcal{P})_1)$ .

The main problem is how to calculate  $\pi_{\mathcal{P}}$  or equivalently  $\pi_2(K(\mathcal{P}))$ . One approach is via an associated chain complex. This can be viewed as the chains on the universal cover of  $K(\mathcal{P})$ , but can also be defined purely algebraically, for which see Brown-Huebschmann, [29], or Loday, [76]. That algebraic - homological approach leads to ‘homological syzygies’. For the moment we will concentrate on:

### 2.2.3 Homotopical syzygies:

There are both homological and homotopical syzygies. We will concentrate on the homotopical versions. For the homological form, have a look at Loday's article, [76] or Kapranov and Saito, [71] and later on in these notes.

We have built a complex,  $K(\mathcal{P})$ , from a presentation  $\mathcal{P}$  of a group  $G$ . Any element in  $\pi_2(K(\mathcal{P}))$  can, of course, be represented by a map from  $S^2$  to  $K(\mathcal{P})$  and by cellular approximation can be replaced, up to homotopy, by a cellular decomposition of  $S^2$  and a cellular map  $\phi : S^2 \rightarrow K(\mathcal{P})$ . We will adopt the terminology of Kapranov and Saito, [71], and Loday, [76], in referring to a pair consisting of a cellular subdivision of  $S^2$  together with a cellular map, as above, as a *homotopical 2-syzygy*. Of course, such an object corresponds to an identity among the relations of  $\mathcal{P}$ , but is a specific representative of such an identity. A family  $\{\phi_\lambda\}_{\lambda \in \Lambda}$  of such homotopical 2-syzygies is then called *complete* when the homotopy classes  $\{[\phi_\lambda]\}_{\lambda \in \Lambda}$  generate  $\pi_2(K(\mathcal{P}))$ .

In this case, we can use the  $\phi_\lambda$  to form the next stage of the construction of an Eilenberg-MacLane space,  $K(G, 1)$ , by killing this  $\pi_2$ . More exactly, rename  $K(\mathcal{P})$  as  $X(2)$  and form

$$X(3) := X(2) \cup \bigcup_{\lambda \in \Lambda} e_\lambda^3,$$

by, for each  $\lambda \in \Lambda$ , attaching a 3-cell,  $e_\lambda^3$  to  $X(2)$  using  $\phi_\lambda$ . Of course, we then have

$$\pi_1(X(3)) \cong G, \quad \pi_2(X(3)) = 0.$$

Again  $\pi_3(X(3))$  may be non-trivial, so we consider homotopical 3-syzygies. Such an object,  $s$ , will consist of an oriented polytope decomposition of  $S^3$  together with a continuous map,  $f_s$  from  $S^3$  to  $X(3)$ , which sends the  $i$ -skeleton of that decomposition to  $X(i)$ ,  $i = 0, 1, 2$ . At this stage we have  $X(0) = K(\mathcal{P})_0$ , a point,  $X(1) = K(\mathcal{P})_1$ , and  $X(2) = K(\mathcal{P})_2$ . One wants enough such 3-syzygies,  $s$ , identified algebraically and combinatorially, so that the corresponding homotopy classes,  $\{[f_s]\}$  generate  $\pi_3(X(3))$ .

It is clear, by induction, that we get a notion of homotopical  $n$ -syzygy. We assume  $X(n)$  has been built inductively by attaching cells of dimension  $\leq n$  along homotopical  $k$ -syzygies for  $k < n$ , so that

$$\pi_1(X(n)) \cong G, \quad \pi_k(X(n)) = 0, \quad k = 2, \dots, n-1,$$

then a *homotopical  $n$ -syzygy*,  $s$ , is an oriented polytope decomposition of  $S^n$  and a continuous cellular map  $f_s : S^n \rightarrow X(n)$ . After a choice of a set  $\mathcal{R}_n$  of  $n$ -syzygies, so that  $\{[s_s] \mid s \in \mathcal{R}_n\}$  generates  $\pi_n(X(n))$  as a  $G$ -module, we can form  $X(n+1)$  by attaching  $n+1$ -dimensional cells  $e_s^{n+1}$  along these  $f_s$  for  $s \in \mathcal{R}_n$ .

If we can do this in a sensible way, for all  $n$ , we say the resulting system of syzygies is *complete* and the limit space  $X(\infty) = \bigcup X(n)$  is then a cellular model for  $BG$ , the classifying space of the group  $G$ .

This construction is, of course, just a homotopical version of the construction of a free resolution of the trivial  $G$ -module,  $\mathbb{Z}$ . We could consider how to form simplicial resolutions 'step-by-step' (see here, starting page 63) as another combinatorial way to replace  $K(\mathcal{P})$  and more generally  $K(G, 1)$ . Alternatively there is a way of using this to get what is called a crossed resolution of  $G$ , but more on that later.

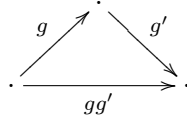
**Remark:** Some additional aspects of this can be found in Loday's paper [76], in particular the link with the 'pictures' of Igusa, [66, 67].



### 2.2.4 Examples of homotopical syzygies

**Example and construction:** Given any group  $G$ , we can find a presentation with  $\{\langle g \rangle \mid g \neq 1, g \in G\}$  as set of generators and a relation  $r_{g,g'} := \langle g \rangle \langle g' \rangle \langle gg' \rangle^{-1}$  for each pair  $(g, g')$  of elements of  $G$ . (We write  $\langle 1 \rangle = 1$  for convenience.)

The relation  $r_{g,g'}$  gives a triangle



and, for each triple  $(g, g', g'')$ , we get a homotopical 2-syzygy in the form of a tetrahedron.

Higher homotopical syzygies occur for any tuple,  $(g_1, \dots, g_n)$ , of non-identity elements of  $G$ , by labelling a  $n$ -simplex. The limiting cellular space,  $X(\infty)$ , constructed from this context is just the usual model of the classifying space  $BG$  as geometric realisation of the nerve of  $G$ . The corresponding free resolution,  $(C_*(G), d)$ , is the classical *normalised bar resolution*. Using this bar resolution above dimension 2 together with the crossed module of the presentation at the base, one gets the standard free crossed resolution of the group,  $G$ , to which we will return later.

**Example: Syzygies for the Steinberg group** (cf. Kapranov and Saito, [71]) Let  $R$  be an associative ring with 1. The elementary matrices  $\varepsilon_{ij}(a)$ , over the ring  $R$  are the matrices having

$$\varepsilon_{ij}(a)_{k,l} = \begin{cases} 1 & \text{if } k = l \\ a & \text{if } (k, l) = (i, j), a \in R \\ 0 & \text{otherwise,} \end{cases}$$

These satisfy some relations by virtue of their definition regardless of what  $R$  is. The *Steinberg group*,  $St_n(R)$ , has generators  $x_{ij}(a)$ , corresponding to these matrices and satisfying these generic relations. Explicitly it has relations,

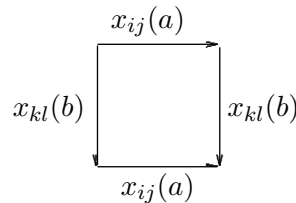
St1  $x_{i,j}(a)x_{i,j}(b) = x_{i,j}(a + b);$

St2  $[x_{i,j}(a), x_{k,\ell}(b)] = \begin{cases} 1 & \text{if } i \neq \ell, j \neq k, \\ x_{i,\ell}(ab) & \text{if } i \neq \ell, j = k. \end{cases}$

(These groups are nested so that  $St_n(R) \subset St_{n+1}(R)$  and our earlier mention of the Steinberg group  $St(R)$  corresponded to the direct limit of this nested sequence.)

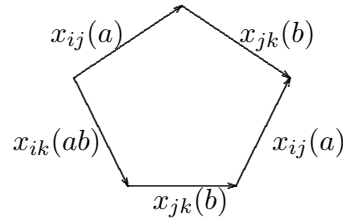
The identities / homotopical 2-syzygies are built from three types of polygon: a) a triangle,  $T_{ij}(a, b)$  for each  $i, j, i \neq j$ , coming from St1;

b) a square,



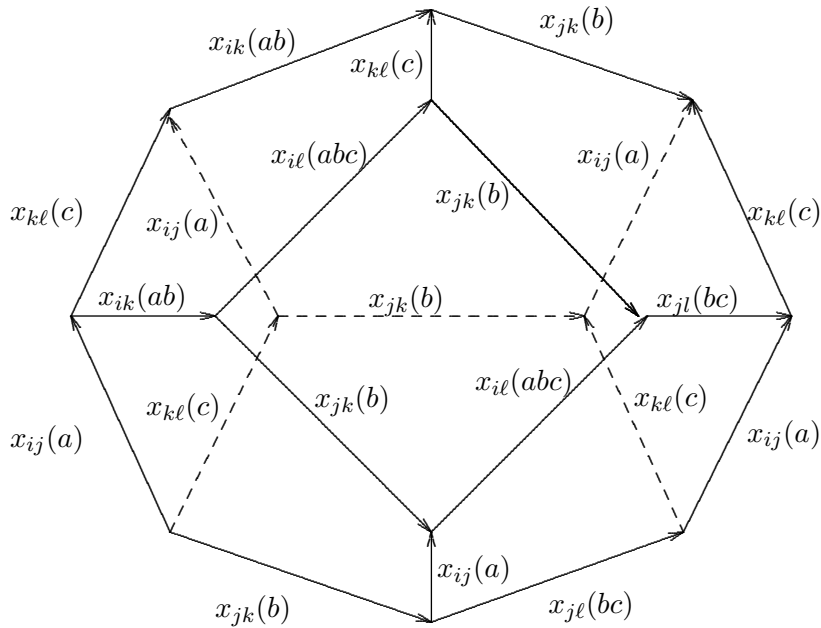
corresponding to the first case of St2 and

c) a pentagon, for the second:



Then for any pairs  $(i, j), (k, l), (m, p)$  with  $x_{ij}(a), x_{kl}(b), x_{mp}(c)$ , commuting by virtue of St2's first clause, we will have a homotopical syzygy in the form of a labelled cube.

There is also a homotopy 2-syzygy given by the associahedron labelled by generators as shown:



**Remark:** Kapranov and Saito, [71], have conjectured that the space  $X(\infty)$  obtained by gluing labelled higher Stasheff polytopes together, is homotopically equivalent to the homotopy fibre of

$$f : BSt(R) \rightarrow BSt(R)^+,$$

where  $(-)^+$  denotes Quillen's plus construction. The associahedron is a Stasheff polytope and, by encoding the data that goes to build the identities / syzygies schematically in a 'hieroglyph', Kapranov and Saito make a link between such hieroglyphs and certain polytopes.

## 2.3 Cohomology, crossed extensions and algebraic 2-types

### 2.3.1 Cohomology and extensions, continued

Suppose we have any group extension

$$\mathcal{E} : 1 \rightarrow K \rightarrow E \xrightarrow{p} G \rightarrow 1,$$

with  $K$  Abelian, but not necessarily central. We can look at various possibilities.

If we can split  $p$ , by a homomorphism  $s : G \rightarrow E$ , with  $ps = Id_G$ , then, of course,  $E \cong K \rtimes G$  by the isomorphisms,

$$\begin{aligned} e &\longrightarrow (esp(e)^{-1}, p(e)), \\ ks(g) &\longleftarrow (k, g), \end{aligned}$$

which are compatible with the projections etc., so there is an equivalence of extensions

$$\begin{array}{ccccccccc} 1 & \longrightarrow & K & \longrightarrow & E & \longrightarrow & G & \longrightarrow & 1 \\ & & \downarrow = & & \downarrow \cong & & \downarrow = & & \\ 1 & \longrightarrow & K & \longrightarrow & K \rtimes G & \longrightarrow & G & \longrightarrow & 1. \end{array}$$

Our convention for multiplication in  $K \rtimes G$  will be

$$(k, g)(k', g') = (k^g k', gg').$$

But what if  $p$  does not split. We can build a (small) category of extensions  $\mathcal{E}xt(G, K)$  with objects such as  $\mathcal{E}$  above and in which a morphism from  $\mathcal{E}$  to  $\mathcal{E}'$  is a diagram

$$\begin{array}{ccccccccc} 1 & \longrightarrow & K & \longrightarrow & E & \longrightarrow & G & \longrightarrow & 1 \\ & & \downarrow = & & \downarrow \alpha & & \downarrow = & & \\ 1 & \longrightarrow & K & \longrightarrow & E' & \longrightarrow & G & \longrightarrow & 1. \end{array}$$

By the 5-lemma,  $\alpha$  will be an isomorphism, so  $\mathcal{E}xt(G, K)$  is a groupoid.

In  $\mathcal{E}$ , the epimorphism  $p$  is usually not splittable, but as a function between sets, it is onto so we can pick an element in each  $p^{-1}(g)$  to get a transversal (or set of coset representatives),  $s : G \rightarrow E$ . We get a comparison pairing / obstruction map or ‘factor set’ :

$$f : G \times G \rightarrow E$$

$$f(g_1, g_2) = s(g_1)s(g_2)s(g_1g_2)^{-1},$$

which will be trivial, (i.e.  $f(g_1, g_2) = 1$  for all  $g_1, g_2 \in G$  exactly if  $s$  splits  $p$ , i.e. is a homomorphism). This construction assumes that we know the multiplication in  $E$ , otherwise we cannot form this product! On the other hand given this ‘ $f$ ’, we can work out the multiplication. As a set,  $E$  will be the product  $K \times G$ , identified with it by the same formulae as in the split case, noting that  $pf(g_1, g_2) = 1$ , we have

$$(k_1, g_1)(k_2, g_2) = (k_1^{s(g_1)} k_2 f(g_1, g_2), g_1 g_2).$$

The product is *twisted* by the pairing  $f$ . Of course, we need this multiplication to be associative and, to ensure that,  $f$  must satisfy a cocycle condition:

$$s^{(g_1)} f(g_2, g_3) f(g_1, g_2 g_3) = f(g_1, g_2) f(g_1 g_2, g_3).$$

This is a well known formula from group cohomology, more so if written additively:

$$s(g_1) f(g_2, g_3) - f(g_1 g_2, g_3) + f(g_1, g_2 g_3) - f(g_1, g_2) = 0.$$

Here we actually have various parts of the nerve of  $G$  involved in the formula. The group  $G$  ‘is’ a small category (groupoid with one object), which we will, for the moment, denote  $\mathcal{G}$ . The triple  $\sigma = (g_1, g_2, g_3)$  is a 3-simplex in  $Ner(\mathcal{G})$  and its faces are

$$\begin{aligned} d_0\sigma &= (g_2, g_3), \\ d_1\sigma &= (g_1g_2, g_3), \\ d_2\sigma &= (g_1, g_2g_3), \\ d_3\sigma &= (g_1, g_2). \end{aligned}$$

This is all very classical. We can use it in the usual way to link  $\pi_0(\mathcal{E}xt(G, K))$  with  $H^2(G, K)$  and so is the ‘modern’ version of Schreier’s theory of group extensions, at least in the case that  $K$  is Abelian.

For a long time there was no obvious way to look at the elements of  $H^3(G, K)$  in a similar way. In MacLane’s homology book, [77], you can find a discussion from the classical viewpoint. In Brown’s [22], the link with crossed modules is sketched although no references for the details are given, for which see MacLane’s [79].

If we have a crossed module  $C \xrightarrow{\partial} P$ , then we saw that  $Ker \partial$  is central in  $C$  and is a  $P/\partial C$ -module. We thus have a ‘crossed 2-fold extension’:

$$K \xrightarrow{i} C \xrightarrow{\partial} P \xrightarrow{p} G,$$

where  $K = Ker \partial$  and  $G = P/\partial C$ . (We will write  $N = \partial C$ .)

Repeat the same process as before for the extension

$$N \rightarrow P \rightarrow G,$$

but take extra care as  $N$  is usually not Abelian. Pick a transversal  $s : G \rightarrow P$  giving  $f : G \times G \rightarrow N$  as before (even with the same formula). Next look at

$$K \xrightarrow{i} C \rightarrow N,$$

and lift  $f$  to  $C$  via a choice of  $F(g_1, g_2) \in C$  with image  $f(g_1, g_2)$  in  $N$ .

The pairing  $f$  satisfied the cocycle condition, but we have no means of ensuring that  $F$  will do so, i.e. there will be, for each triple  $(g_1, g_2, g_3)$ , an element  $c(g_1, g_2, g_3) \in C$  such that

$${}^{s(g_1)}F(g_2, g_3)F(g_1, g_2g_3) = i(c(g_1, g_2, g_3))F(g_1, g_2)F(g_1g_2, g_3),$$

and some of these  $c(g_1, g_2, g_3)$  may be non-trivial. The  $c(g_1, g_2, g_3)$  will satisfy a cocycle condition correspond to a 4-simplex in  $Ner(\mathcal{G})$ , and one can reconstruct the crossed 2-fold extension up to equivalence from  $F$  and  $c$ . Here ‘equivalence’ is generated by maps of ‘crossed’ exact sequences:

$$\begin{array}{ccccccccc} 1 & \longrightarrow & K & \longrightarrow & C & \longrightarrow & P & \longrightarrow & G & \longrightarrow & 1 \\ & & \downarrow = & & \downarrow & & \downarrow & & \downarrow = & & \\ 1 & \longrightarrow & K & \longrightarrow & C' & \longrightarrow & P' & \longrightarrow & G & \longrightarrow & 1, \end{array}$$

but these morphisms need not be isomorphisms. Of course, this identifies  $H^3(G, K)$  with  $\pi_0$  of the resulting category.

What about  $H^4(G, K)$ ? Yes, something similar works, but we do not have the machinery to do it here, yet.

### 2.3.2 Not really an aside!

Suppose we start with a crossed module  $C = (C, P, \partial)$ . We can build an internal category  $\mathcal{X}(C)$  in *Grps* from it. The group of objects of  $\mathcal{X}(C)$  will be  $P$  and the group of arrows  $C \rtimes P$ . The source map

$$s : C \rtimes P \rightarrow P \quad \text{is} \quad s(c, p) = p,$$

the target

$$t : C \rtimes P \rightarrow P \quad \text{is} \quad t(c, p) = \partial c.p.$$

(That looks a bit strange. That sort of construction usually does not work, multiplying two homomorphisms together is a recipe for trouble! - but it does work here:

$$\begin{aligned} t((c_1, p_1).(c_2, p_2)) &= t(c_1^{p_1}c_2, p_1p_2) \\ &= \partial(c_1^{p_1}c_2).p_1p_2, \end{aligned}$$

whilst  $t(c_1, p_1).t(c_2, p_2) = \partial c_1.p_1.\partial c_2.p_2$ , but remember  $\partial(c_1^{p_1}c_2) = \partial c_1.p_1.\partial c_2.p_1^{-1}$ , so they are equal.)

The identity morphism is  $i(p) = (1, p)$ , but what about the composition. Here it helps to draw a diagram. Suppose  $(c_1, p_1) \in C \rtimes P$ , then it is an arrow

$$p_1 \xrightarrow{(c_1, p_1)} \partial c_1.p_1,$$

and we can only compose it with  $(c_2, p_2)$  if  $p_2 = \partial c_1.p_1$ . This gives

$$p_1 \xrightarrow{(c_1, p_1)} \partial c_1.p_1 \xrightarrow{(c_2, \partial c_1.p_1)} \partial c_2.\partial c_1.p_1.$$

The obvious candidate for the composite arrow is  $(c_2c_1, p_1)$  and it works!

In fact,  $\mathcal{X}(C)$  is an internal groupoid as  $(c_1^{-1}, \partial c_1.p_1)$  is an inverse for  $(c_1, p_1)$ .

Now if we started with an internal category

$$G_1 \begin{array}{c} \xrightarrow{s} \\ \xrightarrow{t} \\ \xleftarrow{i} \end{array} G_0,$$

etc., then set  $P = G_0$  and  $C = \text{Ker } s$  with  $\partial = t|_C$  to get a crossed module.

**Theorem 1** (*Brown-Spencer, [33]*) *The category of crossed modules is equivalent to that of internal categories in Grps.* ■

You have, almost, seen the proof. As beginning students of algebra, you learnt that equivalence relations on groups need to be congruence relations for quotients to work well and that congruence relation ‘are the same as’ normal subgroups. That is the essence of the proof needed here, but we have groupoids rather than equivalence relations and crossed modules rather than normal subgroups.

### 2.3.3 Perhaps a bit more of an aside ... for the moment!

This is quite a good place to mention the groupoid based theory of all this. The resulting objects look like abstract 2-categories and are 2-groupoids. We have a set of objects  $K_0$ , a set of arrows  $K_1$ , depicted  $x \xrightarrow{p} y$ , and a set of two cells

$$\begin{array}{ccc}
 & p & \\
 x & \curvearrowright & y \\
 & \Downarrow (c,p) & \\
 & \partial c.p & \\
 & \curvearrowleft & \\
 & & 
 \end{array}$$

In our previous diagrams, as all the elements of  $P$  started and ended at the same single object, we could shift dimension down one step; our old objects are now arrows and our old arrows are 2-cells. We will return to this later.

The important idea to note here is that a ‘higher dimensional category’ has a link with an algebraic object. The 2-group(oid) provides a useful way of interpreting the structure of the crossed module *and* indicates possible ways towards similar applications and interpretations elsewhere. For instance, a presentation of a monoid leads more naturally to a 2-category than to any analogue of a crossed module, since kernels are less easy to handle than congruences in *Mon*.

There are other important interpretations of this. Categories such as that of vector spaces, Abelian groups or modules over a ring, have an additional structure coming from the tensor product,  $A \otimes B$ . They are monoidal categories. One can ‘multiply’ objects together and this is linked to a related multiplication on morphisms between the objects. In many of the important examples the multiplication is not strictly associative, so for instant, if  $A, B, C$  are objects there is an isomorphism between  $(A \otimes B) \otimes C$  and  $A \otimes (B \otimes C)$ , but this isomorphism is most definitely not the identity as the two objects are constructed in different ways. A similar effect happens in the category of sets with ordinary Cartesian product. The isomorphism is there because of universal properties but it is again not the identity. It satisfies some coherence conditions, (a cocycle condition in disguise), relating to associativity of four fold tensors and the associahedron we gave earlier is a corresponding diagram for the five fold tensors. (Yes, there is a strong link but that is not for these notes!) Our 2-group(oid) is the ‘suspension’ or ‘categorification’ of a similar structure. We can multiply objects and ‘arrows’ and the result is a ‘gr-groupoid’, i.e. a strict monoidal category with inverses. This is vague here, but will gradually be explored later on. If you want to explore the ideas further now, look at Baez and Dolan, [9].

Just as associativity in a monoid is replaced by a ‘lax’ associativity ‘up to coherent isomorphisms’ in the above, gr-groupoids are ‘lax’ forms of internal categories in groups and thus indicate the presence of a crossed module-like structure, albeit in a weakened or ‘laxified’ form. Later we will see naturally occurring gr-groupoid structures associated with some constructions in non-Abelian cohomology. there is also a sense in which the link between fibrations and crossed modules given earlier here, indicates that fibrations are like a related form of lax crossed modules. In the notion of fibred category and the related Grothendieck construction, this intuition begins to be ‘solidified’ into a clearer strong relationship.

### 2.3.4 Back to 2-types

From our crossed module,  $C = (C, P, \partial)$ , we build the internal groupoid  $\mathcal{X}(C)$  as above, then apply the nerve construction internally to the internal groupoid structure to get a simplicial group,  $K(C)$ .

We need this in some detail in low dimensions.

$$\begin{aligned} K(\mathbf{C})_0 &= P \\ K(\mathbf{C})_1 &= C \rtimes P & d_0 = t, d_1 = s \\ K(\mathbf{C})_2 &= C \rtimes (C \rtimes P), \end{aligned}$$

where  $d_0(c_2, c_1, p) = (c_2, \partial c_2.p)$ ,  $d_1(c_2, c_1, p) = (c_2.c_1, p)$  and  $d_2(c_2, c_1, p) = (c_1, p)$ . The pattern continues with  $K(\mathbf{C})_n = C \rtimes (\dots \rtimes (C \rtimes P) \dots)$ , having  $n$ -copies of  $C$ . The  $d_i$ ,  $0 < i < n$  are given by multiplication in  $C$ ,  $d_0$  is induced from  $t$  and  $d_n$  is a projection. The  $s_i$  are insertions of identities. (We will examine this in more detail later.) We say  $K(\mathbf{C})$  is the *nerve of the crossed module*,  $\mathbf{C}$ . The simplicial set  $\overline{W}(K(\mathbf{C}))$  or its geometric realisation, would be called the *classifying space* of  $\mathbf{C}$  and we will look at this in much more detail later on. (A word of caution: for  $G$  a group considered as a crossed module, this ‘nerve’ is not the nerve of  $G$  in the sense used earlier. It is just the constant simplicial group corresponding to  $G$ . What is often called the nerve of  $G$  is what here has been called its classifying space. One way to view this is to note that  $\mathcal{X}(\mathbf{C})$  has two independent structures, one a group, the other a category, and *this* nerve is of the category structure. The group  $G$  considered as a crossed module is like a set considered as a (discrete) category, having only identity arrows.)

The Moore complex of  $K(\mathbf{C})$  is easy to calculate and is just  $NK(\mathbf{C})_i = 1$  if  $i \geq 2$ ;  $NK(\mathbf{C})_1 \cong C$ ;  $NK(\mathbf{C})_0 \cong P$  with the  $\partial : NK(\mathbf{C})_1 \rightarrow NK(\mathbf{C})_0$  being exactly the given  $\partial$  of  $\mathbf{C}$ . (This is left as an exercise. It is a useful one to do in detail.)

**Proposition 2** (*Loday,[75]*) *The category  $CMod$  of crossed modules is equivalent to the subcategory of  $Simp.Grps$ , consisting of those simplicial groups,  $G$ , having Moore complexes of length 1, i.e.  $NG_i = 1$  if  $i \geq 2$ . ■*

This raises the interesting question as to whether it is possible to find alternative algebraic descriptions of the structures corresponding to Moore complexes of length  $n$ .

Is there any way of going directly from simplicial groups to crossed modules? Yes. The last two terms of the Moore complex will give us:

$$\partial : NG_1 \rightarrow NG_0 = G_0$$

and  $G_0$  acts on  $NG_1$  by conjugation via  $s_0$ , i.e. if  $g \in G_0$  and  $x \in NG_1$ , then  $s_0(g)x s_0(g)^{-1}$  is also in  $NG_1$ . (Of course, we could use multiple degeneracies to make  $g$  act on an  $x \in NG_n$  just as easily.) As  $\partial = d_0$ , it respects the  $G_0$  action, so CM1 is satisfied. In general, CM2 will not be satisfied. Suppose  $g_1, g_2 \in NG_1$  and examine  $\partial^{g_1} g_2 = s_0 d_0 g_1 . g_2 . s_0 d_0 g_1^{-1}$ . This is rarely equal to  $g_1 g_2 g_1^{-1}$ . We write  $\langle g_1, g_2 \rangle = [g_1, g_2][g_2, s_0 d_0 g_1] = g_1 g_2 g_1^{-1} . (\partial^{g_1} g_2)^{-1}$ , so it measures the obstruction to CM2 for this pair  $g_1, g_2$ . This is often called the *Peiffer commutator* of  $g_1$  and  $g_2$ . Noting that  $s_0 d_0 = d_0 s_1$ , we have an element

$$\{g_1, g_2\} = [s_0 g_1, s_0 g_2][s_0 g_2, s_1 g_1] \in NG_2$$

and  $\partial\{g_1, g_2\} = \langle g_1, g_2 \rangle$ . This second pairing is called the *Peiffer lifting* (of the Peiffer commutator). Of course, if  $NG_2 = 1$ , then CM2 is satisfied (as for  $K(\mathbf{C})$ , above).

We could work with what we will call  $M(G, 1)$ , namely

$$\bar{\partial} : \frac{NG_1}{\partial NG_2} \rightarrow NG_0,$$

with the induced morphism and action. (As  $d_0d_0 = d_0d_1$ , the morphism is well defined.) This is a crossed module, but we could have divided out by less if we had wanted to. We note that  $\{g_1, g_2\}$  is a product of degenerate elements, so we form, in general, the subgroup  $D_n \subseteq NG_n$ , generated by all degenerate elements.

**Lemma 3**

$$\bar{\partial} : \frac{NG_1}{\partial(NG_2 \cap D_2)} \rightarrow NG_0$$

*is a crossed module.* ■

This is, in fact,  $M(sk_1G, 1)$ , where  $sk_1G$  is the 1-skeleton of  $G$ , i.e. the subsimplicial group generated by the  $k$ -simplices for  $k = 0, 1$ .

The kernel of  $M(G, 1)$  is  $\pi_1(G)$  and the cokernel  $\pi_0(G)$  and

$$\pi_1(G) \rightarrow \frac{NG_1}{\partial NG_2} \rightarrow NG_0 \rightarrow \pi_0(G)$$

represents a class  $k(G) \in H^3(\pi_0(G), \pi_1(G))$ . Up to a notion of 2-equivalence,  $M(G, 1)$  represents the 2-type of  $G$  completely. This is an algebraic version of the result of MacLane and Whitehead we mentioned earlier. Once we have a bit more on cohomology, we will examine it in detail.



## Chapter 3

# Crossed complexes and (Abelian) Cohomology

Accurate encoding of homotopy types is tricky. Chain complexes, even of  $G$ -modules, can only record certain, more or less Abelian, information. Simplicial groups, at the opposite extreme, can encode all connected homotopy types, but at the expense of such a large repetition of the essential information that makes calculation, at best, tedious and, at worst, virtually impossible. Complete information on truncated homotopy types can be stored in the  $\text{cat}^n$ -groups of Loday, [75]. We will look at these later. An intermediate model due to Blakers and Whitehead, [103], is that of a crossed complex. The algebraic and homotopy theoretic aspects of the theory of crossed complexes have been developed by Brown and Higgins, (cf. [25, 26], etc., in the bibliography and the forthcoming monograph by Brown, Higgins and Sivera, [28]) and by Baues, [12, 13, 14].

### 3.1 Crossed complexes: the Definition

We will initially look at reduced crossed complexes, i.e. the group rather than the groupoid based case.)

A *crossed complex*, which will be denoted  $C$ , consists of a sequence of groups and morphisms

$$C : \dots \rightarrow C_n \xrightarrow{\delta_n} C_{n-1} \xrightarrow{\delta_{n-1}} \dots \rightarrow C_3 \xrightarrow{\delta_3} C_2 \xrightarrow{\delta_2} C_1$$

satisfying the following:

CC1)  $\delta_2 : C_2 \rightarrow C_1$  is a crossed module;

CC2) each  $C_n$ , ( $n > 2$ ), is a left  $C_1/\delta_1 C_2$ -module and each  $\delta_n$ , ( $n > 2$ ) is a morphism of left  $C_1/\delta_2 C_2$ -modules, (for  $n = 3$ , this means that  $\delta_3$  commutes with the action of  $C_1$  and that  $\delta_3(C_3) \subset C_2$  must be a  $C_1/\delta_2 C_2$ -module);

CC3)  $\delta\delta = 0$ .

The notion of a morphism of crossed complexes is clear. It is a graded collection of morphisms preserving the various structures. We thus get a category,  $Crs_{red}$  of reduced crossed complexes.

As we have that a crossed complex is a particular type of chain complex (of non-Abelian groups near the bottom), it is natural to define its homology groups in the obvious way.

**Definition:** If  $C$  is a crossed complex, its  $n^{\text{th}}$  homology group is

$$H_n(C) = \frac{\text{Ker } \delta_n}{\text{Im } \delta_{n+1}}.$$

These homology groups are, of course, functors from  $\text{Crs}_{\text{red}}$  to the category of Abelian groups.

**Definition:** A morphism  $f : C \rightarrow C'$  is called a *weak equivalence* if it induces isomorphisms on all homology groups.

There are good reasons for considering the homology groups of a crossed complex as being its homotopy groups. For example, if the crossed complex comes from a simplicial group then the homotopy groups of the simplicial group are the same as the homology groups of the given crossed complex (possibly shifted in dimension, depending on the notational conventions you are using).

The non-reduced version of the concept is only a bit more difficult to write down. It has  $C_1$  as a groupoid on a set of objects  $C_0$  with each  $C_k$ , a family of groups indexed by the elements of  $C_0$ . The axioms are very similar; see [28] for instance or many of the papers by Brown and Higgins listed in the bibliography. This gives a category,  $\text{Crs}$ , of (unrestricted) crossed complexes and morphisms between them. This category is very rich in structure. It has a tensor product structure, denoted  $C \otimes D$  and a corresponding mapping complex construction  $\text{Crs}(C, D)$ , making it into a monoidal closed category. The details are to be found in the papers and book listed above.

### 3.1.1 Examples: crossed resolutions

A crossed resolution of a group  $G$  is a crossed complex,  $C$ , such that for each  $n > 1$ ,  $\text{Im } \delta_n = \text{Ker } \delta_{n-1}$  and there is an isomorphism,  $C_1/\delta_2 C_2 \cong G$ .

A crossed resolution can be constructed from a presentation  $\mathcal{P} = (X : R)$  as follows:

Let  $C(P) \rightarrow F(X)$  be the free crossed module associated with  $\mathcal{P}$ . We set  $C_2 = C(P)$ ,  $C_1 = F(X)$ ,  $\delta_1 = \partial$ . Let  $\kappa(\mathcal{P}) = \text{Ker}(\partial : C(P) \rightarrow F(X))$ . This is the module of identities of the presentation and is a left  $G$ -module. As the category  $G\text{-Mod}$  has enough projectives, we can form a free resolution  $\mathbb{P}$  of  $\kappa(\mathcal{P})$ . To obtain a crossed resolution of  $G$ , we join  $\mathbb{P}$  to the crossed module by setting  $C_n = P_{n-2}$  for  $n > 3$ ,  $\delta_n = d_{n-2}$  for  $n > 3$  and the composite from  $P_0$  to  $C(P)$  for  $n = 3$ .

### 3.1.2 The standard crossed resolution

We next look at a particular case of the above, namely the standard crossed resolution of  $G$ . In this, which we will denote by  $\text{CG}$ , we have

(i)  $C_1 G$  = the free group on the underlying set of  $G$ . The element corresponding to  $u \in G$  will be denoted by  $[u]$ .

(ii)  $C_2 G$  is the free crossed module over  $C_0 G$  on generators, written  $[u, v]$ , considered as elements of the set  $G \times G$ , in which the map  $\delta_1$  is defined on generators by

$$\delta[u, v] = [uv]^{-1}[u][v].$$

(iii) For  $n > 3$ ,  $C_n G$  is the free left  $G$ -module on the set  $G^{n+1}$ , but in which one has equated to zero any generator  $[u_1, \dots, u_{n+1}]$  in which some  $u_i$  is the identity element of  $G$ .

If  $n > 2$ ,  $\delta : C_{n+1}G \rightarrow C_nG$  is given by the usual formula

$$\begin{aligned} \delta[u_1, \dots, u_{n+1}] &= [u_1][u_2, \dots, u_{n+1}] \\ &+ \sum_{i=1}^n (-1)^i [u_1, \dots, u_i u_{i+1}, \dots, u_{n+1}] + (-1)^{n+1} [u_1, \dots, u_n]. \end{aligned}$$

For  $n = 2$ ,  $\delta : C_3G \rightarrow C_2G$  is given by

$$\delta[u, v, w] = [u][v, w].[u, v]^{-1}.[uv, w]^{-1}[u, vw].$$

This is the crossed analogue of the inhomogeneous bar resolution,  $BG$  of the group  $G$ . A groupoid version can be found in Brown-Higgins, [24], and the abstract group version in Huebschmann, [64]. In the first of these two references, it is pointed out that  $CG$ , as constructed, is isomorphic to the crossed complex,  $\pi(BG)$ , of the classifying space of  $G$  considered with its skeletal filtration. For any filtered space  $\underline{X} = (X_n)_{n \in \mathbb{N}}$ , the fundamental crossed complex  $\pi(\underline{X})$  is, in general, a non-reduced crossed complex. It is defined to have

$$\pi(\underline{X})_n = (\pi_n(X_n, X_{n-1}, a))_{a \in X_0}$$

with  $\pi(\underline{X})_1$ , the fundamental groupoid  $\Pi_1 X_1 X_0$ , and  $\pi(\underline{X})_2$ , the family,  $(\pi_2(X_2, X_1, a))_{a \in X_0}$ .

There are two useful, but conflicting, conventions as to indexation in crossed complexes. In the topologically inspired one, the bottom group is  $C_1$ , in the simplicial and algebraic one, it is  $C_0$ . Both get used and both have good motivation. The natural indexation for the standard crossed resolution would seem to be with  $C_n$  being generated by  $n$ -tuples, i.e. the topological one. (I am not sure that all instances of the other have been avoided, so please be careful!)

**$G$ -augmented crossed complexes.** Crossed resolutions of  $G$  are examples of  $G$ -augmented crossed complexes. A  $G$ -augmented crossed complex consists of a pair  $(C, \phi)$  where  $C$  is a crossed complex and where  $\phi : C_1 \rightarrow G$  is a group homomorphism satisfying

- (i)  $\phi \delta_1$  is the trivial homomorphism;
- (ii)  $\text{Ker } \phi$  acts trivially on  $C_i$  for  $i \geq 3$  and also on  $C_2^{Ab}$ .

A morphism

$$(\alpha, Id_G) : (C, \phi) \rightarrow (C', \phi')$$

of  $G$ -augmented crossed complexes consists of a morphism

$$\alpha : C \rightarrow C'$$

of crossed complexes such that  $\phi' \alpha_0 = \phi$ .

This gives a category  $Crs_G$  which behaves nicely with respect to change of groups, i.e. if  $\varphi : G \rightarrow H$  then there are induced functors between the corresponding categories.

## 3.2 Crossed complexes and Chain Complexes

(Some of the proofs here are given in more detail as they are less routine and are not that available elsewhere.)

We have introduced crossed complexes where normally chain complexes of modules would have been used. We have seen earlier the bar resolution and now we have the standard crossed resolution.

What is the connection between them? The answer is approximately that chain complexes form a category equivalent to a reflective subcategory of  $Crs$ , in other words, there is a canonical way of building a chain complex from a crossed one akin to the process of Abelianising a group. The resulting reflection functor sends the standard crossed resolution of a group to the bar resolution. The details involve some interesting ideas.

In chapter 2, we saw that, given a morphism  $\theta : M \rightarrow N$  of modules over a group  $G$ ,  $\partial : M \rightarrow N \rtimes G$ , given by  $\partial(m) = (\theta(m), 1_G)$  is a crossed module, where  $N \rtimes G$  acts on  $M$  via the projection to  $G$ . That example easily extends to a functorial construction which, from a positive chain complex,  $D$ , of  $G$ -modules, gives us a crossed complex  $\Delta_G(D)$  with  $\Delta_G(D)_n = D_n$  if  $n > 1$  and equal to  $D_1 \rtimes G$  for  $n = 1$ .

**Lemma 4**  $\Delta_G : Ch(G-Mod) \rightarrow Crs_G$  is an embedding.

**Proof:** That  $\Delta_G$  is a functor is easy to see. It is also easy to check that it is full and faithful, that it induces bijections,

$$Ch(G-Mod)(A, B) \rightarrow Crs_G(\Delta_G(A), \Delta_G(B)).$$

The augmentation of  $\Delta_G(A)$  is given by the projection of  $A_1 \rtimes G$  onto  $G$ . ■

We can thus turn a positive chain complex into a crossed complex. Does this functor have a left adjoint? i.e. is there a functor  $\xi_G : Crs_G \rightarrow Ch(G-Mod)$  such that

$$Ch(G-Mod)(\xi_G(C), D) \rightarrow Crs_G(C, \Delta_G(D))?$$

If so it would suggest that chain complexes of  $G$ -modules are like  $G$ -augmented crossed complexes that satisfy some additional equational axioms. As an example of a similar situation think of ‘Abelian groups’ within ‘groups’ for which the inclusion has a left adjoint, namely Abelianisation  $(G)^{Ab} = G/[G, G]$ . Abelian groups are of course groups that satisfy the additional rule  $[x, y] = 1$ . Other examples of such situations are nilpotent groups of a given finite rank  $c$ . The subcategories of this general form are called *varieties* and, for instance, the study of varieties of groups is a very interesting area of group theory. Incidentally, it is possible to define various forms of cohomology modulo a variety in some sense. We will not explore that here.

We thus need to look at morphisms of crossed complexes from a crossed complex  $C$  to one of form  $\Delta_G(D)$ , and we need therefore to look at morphisms into a semidirect product. These are useful for other things, so are worth looking at in detail.

### 3.2.1 Semi-direct product and derivations.

Suppose that we have a diagram

$$\begin{array}{ccc} H & \xrightarrow{f} & K \rtimes G \\ & \searrow \alpha & \swarrow \text{proj} \\ & & G \end{array}$$

where  $K$  is a  $G$ -module (written additively, so we write  $g.k$  not  ${}^gk$  for the action). This is like the very bottom of the situation for a morphism  $f : \mathbb{C} \rightarrow \Delta_G(\mathbb{D})$ .

As the codomain of  $f$  is a semidirect product, we can decompose  $f$ , as a function, in the form

$$f(h) = (f_1(h), \alpha(h)),$$

identifying its second component using the diagram. The mapping  $f_1$  is not a homomorphism. As  $f$  is one, however, we have

$$(f_1(h_1h_2), \alpha(h_1h_2)) = f(h_1)f(h_2) = (f_1(h_1) + \alpha(h_1)f_1(h_2), \alpha(h_1h_2)),$$

i.e.  $f_1$  satisfies

$$f_1(h_1h_2) = f_1(h_1) + \alpha(h_1)f_1(h_2)$$

for all  $h_1, h_2 \in H$ .

### 3.2.2 Derivations and derived modules.

We will use the identification of  $G$ -modules for a group  $G$  with modules over the group ring  $\mathbb{Z}[G]$  of  $G$ . Recall that this ring is obtained from the free Abelian group on the set  $G$  by defining a multiplication extending linearly that of  $G$  itself. (Formally if, for the moment, we denote by  $e_g$ , the generator corresponding to  $g \in G$ , then an arbitrary element of  $\mathbb{Z}[G]$  can be written as  $\sum_{g \in G} n_g e_g$  where the  $n_g$  are integers and only finitely many of them are non-zero. The multiplication is by ‘convolution’ product, that is,

$$\left( \sum_{g \in G} n_g e_g \right) \left( \sum_{g \in G} m_g e_g \right) = \sum_{g \in G} \left( \sum_{g_1 \in G} n_{g_1} m_{g_1^{-1}g} e_g \right).$$

We will also need the augmentation  $\varepsilon : \mathbb{Z}[G] \rightarrow \mathbb{Z}$ , given by  $\varepsilon(\sum_{g \in G} n_g e_g) = \sum_{g \in G} n_g$  and its kernel  $I(G)$ , known as the augmentation ideal.

**Definitions:** Let  $\phi : G \rightarrow H$  be a homomorphism of groups. A  $\phi$ -derivation

$$\partial : G \rightarrow M$$

from  $G$  to a left  $\mathbb{Z}[H]$ -module,  $M$ , is a mapping from  $G$  to  $M$ , which satisfies the equation

$$\partial(g_1g_2) = \partial(g_1) + \phi(g_1)\partial(g_2)$$

for all  $g_1, g_2 \in G$ .

Such  $\phi$ -derivations are really all derived from a universal one.

A *derived module* for  $\phi$  consists of a left  $\mathbb{Z}[H]$ -module,  $D_\phi$ , and a  $\phi$ -derivation,  $\partial_\phi : G \rightarrow D_\phi$  with the following universal property:

Given any left  $\mathbb{Z}[H]$ -module,  $M$ , and a  $\phi$ -derivation  $\partial : G \rightarrow M$ , there is a unique morphism

$$\beta : D_\phi \rightarrow M$$

of  $\mathbb{Z}[H]$ -modules such that  $\beta\partial_\phi = \partial$ .

The set of all  $\phi$ -derivations from  $G$  to  $M$  has a natural Abelian group structure. We denote this set by  $Der_\phi(G, M)$ . This gives a functor from  $H\text{-Mod}$  to  $Ab$ , the category of Abelian groups. If  $(D_\phi, \partial_\phi)$  exists, then it sets up a natural isomorphism

$$Der_\phi(G, M) \cong H\text{-Mod}(D_\phi, M),$$

i.e.,  $(D_\phi, \partial_\phi)$  represents the  $\phi$ -derivation functor.

### 3.2.3 Existence

The treatment of derived modules that is found in Crowell's paper, [40], provides a basis for what follows. In particular it indicates how to prove the existence of  $(D_\phi, \partial_\phi)$  for any  $\phi$ .

Form a  $\mathbb{Z}[H]$ -module,  $D$ , by taking the free left  $\mathbb{Z}[H]$ -module,  $\mathbb{Z}[H]^{(X)}$ , on a set of generators,  $X = \{\partial g : g \in G\}$ . Within  $\mathbb{Z}[H]^{(X)}$  form the submodule,  $Y$ , generated by the elements

$$\partial(g_1 g_2) - \partial(g_1) - \phi(g_1)\partial(g_2).$$

Let  $D = \mathbb{Z}[H]^{(X)}/Y$  and define  $d : G \rightarrow D$  to be the composite:

$$G \xrightarrow{\eta} \mathbb{Z}[H]^{(X)} \xrightarrow{\text{quotient}} D,$$

where  $\eta$  is "inclusion of the generators",  $\eta(g) = \partial g$ . Thus  $d$  by construction, will be a  $\phi$ -derivation. The universal property is easily checked and hence  $(D_\phi, \partial_\phi)$  exists.

We will later on construct  $(D_\phi, \partial_\phi)$  in a different way which provides a more amenable description of  $D_\phi$ , namely as a tensor product. As a first step towards this description, we shall give a simple description of  $D_G$ , that is, the derived module of the identity morphism of  $G$ . More precisely we shall identify  $(D_G, \partial_G)$  as being  $(I(G), \partial)$ , where, as above,  $I(G)$  is the augmentation ideal of  $\mathbb{Z}[G]$  and  $\partial : G \rightarrow I(G)$  is the usual map,  $\partial(g) = g - 1$ .

Our earlier observations give us the following useful result:

**Lemma 5** *If  $G$  is a group and  $M$  is a  $G$ -module, then there is an isomorphism*

$$Der_G(G, M) \rightarrow Hom/G(G, M \rtimes G)$$

where  $Hom/G(G, M \rtimes G)$  is the set of homomorphisms from  $G$  to  $M \rtimes G$  over  $G$ , i.e.,  $\theta : G \rightarrow M \rtimes G$  such that for each  $g \in G$ ,  $\theta(g) = (g, \theta'(g))$  for some  $\theta'(g) \in M$ . ■

### 3.2.4 Derivation modules and augmentation ideals

**Proposition 3** *The derivation module  $D_G$  is isomorphic to  $I(G) = Ker(\mathbb{Z}[G] \rightarrow \mathbb{Z})$ . The universal derivation is*

$$d_G : G \rightarrow I(G)$$

given by  $d_G(g) = g - 1$ .

**Proof:**

We introduce the notation  $f_\delta : I(G) \rightarrow M$  for the  $\mathbb{Z}[G]$ -module morphism corresponding to a derivation

$$\delta : G \rightarrow M.$$

The factorisation  $f_\delta d_G = \delta$  implies that  $f_\delta$  must be defined by  $f_\delta(g - 1) = \delta(g)$ . That this works follows from the fact that  $I(G)$ , as an Abelian group, is free on the set  $\{g - 1 : g \in G\}$  and that the relations in  $I(G)$  are generated by those of the form

$$g_1(g_2 - 1) = (g_1g_2 - 1) - (g_1 - 1).$$

■

We note a result on the augmentation ideal construction that is not commonly found in the literature.

The proof is easy and so will be omitted.

**Lemma 6** *Given groups  $G$  and  $H$  in  $\mathcal{C}$  and a commutative diagram*

$$\begin{array}{ccc} G & \xrightarrow{\delta} & M \\ \psi \downarrow & & \downarrow \phi \\ H & \xrightarrow{\delta'} & N \end{array} \quad (*)$$

where  $\delta, \delta'$  are derivations,  $M$  is a left  $\mathbb{Z}[G]$ -module,  $N$  is a left  $\mathbb{Z}[H]$ -module and  $\phi$  is a module map over  $\psi$ , i.e.,  $\phi(g.m) = \psi(g)\phi(m)$  for  $g \in G, m \in M$ . Then the corresponding diagram

$$\begin{array}{ccc} I(G) & \xrightarrow{f_\delta} & M \\ \psi \downarrow & & \downarrow \phi \\ I(H) & \xrightarrow{f_{\delta'}} & N \end{array} \quad (**)$$

is commutative.

■

The earlier proposition has the following corollaries:

**Corollary 1** *The subset  $Im d_G = \{g - 1 : g \in G\} \subset I(G)$  generates  $I(G)$  as a  $\mathbb{Z}[G]$ -module. Moreover the relations between these generators are generated by those of the form*

$$(g_1g_2 - 1) - (g_1 - 1) - g_1(g_2 - 1).$$

■

It is useful to have also the following reformulation of the above results stated explicitly.

**Corollary 2** *There is a natural isomorphism*

$$Der_G(G, M) \cong G-Mod(I(G), M).$$

■

### 3.2.5 Generation of $I(G)$ .

The first of these two corollaries raises the question as to whether, if  $X \subset G$  generates  $G$ , does the set  $G_X = \{x - 1 : x \in X\}$  generate  $I(G)$  as a  $\mathbb{Z}[G]$ -module.

**Proposition 4** *If  $X$  generates  $G$ , then  $G_X$  generates  $I(G)$ .*

**Proof:** We know  $I(G)$  is generated by the  $g - 1$ s for  $g \in G$ . If  $g$  is expressible as a word of length  $n$  in the generators  $X$  then we can write  $g - 1$  as a  $\mathbb{Z}[G]$ -linear combination of terms of the form  $x - 1$  in an obvious way. (If  $g = w.x$  with  $w$  of lesser length than that of  $g$ ,  $g - 1 = w - 1 + w(x - 1)$ , so use induction on the length of the expression for  $g$  in terms of the generators.) ■

**When  $G$  is free:** If  $G$  is free, say,  $G \cong F(X)$ , i.e., is free on the set  $X$ , we can say more.

**Proposition 5** *If  $G \cong F(X)$  is the free group on the set  $X$ , then the set  $\{x - 1 : x \in X\}$  freely generates  $I(G)$  as a  $\mathbb{Z}[G]$ -module.*

**Proof:** (We will write  $F$  for  $F(X)$ .) The easiest proof would seem to be to check the universal property of derived modules for the function  $\delta : F \rightarrow \mathbb{Z}[G]^{(X)}$ , given on generators by

$$\delta(x)(y) = \begin{cases} 1 & \text{if } x = y \\ 0 & \text{if } y \neq x; \end{cases}$$

then extended using the derivation rule to all of  $F$  using induction. This uses essentially that each element of  $F$  has a *unique* expression as a reduced word in the generators,  $X$ .

Suppose then that we have a derivation  $\partial : F \rightarrow M$ , define  $\bar{\partial} : \mathbb{Z}[G]^{(X)} \rightarrow M$  by  $\bar{\partial}(e_x) = \partial(x)$ , extending linearly. Since by construction  $\bar{\partial}\delta = \partial$  and is the unique such homomorphism, we are home. ■

**Note:** In both these proofs we are thinking of the elements of the free module on  $X$  as being functions from  $X$  to the group ring, these functions being of ‘finite support’, i.e. being non-zero on only a finite number of elements of  $X$ . This can cause some complications if  $X$  is infinite or has some topology as it will in some contexts. The *idea* of the proof will usually go across to that situation but details have to change. (A situation in which this happens is in profinite group theory where the derivations have to be continuous for the profinite topology on the group, see [98].)

### 3.2.6 $(D_\phi, d_\phi)$ , the general case.

We can now return to the identification of  $(D_\phi, d_\phi)$  in the general case.

**Proposition 6** *If  $\phi : G \rightarrow H$  is a homomorphism of groups, then  $D_\phi \cong \mathbb{Z}[H] \otimes_G I(G)$ , the tensor product of  $\mathbb{Z}[H]$  and  $I(G)$  over  $G$ .*

**Proof:** If  $M$  is a  $\mathbb{Z}[H]$ -module, we will write  $\phi^\sharp(M)$  for the restricted  $\mathbb{Z}[G]$ -module, i.e.  $M$  with  $G$ -action given by  $g.m := \phi(g).m$ . Recall that the functor  $\phi^\sharp$  has a left adjoint given by sending a  $G$ -module,  $N$  to  $\mathbb{Z}[H] \otimes_G N$ , i.e. take the tensor of Abelian groups,  $\mathbb{Z}[H] \otimes N$  and divide out by  $x \otimes g.n \equiv x\phi(g) \otimes n$ .



With this notation we have a chain of natural isomorphisms,

$$\begin{aligned} \text{Der}_\phi(G, M) &\cong \text{Der}_G(G, \phi^\sharp(M)) \\ &\cong G\text{-Mod}(I(G), \phi^\sharp(M)) \\ &\cong H\text{-Mod}(\mathbb{Z}[H] \otimes_G I(G), M), \end{aligned}$$

so by universality,

$$D_\phi \cong \mathbb{Z}[H] \otimes_G I(G),$$

as required. ■

### 3.2.7 $D_\phi$ for $\phi : F(X) \rightarrow G$ .

The above will be particularly useful when  $\phi$  is the ‘‘co-unit’’ map,  $F(X) \rightarrow G$ , for  $X$  a set that generates  $G$ . We could, for instance, take  $X = G$  as a set, and  $\phi$  to be the usual natural epimorphism.

In fact we have the following:

**Corollary 3** *Let  $\phi : F(X) \rightarrow G$  be an epimorphism of groups, then there is an isomorphism*

$$D_\phi \cong \mathbb{Z}[G]^{(X)}$$

*of  $\mathbb{Z}[G]$ -modules. In this isomorphism, the generator  $\partial_x$ , of  $D_\phi$  corresponding to  $x \in X$ , satisfies*

$$d_\phi(x) = \partial_x$$

*for all  $x \in X$ .* ■

(You should check that you see how this follows from our earlier results.)

## 3.3 Associated module sequences

### 3.3.1 Homological background

Given an exact sequence

$$1 \rightarrow K \rightarrow L \rightarrow Q \rightarrow 1$$

of abstract groups, then it is a standard result from homological algebra that there is an associated exact sequence of modules,

$$0 \rightarrow K^{Ab} \rightarrow \mathbb{Z}[Q] \otimes_L I(L) \rightarrow I(Q) \rightarrow 0.$$

There are several different proofs of this. Homological proofs give this as a simple consequence of the  $Tor^L$ -sequence corresponding to the exact sequence

$$0 \rightarrow I(L) \rightarrow \mathbb{Z}[L] \rightarrow \mathbb{Z} \rightarrow 0$$

together with a calculation of  $Tor_1^L(\mathbb{Z}[Q], \mathbb{Z})$ , but we are not assuming that much knowledge of standard homological algebra. That homological proof also, to some extent, hides what is happening at the ‘elementary’ level, in both the sense of ‘simple’ and also that of ‘what happens to the ‘elements’ of the groups and modules concerned.

The second type of proof is more directly algebraic and has the advantage that it accentuates various universal properties of the sequence. The most thorough treatment of this would seem to be by Crowell, [40], for the discrete case. We outline it below.

### 3.3.2 The exact sequence.

Before we start on the discussion of the exact sequence, it will be useful to have at our disposal some elementary results on Abelianisation of the groups in a crossed module. Here we actually only need them for normal subgroups but we will need it shortly anyway in the more general form. Suppose that  $(C, P, \partial)$  is a crossed module, and we will set  $A = \text{Ker} \partial$  with its module structure that we looked at before, and  $N = \partial C$ , so  $A$  is a  $P/N$ -module.

**Lemma 7** *The Abelianisation of  $C$  has a natural  $\mathbb{Z}[P/N]$ -module structure on it.*

**Proof:** First we should point out that by ‘‘Abelianisation’’ we mean  $C^{Ab} = C/[C, C]$ , which is, of course, Abelian and it suffices to prove that  $N$  acts trivially on  $C^{Ab}$ , since  $P$  already acts in a natural way. However, if  $n \in N$ , and  $\partial c = n$ , then for any  $c' \in C$ , we have that  ${}^n c' = \partial c c' = c c' c^{-1}$ , hence  ${}^n c' (c')^{-1} \in [C, C]$  or equivalently

$${}^n (c' [C, C]) = c' [C, C],$$

so  $N$  does indeed act trivially on  $C^{Ab}$ . ■

Of course  $N^{Ab}$  also has the structure of a  $\mathbb{Z}[P/N]$ -module and thus a crossed module gives one three  $P/N$ -modules. These three are linked as shown by the following proposition.

**Proposition 7** *Let  $(C, P, \partial)$  be a crossed module. Then the induced morphisms*

$$A \rightarrow C^{Ab} \rightarrow N^{Ab} \rightarrow 0$$

*form an exact sequence of  $\mathbb{Z}[P/N]$ -modules.*

**Proof:** It is clear that the sequence

$$1 \rightarrow A \rightarrow C \rightarrow N \rightarrow 1$$

is exact and that the induced homomorphism from  $C^{Ab}$  to  $N^{Ab}$  is an epimorphism. Since the composite homomorphism from  $A$  to  $N$  is trivial,  $A$  is mapped into  $\text{Ker}(C^{Ab} \rightarrow N^{Ab})$  by the composite  $A \rightarrow C \rightarrow C^{Ab}$ . It is easily checked that this is onto and hence the sequence is exact as claimed. ■

Now for the main exact sequence result here:

**Proposition 8** *Let*

$$1 \rightarrow K \xrightarrow{\phi} L \xrightarrow{\psi} Q \rightarrow 1$$

*be an exact sequence of groups and homomorphisms. Then there is an exact sequence*

$$0 \rightarrow K^{Ab} \xrightarrow{\tilde{\phi}} \mathbb{Z}[Q] \hat{\otimes}_L I(L) \xrightarrow{\tilde{\psi}} I(Q) \rightarrow 0$$

*of  $\mathbb{Z}[Q]$ -modules.*

**Proof:** By the universal property of  $D_\psi$ , there is a unique morphism

$$\tilde{\psi} : D_\psi \rightarrow I(Q)$$

such that  $\tilde{\psi}\partial_\psi = I(\psi)\partial_L$ .

Let  $\delta : K \rightarrow K^{Ab} = K/[K, K]$  be the canonical Abelianising morphism. We note that  $\partial_\psi\phi : K \rightarrow D_\psi$  is a homomorphism (since

$$\begin{aligned} \partial_\psi\phi(k_1k_2) &= \partial_\psi\phi(k_1) + \psi\phi(k_1)\partial_\psi\phi(k_2) \\ &= \partial_\psi\phi(k_1) + \partial_\psi\phi(k_2), \end{aligned}$$

so let  $\tilde{\phi} : K^{Ab} \rightarrow D_\psi$  be the unique morphism satisfying  $\tilde{\phi}\delta = \partial_\psi\phi$  with  $K^{Ab}$  having its natural  $\mathbb{Z}[Q]$ -module structure.

That the composite  $\tilde{\psi}\tilde{\phi} = 0$  follows easily from  $\psi\phi = 0$ . Since  $D_\psi$  is generated by symbols  $d\ell$  and  $\tilde{\psi}(d\ell) = \psi(\ell) - 1$ , it follows that  $\tilde{\psi}$  is onto. We next turn to “ $\text{Ker } \tilde{\psi} \subseteq \text{Im } \tilde{\phi}$ ”.

If we can prove  $\alpha : D_\psi \rightarrow I(Q)$  is the cokernel of  $\tilde{\phi}$ , then we will have checked this inclusion and incidentally will have proved that  $\tilde{\psi}$  is onto.

Now let  $D_\psi \rightarrow C$  be any morphism such that  $\alpha\tilde{\phi} = 0$ . Consider the diagram

$$\begin{array}{ccccc} K & \xrightarrow{\phi} & L & \xrightarrow{\psi} & Q \\ \delta \downarrow & & \downarrow \partial_\psi & & \downarrow \partial_Q \\ K^{Ab} & \xrightarrow{\tilde{\phi}} & D_\psi & \xrightarrow{\tilde{\psi}} & c(Q) \\ & & & \searrow \alpha & \\ & & & & C \end{array}$$

The composite  $\alpha\partial_\psi$  vanishes on the image of  $\phi$  since  $\alpha\partial_\psi\phi = \alpha\tilde{\phi}\delta$  and  $\alpha\tilde{\phi}$  is assumed zero. Define  $d : Q \rightarrow C$  by  $d(q) = \alpha\partial_\psi(\ell)$  for  $\ell \in L$  such that  $\psi(\ell) = q$ . As  $\alpha\partial_\psi$  vanishes on  $\text{Im } \phi$ , this is well defined and

$$\begin{aligned} d(q_1q_2) &= \alpha\partial_\psi(\ell_1\ell_2) \\ &= \alpha\partial_\psi(\ell_1) + \alpha(\psi(\ell_1)\partial_\psi(\ell_2)) \\ &= d(q_1) + q_1d(q_2) \end{aligned}$$

so  $d$  factors as  $\bar{\alpha}\partial_Q$  in a unique way with  $\bar{\alpha} : I(Q) \rightarrow C$ . It remains to prove that  $\alpha = \tilde{\psi}$ , but

$$\begin{aligned} \tilde{\psi}\partial_\psi &= I_C(\psi)\partial_L \\ &= \partial_Q\psi \end{aligned}$$

by the naturality of  $\partial$ . Now finally note that  $\bar{\alpha}\partial_Q = d$  and  $d\psi = \alpha\partial_\psi$  to conclude that  $\tilde{\psi}\partial_\psi$  and  $\alpha\partial_\psi$  are equal. Equality of  $\alpha$  and  $\bar{\alpha}\tilde{\psi}$  then follows by the uniqueness clause of the universal property of  $(D_\psi, \partial_\psi)$ .

Next we need to check that  $K^{Ab} \rightarrow D_\psi$  is a monomorphism. To do this we use the fact that there is a transversal,  $s : Q \rightarrow L$ , satisfying  $s(1) = 1$ . This means that, following Crowell, [40] p. 224, we can for each  $\ell \in L$ ,  $q \in Q$ , find an element  $q \times \ell$  uniquely determined by the equation

$$\phi(q \times \ell) = s(q)\ell s(q\psi(\ell))^{-1},$$

which, of course, defines a function from  $Q \times L$  to  $K$ . Crowell's lemma 4.5 then shows

$$q \times \ell_1 \ell_2 = (q \times \ell_1)(q\psi(\ell_1) \times \ell_2) \quad \text{for } \ell_1, \ell_2 \in L.$$

Now let  $M = \mathbb{Z}[Q]^{(X)}$ , with  $X = \{\partial\ell : \ell \in L\}$ , so that there is an exact sequence

$$M \rightarrow D_\psi \rightarrow 0.$$

The underlying group of  $\mathbb{Z}[Q]$  is the free Abelian group on the underlying set of  $Q$ . Similarly  $M$ , above, has, as underlying group, the free Abelian group on the set  $Q \times X$ .

Define a map  $\tau : M \rightarrow K^{Ab}$  of Abelian groups by

$$\tau(a, \partial\ell) = \delta(q \times \ell).$$

We check that if  $p(m) = 0$ , then  $\tau(m) = 0$ . Since  $\text{Ker } p$  is generated as a  $\mathbb{Z}[Q]$ -module by elements of the form

$$\partial(\ell_1 \ell_2) - \partial\ell_1 - \psi(\ell_1)\partial\ell_2,$$

it follows that as an Abelian group,  $\text{Ker } p$  is generated by the elements

$$(q, \partial(\ell_1 \ell_2)) - (q, \partial\ell_1) - (q\psi(\ell_1), \partial\ell_2).$$

We claim that  $\tau$  is zero on these elements; in fact

$$\begin{aligned} \tau(q, \partial(\ell_1 \ell_2)) &= \delta(q \times (\ell_1 \ell_2)) \\ &= \delta(q \times \ell_1) + \delta(q\psi(\ell_1) \times \ell_2) \\ &= \tau(q, \ell_1) + \tau(q\psi(\ell_1), \ell_2). \end{aligned}$$

Thus  $\tau$  induces a map  $\eta : D_\psi \rightarrow K^{Ab}$  of Abelian groups.

Finally we check  $\eta\tilde{\phi} = \text{identity}$ , so that  $\tilde{\phi}$  is a monomorphism: let  $b \in K^{Ab}$ ,  $k \in K$  be such that  $\delta(k) = b$ , then

$$\begin{aligned} \eta\tilde{\phi}(b) &= \eta\tilde{\phi}\delta(k) \\ &= \eta\partial_\psi(k) \\ &= \delta(1 \times \phi(k)), \end{aligned}$$

but  $1 \times \phi(k)$  is uniquely determined by

$$\phi(1 \times \phi(k)) = s(1)\phi(k)s(1\psi\phi(k))^{-1} = \phi(k),$$

since  $s(1) = 1$ , hence  $1 \times \phi(k) = k$  and  $\eta\tilde{\phi}(b) = \delta(k) = b$  as required. ■

A discussion of the way in which this result interacts with the theory of covering spaces can be found in Crowell's paper already cited. We will very shortly see the connection of this module sequence with the Jacobian matrix of a group presentation and the Fox free differential calculus. It is this latter connection which suggests that we need more or less explicit formulae for the maps  $\tilde{\phi}$  and  $\tilde{\psi}$  and hence requires that Crowell's detailed proof be used, not the slicker homological proof.

### 3.3.3 Reidemeister-Fox derivatives and Jacobian matrices

At various points we will refer to Reidemeister-Fox derivatives as developed by Fox in a series of articles, see [58], and also summarised in Crowell and Fox, [41]. We will call these derivatives *Fox derivatives*.

Suppose  $G$  is a group and  $M$  a  $G$ -module and let  $\delta : G \rightarrow M$  be a derivation, (so  $\delta(g_1g_2) = \delta(g_1) + g_1\delta(g_2)$  for all  $g_1, g_2 \in G$ ), then, for calculations, the following lemma is very valuable, although very simple to prove.

**Lemma 8** *If  $\delta : G \rightarrow M$  is a derivation, then*

- (i)  $\delta(1_G) = 0$ ;
- (ii)  $\delta(g^{-1}) = -g^{-1}\delta(g)$  for all  $g \in G$ ;
- (iii) for any  $g \in G$  and  $n \geq 1$ ,

$$\delta(g^n) = \left( \sum_{k=0}^{n-1} g^k \right) \delta(g).$$

**Proof:** As was said, these are easy to prove.

$\delta(g) = \delta(1g) + 1\delta(g)$ , so  $\delta(1) = 0$ , and hence (i); then

$$\delta(1) = \delta(g^{-1}g) = \delta(g^{-1}) + g^{-1}\delta(g)$$

to get (ii), and finally induction to get (iii). ■

The Fox derivatives are derivations taking values in the group ring as a left module over itself. They are defined for  $G = F(X)$ , the free group on a set  $X$ . (We usually write  $F$  for  $F(X)$  in what follows.)

**Definition:** For each  $x \in X$ , let

$$\frac{\partial}{\partial x} : F \rightarrow \mathbb{Z}F$$

be defined by

- (i) for  $y \in X$ ,

$$\frac{\partial y}{\partial x} = \begin{cases} 1 & \text{if } x = y \\ 0 & \text{if } y \neq x; \end{cases}$$

- (ii) for any words,  $w_1, w_2 \in F$ ,

$$\frac{\partial}{\partial x}(w_1w_2) = \frac{\partial}{\partial x}w_1 + w_1\frac{\partial}{\partial x}w_2.$$

Of course, a routine proof shows that the derivation property in (ii) defines  $\frac{\partial w}{\partial x}$  for any  $w \in F$ .

This derivation,  $\frac{\partial}{\partial x}$ , will be called the *Fox derivative with respect to the generator  $x$* .

**Example:** Let  $X = \{u, v\}$ , with  $r \equiv uvv^{-1}u^{-1}v^{-1} \in F = F(u, v)$ , then

$$\begin{aligned} \frac{\partial r}{\partial u} &= 1 + uv - uvv^{-1}u^{-1}, \\ \frac{\partial r}{\partial v} &= u - uvv^{-1} - uvv^{-1}u^{-1}v^{-1}. \end{aligned}$$

This relation is the typical braid group relation, here in  $Br_3$ , and we will come back to these simple calculations later.

It is often useful to extend a derivation  $\delta : G \rightarrow M$  to a linear map from  $\mathbb{Z}G$  to  $M$  by the simple rule that  $\delta(g + h) = \delta(g) + \delta(h)$ .

We have

$$Der(F, \mathbb{Z}F) \cong F\text{-Mod}(IF, \mathbb{Z}F),$$

and that

$$IF \cong \mathbb{Z}F^{(X)},$$

with the isomorphism matching each generating  $x - 1$  with  $e_x$ , the basis element labelled by  $x \in X$ . (The universal derivation then sends  $x$  to  $e_x$ .)

For each given  $x$ , we thus obtain a morphism of  $F$ -modules:

$$d_x : \mathbb{Z}F^{(X)} \rightarrow \mathbb{Z}F$$

with

$$\begin{aligned} d_x(e_y) &= 1 & \text{if } y = x \\ d_x(e_y) &= 0 & \text{if } y \neq x, \end{aligned}$$

i.e., the ‘projection onto the  $x^{\text{th}}$ -factor’ or ‘evaluation at  $x \in X$ ’ depending on the viewpoint taken of the elements of the free module,  $\mathbb{Z}F^{(X)}$ .

Suppose now that we have a group presentation,  $\mathcal{P} = (X : R)$ , of a group,  $G$ . Then we have a short exact sequence of groups

$$1 \rightarrow N \xrightarrow{\phi} F \xrightarrow{\gamma} G \rightarrow 1,$$

where  $N = N(R)$ ,  $F = F(X)$ , i.e.,  $N$  is the normal closure of  $R$  in the free group  $F$ . We also have a free crossed module,

$$C \xrightarrow{\partial} F,$$

constructed from the presentation and hence, two short exact sequences of  $G$ -modules with  $\kappa(\mathcal{P}) = \text{Ker } \partial$ , the module of identities of  $\mathcal{P}$ ,

$$0 \rightarrow \kappa(\mathcal{P}) \rightarrow C^{Ab} \rightarrow N^{Ab} \rightarrow 0,$$

and also

$$0 \rightarrow N^{Ab} \xrightarrow{\tilde{\phi}} IF \otimes_F \mathbb{Z}G \rightarrow IG \rightarrow 0.$$

We note that the first of these is exact because  $N$  is a free group, further

$$C^{Ab} \cong \mathbb{Z}G^{(R)},$$

(the proof is left to you to manufacture from earlier results), and the map from this to  $N^{Ab}$  in the first sequence sends the generator  $e_r$  to  $r[N, N]$ .

We next revisit the derivation of the associated exact sequence (Proposition 8, page 50) in some detail to see what  $\tilde{\phi}$  does to  $r[N, N]$ . We have  $\tilde{\phi}(r[N, N]) = \partial_\gamma \phi(r) = \partial_\gamma(r)$ , considering  $r$  now as an element of  $F$ , and by Corollary 3, on identifying  $D_\gamma$  with  $\mathbb{Z}G^{(X)}$  using the isomorphism between  $IF$  and  $\mathbb{Z}F^{(X)}$ , we can identify  $\partial_\gamma(x) = e_x$ . We are thus left to determine  $\partial_\gamma(r)$  in terms of the  $\partial_\gamma(x)$ , i.e., the  $e_x$ . The following lemma does the job for us.

**Lemma 9** *Let  $\delta : F \rightarrow M$  be a derivation and  $w \in F$ , then*

$$\delta w = \sum_{x \in X} \frac{\partial w}{\partial x} \delta x.$$

**Proof:** By induction on the length of  $w$ . ■

In particular we thus can calculate

$$\partial_\gamma(r) = \sum \frac{\partial r}{\partial x} e_x.$$

Tensoring with  $\mathbb{Z}G$ , we get

$$\tilde{\phi}(r[N, N]) = \sum \frac{\partial r}{\partial x} e_x \otimes 1.$$

There is one final step to get this into a usable form:

From the quotient map  $\gamma : F \rightarrow G$ , we, of course, get an induced ring homomorphism,  $\gamma : \mathbb{Z}F \rightarrow \mathbb{Z}G$ , and hence we have elements  $\gamma(\frac{\partial r}{\partial x}) \in \mathbb{Z}G$ . Of course,

$$\frac{\partial r}{\partial x} e_x \otimes 1 = e_x \otimes \gamma\left(\frac{\partial r}{\partial x}\right),$$

so we have, on tidying up notation just a little:

**Proposition 9** *The composite map*

$$\mathbb{Z}G^{(R)} \rightarrow N^{Ab} \rightarrow \mathbb{Z}G^{(X)}$$

*sends  $e_r$  to  $\sum \gamma(\frac{\partial r}{\partial x}) e_x$  and so has a matrix representation given by  $J_{\mathcal{P}} = (\gamma(\frac{\partial r_i}{\partial x_j}))$ .* ■

**Definition:** The *Jacobian matrix* of a group presentation,  $\mathcal{P} = (X : R)$  of a group  $G$  is

$$J_{\mathcal{P}} = \left( \gamma\left(\frac{\partial r_i}{\partial x_j}\right) \right),$$

in the above notation.

The application of  $\gamma$  to the matrix of Fox derivatives simplifies expressions considerable in the matrix. The usual case of this is if a relator has the form  $rs^{-1}$ , then we get

$$\frac{\partial rs^{-1}}{\partial x} = \frac{\partial r}{\partial x} - rs^{-1} \frac{\partial s}{\partial x}$$

and if  $r$  or  $s$  is quite long this looks moderately horrible to work out! However applying  $\gamma$  to the answer, the term  $rs^{-1}$  in the second of the two terms becomes 1. We can actually think of this as replacing  $rs^{-1}$  by  $r - s$  when working out the Jacobian matrix.

**Example:  $Br_3$  revisited.** We have  $r \equiv uvv^{-1}u^{-1}v^{-1}$ , which has the form  $(uvu)(vuv)^{-1}$ . This then gives

$$\gamma\left(\frac{\partial r}{\partial u}\right) = 1 + uv - v \quad \text{and} \quad \gamma\left(\frac{\partial r}{\partial v}\right) = u - 1 - vu,$$

abusing notation to ignore the difference between  $u, v$  in  $F(u, v)$  and the generating  $u, v$  in  $Br_3$ .

**Homological 2-szygies:** In general we obtain a truncated chain complex:

$$\mathbb{Z}G^{(R)} \xrightarrow{d_2} \mathbb{Z}G^{(X)} \xrightarrow{d_1} \mathbb{Z}G \xrightarrow{d_0} \mathbb{Z} \rightarrow 0,$$

with  $d_2$  given by the Jacobian matrix of the presentation, and  $d_1$  sending generator  $e_x^1$  to  $1 - x$ , so  $Im d_1$  is the augmentation ideal of  $\mathbb{Z}G$ .

**Definition:** A *homological 2-szygy* is an element in  $Ker d_2$ .

A homological 2-szygy is thus an element to be killed when building the third level of a resolution of  $G$ . What are the links between homotopical and homological szygies? Brown and Huebschmann, [29], show they are isomorphic, as  $Ker d_2$  is isomorphic to the module of identities. We will examine this result in more detail shortly.

**Homological Szygies for the braid group presentations:**

The Artin braid group,  $Br_{n+1}$ , defined using  $n + 1$  strands is given by

- generators:  $y_i, i = 1, \dots, n$ ;
- relations:  $r_{ij} \equiv y_i y_j y_i^{-1} y_j^{-1}$  for  $i + 1 < j$ ;  
 $r_{ii+1} \equiv y_i y_{i+1} y_i^{-1} y_{i+1}^{-1}$  for  $1 \leq i < n$ .

We will look at such groups only for small values of  $n$ .

By default,  $Br_2$  has one generator and no relations, so is infinite cyclic.

**The group  $Br_3$ :** (We will simplify notation writing  $u = y_1, v = y_2$ .)

This then has presentation  $\mathcal{P} = (u, v : r \equiv uvwv^{-1}u^{-1}v^{-1})$ . It is also the ‘trefoil group’, i.e. the fundamental group of the complement of a trefoil knot. If we construct  $X(2) = K(\mathcal{P})$ , this is already a  $K(Br_3, 1)$  space, having a trivial  $\pi_2$ . There are no higher szygies.

We have all the calculation for working with homological szygies here. The key part of the complex is the Jacobian matrix as that determines  $d_2$ :

$$d_2 = \begin{pmatrix} 1 + uv - v & u - 1 - vu \end{pmatrix}.$$

This has trivial kernel, but, in fact, that comes most easily from the identification with homotopical szygies.

**The group  $Br_4$ :** simplifying notation as before, we have generators  $u, v, w$  and relations

$$\begin{aligned} r_u &\equiv v w v w^{-1} v^{-1} w^{-1}, \\ r_v &\equiv u w u^{-1} w^{-1}, \\ r_w &\equiv u v u v^{-1} u^{-1} v^{-1}. \end{aligned}$$

The 1-szygies are made up of hexagons for  $r_u$  and  $r_w$  and a square for  $r_v$ . There is a fairly obvious way of fitting together squares and hexagons, namely as a permutohedron, and there is a labelling of such that gives a homotopical 2-szygy.



The presentation yields a truncated chain complex with  $d_2$

$$\mathbb{Z}G^{(r_u, r_v, r_w)} \xrightarrow{d_2} \mathbb{Z}G^{(u, v, w)}$$

with

$$d_2 = \begin{pmatrix} 0 & 1 + vw - w & v - 1 - vw \\ 1 - w & 0 & u - 1 \\ 1 + uv - v & u - 1 - vu & 0 \end{pmatrix}$$

and Loday, [76], has calculated that for the permutohedral 2-syzygy,  $s$ , one gets another term of the resolution,  $\mathbb{Z}G^{(s)}$ , and a  $d_3 : \mathbb{Z}G^{(s)} \rightarrow \mathbb{Z}G^{(r_u, r_v, r_w)}$  given by

$$d_3 = \begin{pmatrix} 1 + vu - u - wuv & v - vwu - 1 - uv - vuwv & 1 + vw - w - uvw \end{pmatrix}.$$

For more on methods of working with these syzygies, have a look at Loday's paper, [76], and some of the references that you will find there.

### 3.4 The reflection from $Crs$ to chain complexes

It is now time to return to the construction of a left adjoint for  $\Delta_G$ .

**Proposition 10** *The functor  $\Delta_G$  has a left adjoint.*

**Proof:** We construct the left adjoint explicitly as follows:

Let  $f. : (C, \phi) \rightarrow \Delta_G(M.)$  be a morphism in  $Crs_G$ , then we have the following commutative diagram

$$\begin{array}{ccccccc} \cdots & \longrightarrow & C_2 & \xrightarrow{\delta_2} & C_1 & \xrightarrow{\delta_1} & C_0 & \xrightarrow{\phi} & G \\ & & \downarrow f_2 & & \downarrow f_1 & & \downarrow f_0 & & \downarrow Id_G \\ \cdots & \longrightarrow & M_2 & \xrightarrow{\delta_2} & M_1 & \xrightarrow{\delta_1} & M_0 \rtimes G & \xrightarrow{pr_G} & G \end{array}$$

Since the right hand square commutes,  $f_0$  is given by some formula

$$f_0(c) = (\partial(c), \phi(c)),$$

where  $\partial : C_0 \rightarrow M_0$  is a  $\phi$ -derivation. Thus  $\partial = \tilde{f}_0 \partial_\phi$  for a unique  $G$ -module morphism,  $\tilde{f}_0 : D_\phi \rightarrow M_0$ , and  $f_0$  factors as

$$C_0 \xrightarrow{\bar{\phi}} D_\phi \rtimes G \xrightarrow{\tilde{f}_0 \rtimes G} M_0 \rtimes G,$$

where  $\bar{\phi}(c) = (\partial_\phi(c), \phi(c))$ .

The map  $\partial_\phi \delta_1 : C_1 \rightarrow D_\phi$  is a homomorphism since

$$\begin{aligned} \partial_\phi \delta_1(c_1 c_2) &= \partial_\phi \delta_1(c_1) + \phi \delta_1(c_1) \partial_\phi \delta_1(c_2) \\ &= \partial_\phi \delta_1(c_1) + \partial_\phi \delta_1(c_2), \end{aligned}$$

$\phi \delta_1$  being trivial (because  $(C, \phi)$  is  $G$ -augmented). We thus obtain a map  $d : C_1^{Ab} \rightarrow D_\phi$  given by  $d(c[C, C]) = \partial_\phi \delta_1(c)$  for  $c \in C_1$ . As we observed earlier the Abelian group  $C_1^{Ab}$  has a natural  $\mathbb{Z}[G]$ -module structure making  $d$  a  $G$ -module morphism.

Similarly there is a unique  $G$ -module morphism,

$$\tilde{f}_1 : C_1^{Ab} \rightarrow M_1,$$

satisfying

$$\tilde{f}_1(c[C, C]) = f_1(c).$$

Since for  $c \in C_1$ ,

$$(d_1 \tilde{f}_1(c), 1) = f_0(\delta_1 c) = (\tilde{f}_0 \partial_\phi(\delta_1 c_1), 1),$$

we have that the diagram

$$\begin{array}{ccc} C_1^{Ab} & \xrightarrow{\tilde{f}_1} & M_1 \\ d \downarrow & & \downarrow d_1 \\ D_\phi & \xrightarrow{\tilde{f}_0} & M_0 \end{array}$$

commutes.

We also note that since  $\delta_2 : C_2 \rightarrow C_1$  maps into  $\text{Ker } \delta_1$ , the composite

$$C_2 \xrightarrow{\delta_2} C_1 \xrightarrow{\text{can}} C_1^{Ab} \xrightarrow{d} D_\phi,$$

being given by  $d(\delta_2(c)[C, C]) = \partial_\phi \delta_1 \delta_2(c)$ , is trivial and that  $\tilde{f}_1 \delta_2(c[C, C]) = f_1 \delta_2(c) = d_2 f_2(c)$ , thus we can define  $\xi = \xi_G(\mathbb{C}, \phi)$  by

$$\begin{aligned} \xi_n &= C_n \text{ if } n \geq 2 \\ \xi_1 &= C_1^{Ab}, \\ \xi_0 &= D_\phi, \end{aligned}$$

the differentials being as constructed. We note that as  $\text{Ker } \phi$  acts trivially on all  $C_n$  for  $n \geq 2$ , all the  $C_n$  have  $\mathbb{Z}[G]$ -module structures.

That  $\xi_G$  gives a functor

$$Crs \rightarrow Ch(G\text{-Mod})$$

is now easy to check using the uniqueness clauses in the universal properties of  $D_\phi$  and Abelianisation. Again uniqueness guarantees that the process “ $f$  goes to  $\tilde{f}$ ” gives a natural isomorphism

$$Ch(G\text{-Mod})(\xi_G(\mathbb{C}, \phi), \mathbb{M}) \cong Crs_G((\mathbb{C}, \phi), \Delta_G(\mathbb{M}))$$

as required. ■

It is relatively easy to extend the above natural isomorphism to handle morphisms of crossed complexes over different groups. For a detailed treatment one needs a discussion of the way that the change of groups functors work with crossed modules or crossed complexes, that is, if we have a morphism of groups  $\theta : G \rightarrow H$  then we would expect to get functors between  $Crs_G$  and  $Crs_H$  induced by  $\theta$ . These do exist and are very nicely behaved, but they will not be discussed here, see [98] for a full treatment in the more general context of profinite groups.

### 3.4.1 Crossed resolutions and chain resolutions

One of our motivations for introducing crossed complexes was that they enable us to model more of the sort of information encoded in a  $K(G, 1)$  than does the usual standard algebraic models, e.g. a chain complex such as the bar resolution. In particular, whilst the bar resolution is very good for cohomology with Abelian coefficients for non-Abelian cohomology the crossed version can allow us to push things further, but then comparison on the Abelian theory is very necessary! It is therefore of importance to see how this  $K(G, 1)$  information that we have encoded changes under the functor  $\xi : \text{Crs} \rightarrow \text{Ch}(G\text{-Mod})$ .

We start with a crossed resolution determined in low dimensions by a presentation  $\mathcal{P} = (X : R)$  of a group,  $G$ . Thus, in this case,  $C_0 = F(X)$  with  $\phi : F(X) \rightarrow G$ , the ‘usual’ epimorphism, and  $C_1 \rightarrow C_0$  is  $C \rightarrow F(X)$ , the free crossed module on  $R \rightarrow F(X)$ . It is not too hard to show that  $C_1^{Ab} \cong \mathbb{Z}[G]^{(R)}$ , the free  $\mathbb{Z}[G]$ -module on  $R$ . (The proof is left as an exercise.) This maps down onto  $N(R)^{Ab}$ , the Abelianisation of the normal closure of  $R$  in  $F(X)$  via a map

$$\partial_* : \mathbb{Z}[G]^{(R)} \rightarrow N(R)^{Ab},$$

given by  $\partial_*(e_r) = r[N(R), N(R)]$ , where  $e_r$  is the generator of  $\mathbb{Z}[G]$  corresponding to  $r \in R$ .

There is also a short exact sequence

$$1 \rightarrow N(R) \xrightarrow{i} F(X) \xrightarrow{\phi} G \rightarrow 1$$

and hence by Proposition 8, a short exact sequence

$$0 \rightarrow N(R)^{Ab} \xrightarrow{\tilde{i}} \mathbb{Z}[G] \otimes_F I(F) \xrightarrow{\tilde{\phi}} I(G) \rightarrow 0$$

(where we have written  $F = F(X)$ ).

By the Corollary to Proposition 6, we have

$$\mathbb{Z}[G] \otimes_F I(F) \cong \mathbb{Z}[G]^{(X)}.$$

The required map  $C_1^{Ab} \rightarrow D_\phi$  is the composite

$$\mathbb{Z}[G]^{(R)} \xrightarrow{\partial_*} N(R)^{Ab} \xrightarrow{\tilde{i}} \mathbb{Z}[G]^{(X)}.$$

We have given an explicit description of  $\partial_*$  above, so to complete the description of  $d$ , it remains to describe  $\tilde{i}$ , but  $\tilde{i}$  satisfies  $\tilde{i}\delta = \partial_\phi i$ , where  $\delta : N(R) \rightarrow N(R)^{Ab}$ , so  $\tilde{i}(r[N(R), N(R)]) = d_\phi(r)$ . Thus if  $r$  is a relator, i.e., if it is in the image of the subgroup generated by the elements of  $R$ , then  $\partial(e_r)$  can be written as a finite sum of the form  $\sum_x a_x e_x$  and the elements  $a_x \in \mathbb{Z}[G]$  are the images of the Fox derivatives.

This operator can best be viewed as the Alexander matrix of a presentation of a group, further study of this operator depends on studying transformations between free modules over group rings, and we will not attempt to study those here.

The rest of the crossed resolution does not change and so, on replacing  $I(G)$  by  $\mathbb{Z}[G] \rightarrow \mathbb{Z}$ , we obtain a free pseudocompact  $\mathbb{Z}[G]$ -resolution of the trivial module  $\mathbb{Z}$ ,

$$\dots \rightarrow \mathbb{Z}[G]^{(R)} \xrightarrow{d} \mathbb{Z}[G]^{(X)} \rightarrow \mathbb{Z}[G] \rightarrow \mathbb{Z}$$

built up from the presentation. This is the complex of chains on the universal cover,  $\widetilde{K(G, 1)}$ , where  $K(G, 1)$  is constructed starting from a presentation  $\mathcal{P}$ .

### 3.4.2 Standard crossed resolutions and bar resolutions

We next turn to the special case of the standard crossed resolution of  $G$  discussed briefly earlier. Of course this is a special case of the previous one, but it pays to examine it in detail.

Clearly in  $\xi = \xi(CG, \phi)$ , we have:

$\xi_0 =$  the free  $\mathbb{Z}[G]$ -module on the underlying set of  $G$ , individual generators being written  $[u]$ , for  $u \in G$ ;

$\xi_1 =$  the free  $\mathbb{Z}[G]$ -module on  $G \times G$ , generators being written  $[u, v]$ ;

$\xi_n = C_n G$ , the free  $\mathbb{Z}[G]$ -module on  $G^{n+1}$ , etc.

The map  $d_2 : \xi_2 \rightarrow \xi_1$  induced from  $\delta_2$  is given by

$$d_2[u, v, w] = u[v, w] - [u, v] - [uv, w] + [u, vw],$$

and the map  $d_1 : \xi_1 \rightarrow \xi_0$  by

$$\begin{aligned} d_1([u, v]) &= d_\phi([uv]^{-1}[u][v]) \\ &= v^{-1}u^{-1}(-[uv] + [u] + u[v]), \end{aligned}$$

a unit times the usual bar resolution formula. Thus, as claimed earlier, the standard crossed resolution is the crossed analogue of the bar resolution.

### 3.4.3 The intersection $A \cap [C, C]$ .

We next turn to a comparison of homological and homotopical syzygies. We have almost all the preliminary work already. The next ingredient is a result that will identify the intersection of the kernel of a crossed module,  $A = \text{Ker}(C \xrightarrow{\partial} P)$  and the commutator subgroup of  $C$ .

The kernel of the homomorphism from  $A$  to  $C^{Ab}$  is, of course,  $A \cap [C, C]$  and this need not be trivial. In fact, Brown and Huebschmann ([29], p.160) note that in examples of type  $(G, \text{Aut}(G), \partial)$ , the kernel of  $\partial$  is, of course, the centre  $ZG$  of  $G$  and  $ZG \cap [G, G]$  can be non-trivial, for instance, if  $G$  is dicyclic or dihedral.

We will adopt the same notation as previously with  $N = \partial P$  etc.

**Proposition 11** *If in the exact sequence of groups*

$$1 \rightarrow A \rightarrow C \rightarrow N \rightarrow 1,$$

*the epimorphism from  $C$  to  $N$  is split (the splitting need not respect  $G$  action), then  $A \cap [C, C]$  is trivial.*

**Proof:** Given a splitting  $s : N \rightarrow C$ , the group  $C$  can be written as  $A \rtimes s(N)$ . The commutators in  $C$ , therefore, all lie in  $s(N)$  since  $A$  is Abelian, but then, of course,  $A \cap [C, C]$  cannot contain any non-trivial elements. ■

Brown and Huebschmann, [29], p. 168, prove that for an group  $G$  with presentation  $\mathcal{P}$ , the module of identities for  $\mathcal{P}$  is naturally isomorphic to the second homology group,  $H_2(\tilde{K}(\mathcal{P}))$ , of the universal cover of  $K(\mathcal{P})$ , the 2-complex of the presentation. We can approach this via the algebraic constructions we have.

Given a presentation  $\mathcal{P} = \langle X : R \rangle$  of a group  $G$ , the algebraic analogue of  $K(\mathcal{P})$ , we have argued above, is the free crossed module  $C(\mathcal{P}) \xrightarrow{d} F(X)$  and the chains on the universal cover of  $K(\mathcal{P})$  will be given by  $\xi_G$  of this, i.e., by the chain complex

$$\mathbb{Z}[G]^{(R)} \xrightarrow{d} \mathbb{Z}[G]^{(X)}.$$

In general there will be a short exact sequence

$$0 \rightarrow \kappa(\mathcal{P}) \cap [C(\mathcal{P}), C(\mathcal{P})] \rightarrow \kappa(\mathcal{P}) \rightarrow H_2(\xi(C(\mathcal{P}))) \rightarrow 0.$$

This short exact sequence yields the Brown-Huebschmann result as  $N(R)$  will a free group so the epimorphism onto  $N(R)$  splits and we can use the above Proposition 11. We thus get

**Proposition 12** *If  $\mathcal{P} = \langle X : R \rangle$  is a free presentation of  $G$ , then there is an isomorphism*

$$\kappa \xrightarrow{\cong} H_2(\xi(C(\mathcal{P}))) = \text{Ker}(d : \mathbb{Z}[G]^R \rightarrow \mathbb{Z}[G]^X).$$

■

**Note:** Here we are using something that will not be true in all algebraic settings. A subgroup of a free group is always free, but the analogous statement for free algebras of other types is not true.

### 3.5 From simplicial groups to crossed complexes:

Given any simplicial group  $G$ , the formula,

$$C(G)_{n+1} = \frac{NG_n}{(NG_n \cap D_n)d_0(NG_{n+1} \cap D_{n+1})},$$

in higher dimensions with at its ‘bottom end’ the crossed module,

$$\frac{NG_1}{d_0(NG_2 \cap D_2)} \rightarrow NG_0$$

gives a crossed complex with  $\partial$  induced from the boundary in the Moore complex. (The detailed proof is too long to indicate here. It just checks the axioms one by one.)

We need this because we can also use simplicial resolutions to ‘resolve’ a group (and in many other situations). We first sketch in some historical background.

In the 1960s, the connection between simplicial groups and cohomology was examined in detail. The basic idea was that given the adjoint “free-forget” pair of functors between *Groups* and *Sets*, one could generate a free resolution of a group,  $G$ , using the resulting monad (or triple) (cf. MacLane, [77]). This resolution was not, however, by a chain complex but by a free simplicial group,  $F$ , say. It was then shown (Barr and Beck, [11]) that given any  $G$ -module,  $M$ , and working in the category of groups over  $G$ , one could form the cosimplicial  $G$ -module,  $\text{Hom}_{\text{Gps}/G}(F, M)$ , and hence, by a dual form of the Dold-Kan theorem, a cochain complex  $C(G, M)$ , whose homotopy type, and hence whose homology, was independent of the choice of  $F$ . This homology was the usual Eilenberg-MacLane cohomology of  $G$  with coefficients in  $M$ , but with a shift in dimension (cf. Barr and Beck, [11]).

Other theories of cohomology were developed at about the same time by Grothendieck and Verdier, [5], André, [3, 4], and Quillen, [99, 100]. The first of these was designed for use with “sites”, that is, categories together with a Grothendieck topology.

André and Quillen developed, independently, a method of defining cohomology using simplicial resolutions. Their work is best known in commutative algebra, but their methods work in greater generality. Unlike the theory of Barr and Beck (monadic cohomology), they only assume there is enough structure to construct free resolutions; a monad is just one way of doing this. In particular, André, [3, 4], describes a step-by-step, almost combinatorial, process for constructing such resolutions. This ties in well with our earlier comments about using a presentation of a group to construct a crossed resolution and the important link with syzygies. André’s method is the simplicial analogue of this.

We will assume for the moment that we have a simplicial resolution,  $F$ , of our group,  $G$ . Both André and Quillen then consider applying a derived module construction dimensionwise to  $F$ , obtaining a simplicial  $G$ -module. They then use “Dold-Kan” to give a chain complex of  $G$ -modules, which they call the “cotangent complex”, denoted  $L_G$  or  $LAb(G)$ , of  $G$  (at least in the case of commutative algebras). The homotopy type of  $LAb(G)$  does not depend on the choice of resolution and so is a useful invariant of  $G$ . We will need to look at this construction in more detail, but will consider a slightly more general situation to start with.

### 3.5.1 Free simplicial resolutions

Standard theory (cf. Duskin, [49]) shows that if  $F$  and  $F'$  are free pro- $\mathcal{C}$  simplicial resolutions of groups  $G$  and  $H$ , say, and  $f : G \rightarrow H$  is a morphism, then  $f$  can be lifted to  $f' : F \rightarrow F'$ . The method is the simplicial analogue of lifting a homomorphism of modules to a map of resolutions of those modules, which you should look at first as it is technically simpler. Any two such lifts are homotopic (by a simplicial homotopy).

Of course,  $f$  will also lift to a morphism of crossed complexes,  $f : C(F) \rightarrow C(F')$ , and any two such lifts will be *homotopic* as crossed complex morphisms. Thus whatever simplicial lift,  $f' : F \rightarrow F'$ , we choose,  $C(f')$  will be a lift in the “crossed” case, and although we do not know at this stage of our discussion of the theory if a homotopy between two simplicial lifts is transferred to a homotopy between the images under  $C$ , this does not matter as the *relation* of homotopy is preserved at least in this case of resolutions.

Any group has a free simplicial resolution. There is the obvious adjoint pair of functors

$$\begin{aligned} U & : \text{Groups} \rightarrow \text{Sets} \\ F & : \text{Sets} \rightarrow \text{Groups} \end{aligned}$$

Writing  $\eta : Id \rightarrow UF$  and  $\varepsilon : FU \rightarrow Id$  for the unit and counit of this adjunction (cf. MacLane, [77, 78]), we have a comonad (or cotriple) on *Groups*, the free group comonad  $\langle FU, \varepsilon, F\eta U \rangle$ . We write  $T = FU$ ,  $\mu = F\eta U$ , so that

$$\varepsilon : T \rightarrow I$$

is the counit of the comonad whilst

$$\mu : T \rightarrow T^2$$

is the comultiplication. (For the reader who has not met monads or comonads before,  $(T, \eta, \mu)$  behaves as if it was a monoid in the dual of the category of “endofunctors” on *Groups*, see MacLane, [78] Chapter VI.)

Now suppose  $G$  is a group and set  $F(G)_i = T^{i+1}(G)$ , so that  $F(G)_0$  is the free group on the underlying set of  $G$  and so on. The counit (which is just the augmentation morphism from  $FU(G)$  to  $G$ ) gives, in each dimension, face morphisms

$$d_i = T^{i-1}\varepsilon T^{n-i+1}(G) : T^{n+1}(G) \rightarrow T^n(G),$$

whilst the comultiplication gives degeneracies

$$\begin{aligned} s_i &: T^n(G) \rightarrow T^{n+1}(G) \\ s_i &= T^i \mu T^{(n-1)-i} \end{aligned}$$

satisfying the simplicial identities.

This simplicial group,  $F(G)$ , satisfies  $\pi_0(F(G)) \cong G$  (the isomorphism being induced by  $\varepsilon(G) : F_0(G) \rightarrow G$ ) and  $\pi_n(F(G))$  is trivial if  $n \geq 1$ . The reason for this is simple. If we apply  $U$  once more to  $F(G)$ , we get a simplicial set and the counit of the adjunction

$$\eta : 1 \rightarrow UF$$

allows one to define for each  $n$

$$\eta UT^n : UT^n \rightarrow UT^{n+1},$$

which gives a natural contraction of the augmented simplicial,  $UF(G) \rightarrow U(G)$ , (cf. Duskin, [49]), but note that his conventions for the construction of the  $d_i$  and  $s_i$  are the reverse of ours). If we denote the constant simplicial group on  $G$  by  $K(G, 0)$ , the augmentation defines a simplicial homomorphism

$$\bar{\varepsilon} : F(G) \rightarrow K(G, 0)$$

satisfying  $U\bar{\varepsilon}.inc = Id$ , where  $inc : UK(G, 0) \rightarrow UF(G)$  is the ‘inclusion’ of simplicial sets given by  $\eta$ , and then these extra maps,  $\eta UT^n$ , in fact, give a homotopy between  $inc.U\bar{\varepsilon}$  and the identity map on  $UF(G)$ , i.e.,  $\bar{\varepsilon}$  is a weak homotopy equivalence of simplicial groups. Thus  $F(G)$  is a free simplicial resolution of  $G$ . It is called the *comonadic free simplicial resolution* of  $G$ .

This simplicial resolution has the advantage of being functorial, but the disadvantage of being very big. We turn next to a ‘step-by-step’ method of constructing a simplicial resolution using ideas pioneered by André, [4], although most of his work was directed more towards commutative algebras, cf. [3].

### 3.5.2 Step By Step Constructions

This section is a brief résumé of how to construct simplicial resolutions by hand rather than functorially. This allows a better interpretation of the generators in each level of the resolution. These are the simplicial analogues of higher syzygies. The work depends heavily on a variety of sources, mainly [3], [73] and [86]. André only treats commutative algebras in detail, but Keune [73] does discuss the general case quite clearly. The treatment here is adapted from the paper by Mutlu and Porter, [89].

**Recall of notation:** We first recall some notation and terminology which will be used in the construction of a simplicial resolution. Let  $[n]$  be the ordered set,  $[n] = \{0 < 1 < \dots < n\}$ . Define the following maps: the injective monotone map  $\delta_i^n : [n-1] \rightarrow [n]$  is given by

$$\delta_i^n(k) = \begin{cases} k & \text{if } k < i, \\ k+1 & \text{if } k \geq i, \end{cases}$$

for  $0 \leq i \leq n \neq 0$ . The increasing surjective monotone map  $\alpha_i^n : [n+1] \rightarrow [n]$  is given by

$$\alpha_i^n(k) = \begin{cases} k & \text{if } k \leq i, \\ k-1 & \text{if } k > i, \end{cases}$$

for  $0 \leq i \leq n$ . We denote by  $\{m, n\}$  the set of increasing surjective maps  $[m] \rightarrow [n]$ .

### 3.5.3 Killing Elements in Homotopy Groups

Let  $\mathbf{G}$  be a simplicial group and let  $k \geq 1$  be fixed. Suppose we are given a set,  $\Omega$ , of elements:  $\Omega = \{x_\lambda : \lambda \in \Lambda\}$ ,  $x_\lambda \in \pi_{k-1}(\mathbf{G})$ , then we can choose a corresponding set of elements  $\theta_\lambda \in NG_{k-1}$  so that  $x_\lambda = \theta_\lambda \partial_k(NG_k)$ . (If  $k = 1$ , then as  $NG_0 = G_0$ , the condition that  $\theta_\lambda \in NG_0$  is immediate.) We want to 'kill' the elements in  $\Omega$ .

We form a new simplicial group  $F_n$  where

1)  $F_n$  is the free  $G_n$ -group, (i.e., group with  $G_n$  action)

$$F_n = \coprod_{\lambda, t} G_n \{y_{\lambda, t}\} \text{ with } \lambda \in \Lambda \text{ and } t \in \{n, k\},$$

where  $G_n \{y\} = G_n * \langle y \rangle$ , the co-product of  $G_n$  and a free group generated by  $y$ .

2) For  $0 \leq i \leq n$ , the group homomorphism  $s_i^n : F_n \rightarrow F_{n+1}$  is obtained from the homomorphism  $s_i^n : G_n \rightarrow G_{n+1}$  with the relations

$$s_i^n(y_{\lambda, t}) = y_{\lambda, u} \quad \text{with} \quad u = t\alpha_i^n, \quad t : [n] \rightarrow [k].$$

3) For  $0 \leq i \leq n \neq 0$ , the group homomorphism  $d_i^n : F_n \rightarrow F_{n-1}$  is obtained from  $d_i^n : G_n \rightarrow G_{n-1}$  with the relations

$$d_i^n(y_{\lambda, t}) = \begin{cases} y_{\lambda, u} & \text{if the map } u = t\delta_i^n \text{ is surjective,} \\ t'(\theta_\lambda) & \text{if } u = \delta_k^k t', \\ 1 & \text{if } u = \delta_j^k t' \text{ with } j \neq k, \end{cases}$$

by extending multiplicatively.

We sometimes denote the  $\mathbf{F}$  so constructed by  $\mathbf{G}(\Omega)$ .

**Remark:** In a 'step-by-step' construction of a simplicial resolution, (see below), there will thus be the following properties: i)  $F_n = G_n$  for  $n < k$ , ii)  $F_k$  is a free  $G_k$ -group over a set of non-degenerate indeterminates, all of whose faces are the identity except the  $k^{\text{th}}$ , and iii)  $F_n$  is a free  $G_n$ -group on some degenerate elements for  $n > k$ .

We have immediately the following result, as expected.

**Proposition 13** *The inclusion of simplicial groups  $\mathbf{G} \hookrightarrow \mathbf{F}$ , where  $\mathbf{F} = \mathbf{G}(\Omega)$ , induces a homomorphism*

$$\pi_n(\mathbf{G}) \longrightarrow \pi_n(\mathbf{F})$$

for each  $n$ , which for  $n < k-1$  is an isomorphism,

$$\pi_n(\mathbf{G}) \cong \pi_n(\mathbf{F})$$

and for  $n = k-1$ , is an epimorphism with kernel generated by elements of the form  $\bar{\theta}_\lambda = \theta_\lambda \partial_k NG_k$ , where  $\Omega = \{x_\lambda : \lambda \in \Lambda\}$ . ■



### 3.5.4 Constructing Simplicial Resolutions

The following result is essentially due to André, [3].

**Theorem 2** *If  $G$  is a group, then it has a free simplicial resolution  $\mathbb{F}$ .*

**Proof:** The repetition of the above construction will give us the simplicial resolution of a group. Although ‘well known’, we sketch the construction so as to establish some notation and terminology.

Let  $G$  be a group. The zero step of the construction consists of a choice of a free group  $F$  and a surjection  $g : F \rightarrow G$  which gives an isomorphism  $F/\text{Ker } g \cong G$  as groups. Then we form the constant simplicial group,  $F^{(0)}$ , for which in every degree  $n$ ,  $F_n = F$  and  $d_i^n = \text{id} = s_j^n$  for all  $i, j$ . Thus  $F^{(0)} = K(F, 0)$  and  $\pi_0(F^{(0)}) = F$ . Now choose a set,  $\Omega^0$ , of normal generators of the closed normal subgroup  $N = \text{Ker}(F \xrightarrow{g} G)$ , and obtain the simplicial group in which  $F_1^{(1)} = F(\Omega^0)$  and for  $n > 1$ ,  $F_n^{(1)}$  is a free  $F_n$ -group over the degenerate elements as above. This simplicial group will be denoted by  $F^{(1)}$  and will be called the *1-skeleton of a simplicial resolution of the group  $G$* .

The subsequent steps depend on the choice of sets,  $\Omega^0, \Omega^1, \Omega^2, \dots, \Omega^k, \dots$ . Let  $F^{(k)}$  be the simplicial group constructed after  $k$  steps, that is, *the  $k$ -skeleton of the resolution*. The set  $\Omega^k$  is formed by elements  $a$  of  $F_k^{(k)}$  with  $d_i^k(a) = 1$  for  $0 \leq i \leq k$  and whose images  $\bar{a}$  in  $\pi_k(F^{(k)})$  generate that module over  $F_k^{(k)}$  and  $F^{(k+1)}$ .

Finally we have inclusions of simplicial groups

$$F^{(0)} \subseteq F^{(1)} \subseteq \dots \subseteq F^{(k-1)} \subseteq F^{(k)} \subseteq \dots$$

and in passing to the inductive limit (colimit), we obtain an acyclic free simplicial group  $F$  with  $F_n = F_n^{(k)}$  if  $n \leq k$ .  $F$  or rather  $(F, g)$  is thus a simplicial resolution of the group  $G$ .

The proof of theorem is completed. ■

**Remark:** A variant of the ‘step-by-step’ construction gives: *if  $G$  is a simplicial group, then there exists a free simplicial group  $F$  and a continuous epimorphism  $F \rightarrow G$  which induces isomorphisms on all homotopy groups*. The details are omitted as they are the variants of arguments in the discrete case that are well known.

The key observation, which follows from the universal property of the construction, is a freeness statement:

**Proposition 14** *Let  $F^{(k)}$  be a  $k$ -skeleton of a simplicial resolution of  $G$  and  $(\Omega^k, g^{(k)})$   $k$ -dimension construction data for  $F^{(k+1)}$ . Suppose given a simplicial group morphism  $\Theta : F^{(k)} \rightarrow G$  such that  $\Theta_*(g^{(k)}) = 0$ , then  $\Theta$  extends over  $F^{(k+1)}$ .*

This freeness statement does not contain a uniqueness clause. That can be achieved by choosing a lift for  $\Theta_k g^{(k)}$  to  $NG_{k+1}$ , a lift that must exist since  $\Theta_*(\pi_k(F^{(k)}))$  is trivial.

When handling combinatorially defined resolutions, rather than functorially defined ones, this proposition is as often as close to ‘left adjointness’ as is possible without entering the realm of homotopical algebra to an extent greater than is desirable for us here.

We have not talked here about the homotopy of simplicial group morphisms, and so will not discuss homotopy invariance of this construction for which one adapts the description given by André, [3], or Keune, [73]. Of course, the resolution one builds by any means would be homotopically equivalent to any other so, for cohomological purposes, it makes no difference how the resolution is built.

Of course, from any simplicial resolution  $F$  of  $G$ , you can get an augmented crossed complex  $C(F)$  over  $G$  using the formula given earlier and this is a crossed resolution.

## 3.6 Cohomology and crossed extensions

### 3.6.1 Cochains

Consider a  $G$ -module,  $M$ , and a non-negative integer  $n$ . We can form the chain complex,  $K(M, n)$ , having  $M$  in dimension  $n$  and zeroes elsewhere. We can also form a crossed complex,  $\mathbf{K}(M, n)$ , that plays the role of the  $n^{\text{th}}$  Eilenberg-MacLane space of  $M$  in this setting. We may call it the  $n^{\text{th}}$  Eilenberg-MacLane crossed complex of  $M$ :

If  $n = 0$ ,  $\mathbf{K}(M, n)_0 = G \ltimes M$ ,  $\mathbf{K}(M, n)_i = 0$ ,  $i > 0$ .

If  $n \geq 1$ ,  $\mathbf{K}(M, n)_0 = G$ ,  $\mathbf{K}(M, n)_n = M$ ,  $\mathbf{K}(M, n)_i = 0$ ,  $i \neq 0$  or  $n$ .

One way to view cochains is as chain complex morphisms. Thus on looking at  $Ch(\mathbf{B}G, K(M, n))$ , one finds exactly  $Z^{n+1}(G, M)$ , the  $(n+1)$ -cocycles of the cochain complex  $C(G, M)$ . We can also view  $Z^{n+1}(G, M)$  as  $Crs_G(\mathbf{C}G, \mathbf{K}(M, n))$ .

In the category of chain complexes, one has that a homotopy from  $\mathbf{B}G$  to  $K(M, n)$  between 0 and  $f$ , say, is merely a coboundary, so that  $H^{n+1}(G, M) \cong [\mathbf{B}G, K(M, n)]$ , adopting the usual homotopical notation for the group of homotopy classes of maps from the bar resolution  $\mathbf{B}G$  to  $K(M, n)$ . This description has its analogue in the crossed complex case as we shall see.

### 3.6.2 Homotopies

Let  $\mathbf{C}$ ,  $\mathbf{C}'$  be two crossed complexes with  $Q$  and  $Q'$  respectively as the cokernels of their bottom morphism. Suppose  $\lambda, \mu : \mathbf{C} \rightarrow \mathbf{C}'$  are two morphisms inducing the same map  $\varphi : Q \rightarrow Q'$ .

A homotopy from  $\lambda$  to  $\mu$  is a family,  $h = \{h_k : k \geq 1\}$ , of maps  $h_k : C_k \rightarrow C'_{k+1}$  satisfying the following conditions:

H1)  $h_0 : C_1 \rightarrow C'_2$  is a derivation along  $\mu_0$  (i.e. for  $x, y \in C_0$ ,

$$h_0(xy) = h_0(x)(\mu_0 h_0(y)),$$

such that

$$\delta_1 h_0(x) = \lambda_0(x) \mu_0(x)^{-1}, \quad x \in C_0.$$

H2)  $h_1 : C_1 \rightarrow C'_2$  is a  $C_0$ -homomorphism with  $C_0$  acting on  $C'_2$  via  $\lambda_0$  (or via  $\mu_0$ , it makes no difference) such that

$$\delta_2 h_1(x) = \mu_1(x)^{-1} (h_0 \delta_1(x))^{-1} \lambda_1(x) \text{ for } x \in C_1.$$

H3) for  $k \geq 2$ ,  $h_k$  is a  $Q$ -homomorphism (with  $Q$  acting on the  $C'_k$  via the induced map  $\varphi : Q \rightarrow Q'$ ) such that

$$\delta_{k+1} h_k + h_{k-1} \delta_k = \lambda_k - \mu_k.$$

We note that the condition that  $\lambda$  and  $\mu$  induce the same map,  $\varphi : Q \rightarrow Q'$ , is, in fact, superfluous as this is implied by H1.

The properties of homotopies and the relation of homotopy are as one would expect. One finds  $H^{n+1}(G, M) \cong [\mathbf{C}G, \mathbf{K}(M, n)]$ . Given that in higher dimensions, this is the same set exactly as  $[\mathbf{B}G, K(M, n)]$  means that there is not much to check and so the proof has been omitted.

### 3.6.3 Huebschmann's description of cohomology classes

The transition from this position to obtaining Huebschmann's descriptions of cohomology classes, [64], is now more or less formal. We will, therefore, only sketch the main points.

If  $G$  is a group,  $M$  is a  $G$ -module and  $n \geq 1$ , a *crossed  $n$ -fold extension* is an exact augmented crossed complex,

$$0 \rightarrow M \rightarrow C_n \rightarrow \dots \rightarrow C_2 \rightarrow C_1 \rightarrow G \rightarrow 1.$$

The notion of similarity of such extensions is analogous to that of  $n$ -fold extensions in the Abelian Yoneda theory, (cf. MacLane, [77]), as is the definition of a Baer sum. We leave the details to you. This yields an Abelian group,  $Opext^n(G, M)$ , of similarity classes of crossed  $n$ -fold extensions of  $G$  by  $M$ .

Given a cohomology class in  $H^{n+1}(G, M)$  realisable as a homotopy class of maps,  $f : CG \rightarrow K(M, n)$ , one uses  $f$  to form an induced crossed complex, much as in the Abelian Yoneda theory:

$$\begin{array}{ccccccc} J_n(G) & \longrightarrow & C_n & \longrightarrow & \dots & \longrightarrow & C_1 & \longrightarrow & G \\ f' \downarrow & \text{pushout} & \downarrow & & & & \downarrow & & \parallel \\ 0 & \longrightarrow & M & \longrightarrow & M_n & \longrightarrow & \dots & \longrightarrow & M_1 & \longrightarrow & G \end{array}$$

where  $J_n(G)$  is  $Ker(C_n G \rightarrow C_{n-1} G)$ . (Thus  $J_n G$  is also  $Im(C_{n+1} G \rightarrow C_n G)$  and as the map  $f$  satisfies  $f\delta = 0$ , it is zero on the subgroup  $\delta(C_{n+2} G)$  (i.e. is constant on the cosets) and hence passes to  $Im(C_{n+1} G \rightarrow C_n G)$  in a well defined way.) Arguments using lifting of maps and homotopies show that the assignment of this element of  $Opext^n(G, M)$  to  $cls(f) \in H^{n+1}(G, M)$  establishes an isomorphism between these groups.

### 3.6.4 Abstract Kernels.

The importance of having such a description of classes in  $H^n(G, M)$  probably resides in low dimensions. To describe classes in  $H^3(G, M)$ , one has, as before, crossed 2-fold extensions

$$0 \rightarrow M \rightarrow C_2 \xrightarrow{\partial} C_1 \rightarrow G \rightarrow 1,$$

where  $\partial$  is a crossed module. One has for any group  $G$ , a crossed 2-fold extension

$$0 \rightarrow Z(G) \rightarrow G \xrightarrow{\partial_G} Aut(G) \rightarrow Out(G) \rightarrow 1$$

where  $\partial_G$  sends  $g \in G$  to the corresponding inner automorphism of  $G$ . An *abstract kernel* (in the sense of Eilenberg-MacLane, [54]) is a homomorphism  $\psi : Q \rightarrow Out(G)$  and hence provides, by pulling back, a 2-fold extension of  $Q$  by the centre  $Z(G)$  of  $G$ .

## 3.7 2-types and cohomology

In classifying homotopy types and in obstruction theory, one frequently has invariants that are elements in cohomology groups of the form  $H^m(X, \pi)$ , where typically  $\pi$  is the  $n^{th}$  homotopy group of some space. When dealing with homotopy types,  $\pi$  will be a group, usually Abelian with a  $\pi_1$  action, i.e. we are exactly in the situation described earlier, except that  $X$  is a homotopy type not a group. Of course, provided that  $X$  is connected, we can replace  $X$  by a simplicial group, bringing us even nearer to the situation of this section. We shall work within the category of simplicial groups.

### 3.7.1 2-types

A morphism

$$f : G \rightarrow H$$

of simplicial groups is called a *2-equivalence* if it induces isomorphisms

$$\pi_0(f) : \pi_0(G) \rightarrow \pi_0(H,)$$

and

$$\pi_1(f) : \pi_1(G) \rightarrow \pi_1(H).$$

We can form a quotient category,  $Ho_2(Simp.Grps)$ , of  $Simp.Grps$  by formally inverting the 2-equivalences. Then we say two simplicial groups,  $G$  and  $H$ , have the same *2-type* if they are isomorphic in  $Ho_2(Simp.Grps)$ .

This is, of course, just a special case of the general notion of  $n$ -type in which “ $n$ -equivalences” are inverted, thus forming the quotient category  $Ho_n(Simp.Grps)$ . An  $n$ -equivalence is a morphism,  $f$ , inducing isomorphisms,  $\pi_i(f)$ , for  $i = 0, 1, \dots, n - 1$ .

### 3.7.2 Example: 1-types

Before examining 2-types in detail, it will pay to think about 1-types. A morphism  $f$  as above is a 1-equivalence if it induces an isomorphism on  $\pi_0$ , i.e.  $\pi_0(f)$  is an isomorphism. Given any group  $G$ , there is a simplicial group,  $K(G, 0)$  consisting of  $G$  in each dimension with face and degeneracy maps all being identities. Given a simplicial group  $H$ , having  $G \cong \pi_0(H)$ , the natural quotient map

$$H_0 \rightarrow \pi_0(H) \cong G,$$

extends to a natural 1-equivalence between  $H$  and  $K(\pi_0(H), 0)$ .

It is fairly routine to check that

$$\pi_0 : Simp.Grps \rightarrow Grps$$

has  $K(-, 0)$  as an adjoint and that, as the unit is a natural 1-equivalence, and the counit an isomorphism, this adjoint pair induces an equivalence between the category  $Ho_1(Simp.Grps)$  of 1-types and the category,  $Grps$ , of groups. In other words,

*groups are algebraic models for 1-types.*

### 3.7.3 Algebraic models for $n$ -types?

So much for 1-types. Can one provide algebraic models for 2-types or, in general,  $n$ -types? We touched on this earlier. The criteria that any such “models” might satisfy are debatable. Perhaps ideally, or even unrealistically, there should be an isomorphism class of algebraic “gadgets” for each 2-type. An alternative weaker solution is to ask that a notion of equivalence between the models is possible, and that only equivalence classes, not isomorphism classes, correspond to 2-types, but, in addition, the notion of equivalence is algebraically defined. It is this weaker possibility that corresponds to our aim here.

### 3.7.4 Algebraic models for 2-types.

If  $G$  is a simplicial group, then we can form a crossed module

$$\partial : \frac{NG_1}{d_0(NG_2)} \rightarrow G_0,$$

where the action of  $G_0$  is via the degeneracy,  $s_0 : G_0 \rightarrow G_1$ , and  $\partial$  is induced by  $d_0$ . (As before we will denote this crossed module by  $M(G, 1)$ .) The kernel of  $\partial$  is

$$\frac{Ker d_0 \cap Ker d_1}{d_0(NG_2)} \cong \pi_1(G),$$

whilst its cokernel is

$$\frac{G_0}{d_0(NG_1)} \cong \pi_0(G),$$

and so we have a crossed 2-fold extension

$$0 \rightarrow \pi_1(G) \rightarrow \frac{NG_1}{d_0(NG_2)} \rightarrow G_0 \rightarrow \pi_0(G) \rightarrow 1$$

and hence a cohomology class  $k(G) \in H^3(\pi_0(G), \pi_1(G))$ .

Suppose now that  $f : G \rightarrow H$  is a morphism of simplicial groups, then one obtains a commutative diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \pi_1(G) & \longrightarrow & \frac{NG_1}{d_0(NG_2)} & \longrightarrow & G_0 & \longrightarrow & \pi_0(G) & \longrightarrow & 1 \\ & & \pi_1(f) \downarrow & & \downarrow & & \downarrow f_0 & & \downarrow \pi_0(f) & & \\ 0 & \longrightarrow & \pi_1(H) & \longrightarrow & \frac{NH_1}{d_0(NH_2)} & \longrightarrow & H_0 & \longrightarrow & \pi_0(H) & \longrightarrow & 1 \end{array}$$

If, therefore,  $f$  is a 2-equivalence,  $\pi_0(f)$  and  $\pi_1(f)$  will be isomorphisms and the diagram shows that, modulo these isomorphisms,  $k(G)$  and  $k(H)$  are the same cohomology class, i.e. the 2-type of  $G$  determines  $\pi_0$ ,  $\pi_1$  and this cohomology class,  $k$  in  $H^3(\pi_0, \pi_1)$ .

Conversely, suppose we are given a group  $\pi$ , a  $\pi$ -module,  $M$ , and a cohomology class  $k \in H^3(\pi, M)$ , then we can realise  $k$  by a 2-fold extension

$$0 \rightarrow M \rightarrow C \xrightarrow{\partial} G \rightarrow \pi \rightarrow 1$$

as above. The crossed module,  $\mathbf{C} = (C, G, \partial)$ , determines a simplicial group  $K(\mathbf{C})$  as follows:

Suppose  $\mathbf{C} = (C, P, \partial)$  is any crossed module, we construct a simplicial group  $K(\mathbf{C})$  by

$$\begin{aligned} K(\mathbf{C})_0 &= P, & K(\mathbf{C})_1 &= C \rtimes P, \\ s_0(p) &= (1, p), & d_0^1(c, p) &= \partial c.p, & d_1^1(c, p) &= p. \end{aligned}$$

Assuming  $K(\mathbf{C})_n$  is defined and that it acts on  $C$  via the unique composed face map to  $K(\mathbf{C})_0 = P$  followed by the given action of  $P$  on  $C$ , we set

$$\begin{aligned} K(\mathbf{C})_{n+1} &= C \rtimes K(\mathbf{C})_n; \\ d_0^{n+1}(c_{n+1}, \dots, c_1, p) &= (c_{n+1}, \dots, c_2, \partial c_1.p); \\ d_i^{n+1}(c_{n+1}, \dots, c_{i+1}, c_i, \dots, c_1, p) &= (c_{n+1}, \dots, c_{i+1}c_i, \dots, c_1, p) \\ &\hspace{15em} \text{for } 0 < i < n + 1; \\ d_{n+1}^{n+1}(c_{n+1}, \dots, c_1, p) &= (c_n, \dots, c_1, p); \\ s_i^n(c_n, \dots, c_1, p) &= (c_n, \dots, 1, \dots, c_1, p), \end{aligned}$$

where the 1 is placed in the  $i^{\text{th}}$  position.

Clearly  $\text{Ker } d_1^1 = \{(c, p) : p = 1\} \cong C$ , whilst  $\text{Ker } d_1^2 \cap \text{Ker } d_2^2 = \{(c_2, c_1, p) : (c_1, p) = (1, 1) \text{ and } (c_2 c_1, p) = (1, 1)\} \cong \{1\}$ , hence the “top term” of  $M(K(C), 1)$  is isomorphic to  $C$  itself, whilst  $K(C)_0$  is  $P$  itself. The boundary map  $\partial$  in this interpretation is the original  $\partial$ , since it maps  $(c, 1)$  to  $d_0(c)$ , i.e., we have

**Lemma 10** *There is a natural isomorphism*

$$C \cong M(K(C), 1).$$

This construction is the internal nerve of the internal category in  $\text{Grps}$  as we noted earlier. All the ideas that go into defining the nerve of a category adapt to handling internal categories, and they produce simplicial objects in the corresponding ambient category.

Suppose now that we had chosen an equivalent 2-fold extension

$$0 \rightarrow M \rightarrow C' \xrightarrow{d'} G' \rightarrow \pi \rightarrow 1$$

The equivalence guarantees that there is a zig-zag of maps of 2-fold extensions joining it to that considered earlier. We need only look at the case of a direct basic equivalence:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & M & \longrightarrow & C & \xrightarrow{\partial} & G & \longrightarrow & \pi & \longrightarrow & 1 \\ & & \downarrow = & & \downarrow & & \downarrow & & \downarrow = & & \\ 0 & \longrightarrow & M & \longrightarrow & C' & \xrightarrow{\partial'} & G' & \longrightarrow & \pi & \longrightarrow & 1 \end{array}$$

giving a map of crossed modules,  $\varphi : C \rightarrow C'$ , where  $C' = (C', G', \partial')$ . This induces a morphism of simplicial groups,

$$K(\varphi) : K(C) \rightarrow K(C'),$$

that is, of course, a 2-equivalence. If there is a longer zig-zag between  $C$  and  $C'$  then the intermediate crossed modules give intermediate simplicial groups and a zig-zag of 2-equivalences so that  $K(C)$  and  $K(C')$  are isomorphic in  $\text{Ho}_2(\text{Simp.Grps})$ , i.e. they have the same 2-type. This argument can, of course, be reversed.

If  $G$  and  $H$  have the same 2-type, they are isomorphic within the category  $\text{Ho}_2(\text{Simp.Grps})$ , so they are linked in  $\text{Simp.Grps}$  by a zig-zag of 2-equivalences, hence the corresponding cohomology classes in  $H^3(\pi_0(G), \pi_1(G))$  are the same up to identification of  $H^3(\pi_0(G), \pi_1(G))$  and  $H^3(\pi_0(H), \pi_1(H))$ . This proves the simplicial group analogue of the result of MacLane and Whitehead, [80], that we mentioned earlier, giving an algebraic model for 2-types of connected CW-complexes.

**Theorem 3** (MacLane and Whitehead, [80]) *2-types are classified by a group  $\pi_0$ , a  $\pi_0$ -module,  $\pi_1$  and a class in  $H^3(\pi_0, \pi_1)$ .* ■

We have handled this in such a way so as to derive an equivalence of categories:

**Proposition 15** *There is an equivalence of categories,*

$$\text{Ho}_2(\text{Simp.Grps}) \cong \text{Ho}(\text{CMod}),$$

where  $\text{Ho}(\text{CMod})$  is formed from  $\text{CMod}$  by formally inverting those maps of crossed modules that induce isomorphisms on both the kernels and the cokernels. ■

# Chapter 4

## Beyond 2-types

### 4.1 Crossed squares: an introduction

The title of this section promises to go beyond 2-types and we have so far only done this with the crossed complexes. These do give all the homotopy groups of a simplicial groups, but the homotopy types they represent are of a fairly simple type as they have vanishing Whitehead products.

We will return to crossed complexes later on but will now go to 3-types and crossed squares.

We saw earlier that crossed modules were like normal subgroups except that the inclusion map is replaced by a homomorphism that need not be a monomorphism. We even noted that all crossed modules are, up to isomorphism, obtainable by applying  $\pi_0$  to a simplicial “inclusion crossed module”.

Given a pair of normal subgroups  $M, N$  of a group  $G$ , we can form a square

$$\begin{array}{ccc} M \cap N & \longrightarrow & N \\ \downarrow & & \downarrow \\ M & \longrightarrow & G \end{array}$$

in which each morphism is a inclusion crossed module and there is a commutator map

$$h : M \times N \rightarrow M \cap N$$

$$h(m, n) = [m, n].$$

This forms a *crossed square* of groups. We will be dealing with crossed squares as crossed  $n$ -cubes, for  $n = 2$ , later. Here we will give an interim definition of crossed squares. The notion is due to Guin-Walery and Loday, [61], and this slightly shortened form of the definition is adapted from Brown-Loday, [31].

A *crossed square* (more correctly *crossed square of groups*) is a commutative square of groups and homomorphisms

$$\begin{array}{ccc} L & \xrightarrow{\lambda} & M \\ \chi \downarrow & & \downarrow \mu \\ N & \xrightarrow{\nu} & P \end{array}$$

together with actions of the group  $P$  on  $L, M$  and  $N$  (and hence actions of  $M$  on  $L$  and  $N$  via  $\mu$  and of  $N$  on  $L$  and  $M$  via  $\nu$ ) and a function  $h : M \times N \rightarrow L$ . This structure is to satisfy the

following axioms:

- (i) the maps  $\lambda, \lambda'$  preserve the actions of  $P$ , furthermore with the given actions, the maps  $\mu, \nu$  and  $\kappa = \mu\lambda = \mu'\lambda'$  are crossed modules;
  - (ii)  $\lambda h(m, n) = m^n m^{-1}, \lambda' h(m, n) = m^n n^{-1}$ ;
  - (iii)  $h(\lambda\ell, n) = \ell^n \ell^{-1}, h(m, \lambda'\ell) = m^n \ell \ell^{-1}$ ;
  - (iv)  $h(mm', n) = {}^m h(m', n)h(m, n), h(m, nn') = h(m, n)^n h(m, n')$  ;
  - (v)  $h({}^p m, {}^p n) = {}^p h(m, n)$  ;
- for all  $\ell \in L, m, m' \in M, n, n' \in N$  and  $p \in P$ .

There is an evident notion of morphism of crossed squares and we obtain a category  $Crs^2$ , the *category of crossed squares*.

### Examples

- (a) Given any simplicial group  $G$  and two simplicial normal subgroups  $M$  and  $N$ , the square

$$\begin{array}{ccc} M \cap N & \longrightarrow & N \\ \downarrow & & \downarrow \\ M & \longrightarrow & G \end{array}$$

with inclusions and with  $h = [ \ , \ ] : M \times N \rightarrow G$  is a simplicial “inclusion crossed square” of simplicial groups. Applying  $\pi_0$  to the diagram gives a crossed square and, in fact, all crossed squares arise in this way (up to isomorphism).

- b) Any simplicial group  $G$  yields a crossed square,  $M(G, 2)$ , defined by

$$\begin{array}{ccc} \frac{NG_2}{d_0(NG_3)} & \longrightarrow & Ker\ d_1 \\ \downarrow & & \downarrow \\ Ker\ d_2 & \longrightarrow & G_1 \end{array}$$

for suitable maps. This is, in fact, part of the construction that shows that all connected 3-types are modelled by crossed squares.

Another way of encoding 3-types is using the truncated simplicial group and Conduché’s notion of 2-crossed module.

## 4.2 2-crossed modules

The theory of crossed  $n$ -cubes that we have hinted at above is not the only way of encoding higher  $n$ -types. One ‘obvious’ method would be to use truncated simplicial groups. A detailed study of this is feasible for 3-types and in fact reveals some interesting insights into crossed squares in the process.

As a first step to understanding truncated simplicial groups a bit more, we will give a variant of an argument that we have already seen. We will look at a 1-truncated simplicial group. The analysis is really a simple use of the sort of insights given by the Brown-Loday lemma.

**Proposition 16** (*The Brown-Loday lemma*) *Let  $N_2$  be the (closed) normal subgroup of  $G_2$  generated by elements of the form*

$$F_{(1),(0)}(x, y) = [s_1 x, s_0 y][s_0 y, s_0 x]$$



for  $x, y \in NG_1 = Ker d_1$ . Then  $NG_2 \cap D_2 = N_2$  and consequently

$$\partial(NG_2 \cap D_2) = [Ker d_0, Ker d_1].$$

This form of element,  $F_{(1),(0)}(x, y)$ , is obtained by taking the two elements,  $x$  and  $y$ , of degree 1 in the Moore complex of a simplicial group,  $G$ , mapping them up to degree 2 by complementary degeneracies, and then looking at the component of the result that is in the Moore complex term,  $NG_2$ . (It is easy to show that  $G_2$  is a semidirect product of  $NG_2$  and degenerate copies of lower degree Moore complex terms.) The idea behind this pairing can be extended to higher dimensions. It gives the *Peiffer pairings*

$$F_{\alpha,\beta} : NG_p \times NG_q \rightarrow NG_{p+q}.$$

In general these take  $x \in NG_p$  and  $y \in NG_q$  and  $(\alpha, \beta)$  a complimentary pair of index strings (of suitable lengths), and sends  $(x, y)$  to the component in  $NG_{p+q}$  of  $[s_\alpha x, s_\beta y]$ ; see the series of papers [91, 87, 89, 88, 90]. This uses the Conduché decomposition lemma, [38], that we will see later on, cf. page 101.

A very closely related concept is that of hypercrossed complex as in Carrasco and Cegarra, [36]. There one uses the component of  $s_\alpha x.s_\beta y$  in  $NG_{p+q}$  to give a pairing and adds cohomological information to the result to get a reconstruction technique for  $G$  from  $NG$ , i.e. an ultimate Dold-Kan theorem. Thus hypercrossed complexes generalise 2-crossed modules and 2-crossed modules complexes.

**1- and 2-truncated simplicial groups:** Suppose that  $G$  is a simplicial group and that  $NG_i = 1$  for  $i \geq 2$ . This leaves us just with

$$\partial : NG_1 \rightarrow NG_0.$$

We make  $NG_0 = G_0$  act on  $NG_1$  by conjugation as before

$${}^g c = s_0(g)cs_0(g)^{-1} \text{ for } g \in G_0, c \in NG_1,$$

and, of course,  $\partial({}^g c) = g.\partial c.g^{-1}$ . Thus the first crossed module axiom is satisfied. For the other one, we note that  $F_{(1),(0)}(c_1, c_2) \in NG_2$ , which is trivial, so

$$\begin{aligned} 1 &= d_0([s_1 c_1, s_0 c_2][s_0 c_2, s_0 c_1]) \\ &= [s_0 d_0 c_1, c_2][c_2, c_1] = (\partial c_1 c_2)(c_1 c_2 c_1^{-1})^{-1}, \end{aligned}$$

so the Peiffer identity holds as well. Thus  $\partial : NG_1 \rightarrow NG_0$  is a crossed module. As we have already seen that the functor  $\mathcal{G}$  provides a way to construct a simplicial group from a crossed module and that the result has Moore complex of length 1, we have the following slight reformulation of earlier results:

**Proposition 17** *The category of crossed modules is equivalent to the subcategory  $T_{1|}$  of 1-truncated simplicial groups. ■*

The main reason for restating and proving this result in this form is that we can glean more information from the proof for examining the next level, 2-truncated simplicial groups.

If we replace our 1-truncated simplicial group by an arbitrary one, then we have already introduced the idea of a Peiffer commutator of two elements, and there we used the term ‘Peiffer lifting’

without specifying what particular interest the construction had. We recall that here: Given a simplicial group,  $G$ , and two elements  $c_1, c_2 \in NG_1$  as above, then the *Peiffer commutator* of  $c_1$  and  $c_2$  is defined by

$$\langle c_1, c_2 \rangle = (\partial^{c_1} c_2)(c_1 c_2 c_1^{-1})^{-1}.$$

We met earlier,  $F_{(1),(0)}$ , which gives the *Peiffer lifting* denoted

$$\{-, -\} : NG_1 \times NG_1 \rightarrow NG_2,$$

where

$$\{c_1, c_2\} = [s_1 c_1, s_0 c_2][s_0 c_2, s_0 c_1]$$

and we noted

$$\partial\{c_1, c_2\} = \langle c_1, c_2 \rangle.$$

These structures come into their own for a 2-truncated simplicial group. Suppose that  $G$  is now a simplicial group, which is 2-truncated, so its Moore complex looks like:

$$\dots 1 \rightarrow NG_2 \xrightarrow{\partial_2} NG_1 \xrightarrow{\partial_1} NG_0.$$

For the moment, we will concentrate our attention on the morphism  $\partial_2$ .

The group  $NG_1$  acts on  $NG_2$  via conjugation using  $s_0$  or  $s_1$ . We will use  $s_0$  for the moment, so that if  $g \in NG_1$  and  $c \in NG_2$ ,

$${}^g c = s_0(g) c s_0(g)^{-1}.$$

It is once again clear that  $\partial_2({}^g c) = g \cdot \partial_2(c) \cdot g^{-1}$  and, as before, we consider, for  $c_1, c_2 \in NG_2$  this time, the Peiffer pairing given by

$$[s_1 c_1, s_0 c_2][s_0 c_2, s_0 c_1],$$

which is, this time, the component of  $[s_1 c_1, s_0 c_2]$  in  $NG_3$ . However that latter group is trivial, so this element is trivial, and hence, so is its image in  $NG_2$ . The same calculation as before shows that, with this  $s_0$ -based action of  $NG_1$  on  $NG_2$ ,  $(NG_2, NG_1, \partial_2)$  is a crossed module.

We also know that there is a Peiffer lifting

$$\{-, -\} : NG_1 \times NG_1 \rightarrow NG_2,$$

which measures the obstruction to  $NG_1 \rightarrow NG_0$  being a crossed module, since  $\partial\{-, -\}$  is the Peiffer commutator, whose vanishing is equivalent to  $NG_1 \rightarrow NG_0$  being a crossed module. We do not have yet in our investigation a detailed knowledge of how the two structures interact, nor any other distinguishing properties of  $\{-, -\}$ . We will not give such a detailed treatment here, but from it we can obtain the following:

**Proposition 18** *Let  $G$  be a 2-truncated simplicial group. The Peiffer lifting*

$$\{-, -\} : NG_1 \times NG_1 \rightarrow NG_2,$$

*has the following properties:*

(i) *it is a map such that if  $m_0, m_1 \in NG_1$ ,*

$$\partial\{m_0, m_1\} = \partial^{m_0} m_1 \cdot (m_0 m_1 m_0^{-1})^{-1};$$

(ii) if  $\ell_0, \ell_1 \in NG_2$ ,

$$\{\partial\ell_0, \partial\ell_1\} = [\ell_0, \ell_1];$$

(iii) if  $\ell \in NG_2$  and  $m \in NG_1$ , then

$$\{m, \partial\ell\}\{\partial\ell, m\} = \partial^m \ell \cdot \ell^{-1};$$

(iv) if  $m_0, m_1, m_2 \in NG_1$ , then

$$\begin{aligned} a) \quad & \{m_0, m_1 m_2\} = \{m_0, m_1\}^{(m_0 m_1 m_0^{-1})} \{m_0, m_2\}, \\ b) \quad & \{m_0 m_1, m_2\} = \partial^{m_0} \{m_1, m_2\} \{m_0, m_1 m_2 m_1^{-1}\}; \end{aligned}$$

(v) if  $n \in NG_0$  and  $m_0, m_1 \in NG_1$ , then

$${}^n \{m_0, m_1\} = \{ {}^n m_0, {}^n m_1 \}.$$

■

The above can be encoded in the definition of a 2-crossed module.

**Definition:** A 2-crossed module is a normal complex of groups

$$L \xrightarrow{\partial_2} M \xrightarrow{\partial_1} N,$$

together with an action of  $N$  on all three groups and a mapping

$$\{-, -\} : M \times M \rightarrow L$$

such that

(i) the action of  $N$  on itself is by conjugation, and  $\partial_2$  and  $\partial_1$  are  $N$ -equivariant;

(ii) for all  $m_0, m_1 \in M$ ,

$$\partial_2 \{m_0, m_1\} = \partial_1^{m_0} m_1 \cdot m_0 m_1^{-1} m_0^{-1};$$

(iii) if  $\ell_0, \ell_1 \in L$ , then

$$\{\partial_2 \ell_0, \partial_2 \ell_1\} = [\ell_1, \ell_0];$$

(iv) if  $\ell \in L$  and  $m \in M$ , then

$$\{m, \partial\ell\}\{\partial\ell, m\} = \partial^m \ell \cdot \ell^{-1};$$

(v) for all  $m_0, m_1, m_2 \in M$ ,

$$(a) \quad \{m_0, m_1 m_2\} = \{m_0, m_1\} \{\partial\{m_0, m_2\}, (m_0 m_1 m_0^{-1})\} \{m_0, m_2\};$$

$$(b) \quad \{m_0 m_1, m_2\} = \partial^{m_0} \{m_1, m_2\} \{m_0, m_1 m_2 m_1^{-1}\};$$

(vi) if  $n \in N$  and  $m_0, m_1 \in M$ , then

$${}^n \{m_0, m_1\} = \{ {}^n m_0, {}^n m_1 \}.$$

The only one of these that looks ‘daunting’ is (v)a). Note that we have not specified that  $M$  acts on  $L$ . We could have done that as follows: if  $m \in M$  and  $\ell \in L$ , define

$${}^m\ell = \{\partial\ell, m\}\ell.$$

Now (v)a) simplifies to the expression

$$\{m_0, m_1 m_2\} = \{m_0, m_1\}^{(m_0 m_1 m_0^{-1})} \{m_0, m_2\}.$$

We denote such a 2-crossed module by  $\{L, M, N, \partial_2, \partial_1\}$ , or similar, only adding in notation for the actions and the pairing if explicitly needed for the context. A morphism of 2-crossed modules is, fairly obviously, given by a diagram

$$\begin{array}{ccccc} L & \xrightarrow{\partial_2} & M & \xrightarrow{\partial_1} & N \\ f_2 \downarrow & & f_1 \downarrow & & f_0 \downarrow \\ L' & \xrightarrow{\partial'_2} & M' & \xrightarrow{\partial'_1} & N' \end{array}$$

where  $f_0 \partial_1 = \partial'_1 f_1, f_1 \partial_2 = \partial'_2 f_2$ ,

$$f_1({}^n m) = {}^{f_0(n)} f_1(m), \quad f_2({}^n \ell) = {}^{f_0(n)} f_2(\ell),$$

and

$$\{-, -\}(f_1 \times f_1) = f_2\{-, -\},$$

for all  $\ell \in L, m \in M, n \in N$ .

These compose in an obvious way giving a category which we will denote by  $2-CMod$ .

The following should be clear.

**Theorem 4** *The Moore complex of a 2-truncated simplicial group is a 2-crossed module. The assignment is functorial.* ■

We will denote this functor by  $C^{(2)} : T_2 \rightarrow 2-CMod$ . It is an equivalence of categories.

**Examples of 2-crossed modules** Of course, the construction of 2-crossed modules from simplicial groups gives a generic family of examples, but we can do better than that and show how these new crossed gadgets link in with others that we have met earlier.

**Example 1.** Any crossed module gives a 2-crossed module, since if  $(M, N, \partial)$  is a crossed module, we need only add  $L = 1$ , and the resulting sequence

$$L \rightarrow M \rightarrow N$$

with the ‘obvious actions’ is a 2-crossed module! This is, of course, functorial and  $CMod$  can be considered to be a full subcategory of  $2-CMod$  in this way. It is a reflective subcategory since there is a reflection functor obtained as follows:

If

$$L \xrightarrow{\partial_2} M \xrightarrow{\partial_1} N$$

is a 2-crossed module, then  $Im \partial_2$  is a normal subgroup of  $M$  and we have (with a small abuse of notation):

**Proposition 19** *If  $L \xrightarrow{\partial_2} M \xrightarrow{\partial_1} N$  is a 2-crossed module then there is an induced crossed module structure on*

$$\partial_1 : \frac{M}{\text{Im } \partial_2} \rightarrow N.$$

■

But we can do better than this:

**Example 2.** Any crossed complex of length 2, that is one of form

$$\dots \rightarrow 1 \rightarrow 1 \rightarrow C_2 \xrightarrow{\partial_2} C_1 \xrightarrow{\partial_1} C_0,$$

gives us a 2-crossed complex on taking  $L = C_2$ ,  $M = C_1$  and  $N = C_0$ , with  $\{m, m'\} = 1$  for all  $m, m' \in M$ . We will check this in a moment, but note that this gives a functor from  $\text{Crs}_{\geq 2}$  to  $2\text{-CMod}$  extending the one we gave in Example 1.

Of course, (i) crossed complexes of length 2 are the same as 2-truncated crossed complexes.

**Exploration of trivial Peiffer lifting:** Suppose we have a 2-crossed module

$$L \xrightarrow{\partial_2} M \xrightarrow{\partial_1} N,$$

with the extra condition that  $\{m_0, m_1\} = 1$  for all  $m_0, m_1 \in M$ . The obvious thing to do is to see what each of the defining properties of a 2-crossed module give in this case.

(i) There is an action of  $N$  on  $L$  and  $M$  and the  $\partial$ s are  $N$ -equivariant. (This gives nothing new in our special case.)

(ii)  $\{-, -\}$  is a lifting of the Peiffer commutator - so if  $\{m_0, m_1\} = 1$ , the Peiffer identity holds for  $(M, N, \partial_1)$ , i.e. that is a crossed module;

(iii) if  $\ell_0, \ell_1 \in L$ , then  $1 = \{\partial_2 \ell_0, \partial_2 \ell_1\} = [\ell_1, \ell_0]$ , so  $L$  is Abelian and,

(iv) as  $\{-, -\}$  is trivial  $\partial^m \ell = \ell$ , so  $\partial M$  has trivial action on  $L$ .

Axioms (v) and (vi) vanish.

We leave the reader, if they so wish, to structure this into a formal proof that the 2-crossed module is precisely a 2-truncated crossed complex.

Our earlier discussion should suggest:

**Proposition 20** *The category  $\text{Crs}_{\geq 2}$  of crossed complexes of length 2 is equivalent to the full subcategory of  $2\text{-CMod}$  given by those 2-crossed complexes with trivial Peiffer lifting.* ■

We leave the proof of this to the reader.

In the next section we will give other examples of 2-crossed modules, those coming from crossed squares.

### 4.3 2-crossed modules and crossed squares

We now have several ‘competing’ models for homotopy 3-types. Since we can go from simplicial groups to both crossed square and 2-crossed modules, there should be some link between the latter two situations. In his work on homotopy  $n$ -types, Loday gave a construction of what he called a

'mapping cone' for a crossed square. Conduché later noticed that this naturally had the structure of a 2-crossed module. This is looked at in detail in a paper by Conduché, [39].

Suppose that

$$\begin{array}{ccc} L & \xrightarrow{\lambda} & M \\ \lambda' \downarrow & & \downarrow \mu \\ N & \xrightarrow{\mu'} & P \end{array}$$

is a crossed square, then its mapping cone complex is

$$L \xrightarrow{\partial_2} M \rtimes N \xrightarrow{\partial_1} P,$$

where  $\partial_2 \ell = (\lambda \ell^{-1}, \lambda' \ell)$  and  $\partial_1(m, n) = \mu(m)\nu(n)$ .

We first note that the semi-direct product  $M \rtimes N$  is formed by making  $N$  act on  $M$  via  $P$ , i.e.

$${}^n m = \nu(n)m,$$

where the  $P$ -action is the given one. The fact that  $(\lambda^{-1}, \lambda')$  and  $\mu\nu$  are homomorphisms is an interesting and instructive, but easy, exercise:

i)  $(m, n)(m', n') = (m^{\nu(n)}m', nn')$ , so

$$\begin{aligned} \partial_1((m, n)(m', n')) &= \mu(m^{\nu(n)}m') \cdot \nu(nn') \\ &= \mu(m)\nu(n)\mu(m')\nu(n)^{-1}\nu(n)\nu(n') \\ &= (\mu(m)\nu(n))(\mu(m')\nu(n')); \end{aligned}$$

(ii) if  $\ell, \ell' \in L$ , then, of course,

$$\begin{aligned} \partial_1(\ell\ell') &= (\lambda(\ell\ell')^{-1}, \lambda'(\ell\ell')) \\ &= (\lambda(\ell')^{-1}\lambda(\ell)^{-1}, \lambda'(\ell)\lambda'(\ell')). \end{aligned}$$

whilst

$$\begin{aligned} \partial_1(\ell)\partial_1(\ell') &= (\lambda(\ell)^{-1}, \lambda'(\ell))(\lambda(\ell')^{-1}, \lambda'(\ell')) \\ &= (\lambda(\ell)^{-1} \cdot \nu\lambda'(\ell'^{-1})\lambda(\ell')^{-1}, \lambda'(\ell)\lambda'(\ell')), \end{aligned}$$

Thus the second coordinates are the same, but, as  $\nu\lambda' = \mu\lambda$ , the first coordinates are also equal.

These elementary calculations are useful as they pave the way for the calculation of the Peiffer commutator of  $x = (m, n)$  and  $y = (c, a)$  in the above complex:

$$\begin{aligned} \langle x, y \rangle &= \partial_x y \cdot xy^{-1}x^{-1} \\ &= \mu^m \nu^n(c, a) \cdot (m, n)(a^{-1}c^{-1}, a^{-1})(n^{-1}m^{-1}, n^{-1}) \\ &= (\mu^m \nu^n c, \mu^m \nu^n a)(m^{\nu(na^{-1})}c^{-1} \cdot \nu(na^{-1}n^{-1})m^{-1}, na^{-1}n^{-1}), \end{aligned}$$

which on multiplying out and simplifying is

$$(\nu(na^{-1}n^{-1})m \cdot m^{-1}, \mu^m(nan^{-1}) \cdot (na^{-1}n^{-1})).$$

(Note that any dependence on  $c$  vanishes!)

Conduché defined the Peiffer lifting in this situation by

$$\{x, y\} = h(m, nan^{-1}).$$

It is immediate to check that this works

$$\begin{aligned} \partial_2\{x, y\} &= (\lambda h(m, nan^{-1}), \lambda' h(m, nan^{-1})) \\ &= (\nu(na^{-1}n^{-1})m.m^{-1}, \mu^m(nan^{-1}).(na^{-1}n^{-1})), \end{aligned}$$

by the axioms of a crossed square.

We will not check all the axioms for a 2-crossed module for this structure, but will note the proofs for one or two of them as they illustrate the connection between the properties of the  $h$ -map and those of the Peiffer lifting.

2CM(iii) :  $\{\partial\ell_0, \partial\ell_1\} = [\ell_1, \ell_0]$ . As  $\partial\ell = (\lambda\ell^{-1}, \lambda'\ell)$ , this needs the calculation of

$$h(\lambda\ell_0^{-1}, \lambda'(\ell_0\ell_1\ell_0^{-1})),$$

but the crossed square axiom :

$$h(\lambda\ell, n) = \ell.^n\ell^{-1}, \text{ and } h(m, \lambda'\ell) = {}^m\ell.\ell^{-1},$$

together with the fact that the map  $\lambda : L \rightarrow M$  is a crossed module, give

$$\begin{aligned} h(\lambda\ell_0^{-1}, \lambda'(\ell_0\ell_1\ell_0^{-1})) &= \mu^{\lambda(\ell_0^{-1})}(\ell_0\ell_1\ell_0^{-1}).\ell_0\ell_1^{-1}\ell_0^{-1}) \\ &= [\ell_1, \ell_0]. \end{aligned}$$

We need  $\{(m, n), (\lambda\ell^{-1}, \lambda'\ell)\}\{(\lambda\ell^{-1}, \lambda'\ell), (m, n)\}$  to equal  $\mu^{(m)\nu(n)}\ell.\ell^{-1}$ , but evaluating the initial expression gives

$$\begin{aligned} h(m, n.\lambda'\ell.n^{-1})h(\lambda\ell^{-1}, \lambda'\ell.n.\lambda'\ell^{-1}) &= h(m, \lambda'({}^n\ell))h(\lambda\ell^{-1}, \lambda'\ell.n.\lambda'\ell^{-1}) \\ &= \mu^{(m)\nu(n)}\ell.\nu(n)\ell^{-1}.\ell^{-1}.\nu\lambda'(\ell).\nu(n).\nu\lambda'\ell^{-1}\ell, \end{aligned}$$

and this does simplify as expected to give the correct results.

We thus have two ways of going from a simplicial group,  $G$ , to a 2-crossed module:

(a) directly to get

$$\frac{NG_2}{\partial NG_3} \rightarrow NG_1 \rightarrow NG_0;$$

(b) indirectly via  $M(G, 2)$  and then by the above construction to get

$$\frac{NG_2}{\partial NG_3} \rightarrow Ker d_0 \times Ker d_1 \rightarrow G_1$$

and they clearly give the same homotopy type. More precisely  $G_1$  decomposes as  $Ker d_0 \times s_0G_0$  and the  $Ker d_0$  factor in the middle term of (b) maps down to that in this decomposition by the identity map. Thus  $d_0$  induces a quotient map from (b) to (a) with kernel isomorphic to

$$1 \rightarrow Ker d_0 \xrightarrow{=} Ker d_0,$$

which is acyclic/contractible.

## 4.4 2-crossed complexes

(These were not discussed in the lectures in Buenos Aires due to lack of time.)

Crossed complexes are a useful extension of crossed modules allowing not only the encoding of an algebraic model for the 2-type, but also information on the ‘chains on the universal cover’, e.g. if  $G$  is a simplicial group, we had  $C(G)$ , the crossed complex constructed from the Moore complex of  $G$ , given by

$$C(G)_n = \frac{NG_n}{(NG_n \cap D_n)d_0(NG_{n+1} \cap D_{n+1})},$$

in higher dimensions and having at its ‘bottom end’ the crossed module,

$$\frac{NG_1}{d_0(NG_2 \cap D_2)} \rightarrow NG_0.$$

For a crossed complex,  $\pi(X)$ , coming from a CW-complex (as a filtered space, filtered by its skeleta), these groups in dimensions  $\geq 3$  coincide with the corresponding groups of the complex of chains on the universal cover of  $X$ . In general, the analogue of that chain complex can be extracted functorially from a general crossed complex; see [28] or [98]. The tail on a crossed complex allows extra dimensions, not available just with crossed modules, in which homotopies can be constructed. The category  $Crs$  is very much better structured than is  $CMod$  itself and so ‘adding a tail’ would seem to be a ‘good thing to do’, so with 2-crossed modules, we can try and do something similar, adding a similar ‘tail’.

We have an obvious normal chain complex of groups that ends

$$\dots \rightarrow C(G)_3 \rightarrow \frac{NG_2}{d_0(NG_3 \cap D_3)} \rightarrow NG_1 \rightarrow NG_0.$$

Here there are more of the structural Peiffer pairings of the Moore complex  $NG$  that survive to the quotient, but it should be clear that, as they take values in the  $NG_n \cap D_n$ , in general these will again be almost all trivial if the receiving dimension,  $n$ , is greater than 2. For  $n \leq 2$ , these pairings are those that we have been using earlier in this chapter. The one exceptional case that is important here, as in the crossed complex case, is that which gives the action of  $NG_0$  on  $C_n(G)$  for  $n \geq 3$ , which, just as before, gives  $C_n(G)$  the structure of a  $\pi_0 G$ -module. Abstracting from this gives the definition of a 2-crossed complex.

**Definition:** A 2-crossed complex is a normal complex of groups

$$\dots \rightarrow C_n \xrightarrow{\partial_n} C_{n-1} \longrightarrow \dots \longrightarrow C_0,$$

together with a 2-crossed module structure given on  $C_2 \rightarrow C_1 \rightarrow C_0$  by a Peiffer lifting function  $\{-, -\} : C_1 \times C_1 \rightarrow C_2$ , such that, on writing  $\pi = Coker(C_1 \rightarrow C_0)$ ,

(i) each  $C_n$ ,  $n \geq 3$  and  $Ker \partial_2$  are  $\pi$ -modules and the  $\partial_n$  for  $n \geq 4$ , together with the codomain restriction of  $\partial_3$ , are  $\pi$ -module homomorphisms;

(ii) the  $\pi$ -module structure on  $Ker \partial_2$  is the action induced from the  $C_0$ -action on  $C_2$  for which the action of  $\partial_1 C_1$  is trivial.

A 2-crossed complex morphism is defined in the obvious way, being compatible with all the actions, the pairings and Peiffer liftings. We will denote by  $2-Crs$ , the corresponding category.



**Proposition 21** *The construction above defines a functor  $C^{(2)}$  from  $Simp.Grps$  to  $2 - Crs$ . ■*

We have noted above that any 2-crossed module,

$$L \xrightarrow{\partial_2} M \xrightarrow{\partial_1} N,$$

gives us a short crossed complex by dividing  $L$  by the subgroup  $\{M, M\}$ , the image of the Peiffer lifting. (We do not need this, but  $\{M, M\}$  is easily checked to be a normal subgroup of  $L$ .) We also discussed those 2-crossed complexes that had trivial Peiffer lifting. They were just the length 2 crossed complexes. This allows one to show that crossed complexes form a reflexive subcategory of  $2 - Crs$  and to give a simple description of the reflector:

**Proposition 22** *There is an embedding*

$$Crs \rightarrow 2 - Crs,$$

*which has a left adjoint,  $L$  say, compatible with the functors defined from  $Simp.Grps$  to  $2 - Crs$  and to  $Crs$ , i.e.  $C(G) \cong LC^{(2)}(G)$ . ■*

## 4.5 $Cat^n$ -groups and crossed $n$ -cubes

**$Cat^2$ -groups and crossed squares:** In the simplest examples of crossed squares,  $\mu$  and  $\mu'$  are normal subgroup inclusions and  $L = M \cap N$ , with  $h$  being the conjugation map. Moreover this type of example is almost ‘generic’ since, if

$$\begin{array}{ccc} M \cap N & \longrightarrow & M \\ \downarrow & & \downarrow \\ N & \longrightarrow & G \end{array}$$

is a simplicial crossed square constructed from a simplicial group,  $G$ , and two simplicial normal subgroups,  $M$  and  $N$ , then applying  $\pi_0$ , the square gives a crossed square and, up to isomorphism, all crossed squares arise in this way.

Although when first defined by D. Guin-Walery and J.-L. Loday, [61], the notion of crossed squares was not linked to that of  $cat^2$ -groups, it was in this form that Loday gave their generalisation to an  $n$ -fold structure,  $cat^n$ -groups (see [75] and below).

A  $cat^1$ -group is a triple  $(G, s, t)$ , where  $G$  is a group and  $s, t$  are endomorphisms of  $G$  satisfying conditions

- (i)  $st = t$  and  $ts = s$ .
- (ii)  $[Ker s, Ker t] = 1$ .

A  $cat^1$ -group is a reformulation of an internal groupoid in  $Grps$ . (The interchange law is given by the  $[Ker, Ker]$  condition; left for you to check) As these latter objects are equivalent to crossed modules, we expect to be able to go between  $cat^1$ -groups and crossed modules without hindrance, and we can:

Setting  $M = Ker s$ ,  $N = Im s$  and  $\partial = t|_M$ , then the action of  $N$  on  $M$  by conjugation within  $G$  makes  $\partial : M \rightarrow N$  into a crossed module. Conversely if  $\partial : M \rightarrow N$  is a crossed module, then setting  $G = M \rtimes N$  and letting  $s, t$  be defined by

$$s(m, n) = (1, n)$$

and

$$t(m, n) = (1, \partial(m)n)$$

for  $m \in M$ ,  $n \in N$ , we have that  $(G, s, t)$  is a  $\text{cat}^1$ -group. Again this is one of those simple, but key calculations that are well worth doing yourself.

For a  $\text{cat}^2$ -group, we again have a group,  $G$ , but this time with two independent  $\text{cat}^1$ -group structures on it. Explicitly:

**Definition:** A  $\text{cat}^2$ -group is a 5-tuple  $(G, s_1, t_1, s_2, t_2)$ , where  $(G, s_i, t_i)$ ,  $i = 1, 2$ , are  $\text{cat}^1$ -groups and

$$s_i s_j = s_j s_i, \quad t_i t_j = t_j t_i, \quad s_i t_j = t_j s_i$$

for  $i, j = 1, 2$ ,  $i \neq j$ .

There is an obvious notion of morphism between  $\text{cat}^2$ -groups and with this we obtain a category,  $\text{Cat}^2(\text{Grps})$ .

**Theorem 5** [75] *There is an equivalence of categories between the category of  $\text{cat}^2$ -groups and that of crossed squares.*

**Proof:** The  $\text{cat}^1$ -group  $(G, s_1, t_1)$  will give us a crossed module with  $M = \text{Ker } s_1$ ,  $N = \text{Im } s_1$ , and  $\partial = t|_M$ , but, as the two  $\text{cat}^1$ -group structures are independent,  $(G, s_2, t_2)$  restricts to give  $\text{cat}^1$ -group structures on both  $M$  and  $N$  and makes  $\partial$  a morphism of  $\text{cat}^1$ -groups as is easily checked. We thus get a morphism of crossed modules

$$\begin{array}{ccc} \text{Ker } s_1 \cap \text{Ker } s_2 & \longrightarrow & \text{Im } s_1 \cap \text{Ker } s_2 \\ \downarrow & & \downarrow \\ \text{Ker } s_2 \cap \text{Im } s_1 & \longrightarrow & \text{Im } s_1 \cap \text{Im } s_2, \end{array}$$

where each morphism is a crossed module for the natural action, i.e., conjugation in  $G$ . It remains to produce an  $h$ -map, but this is given by the commutator within  $G$ , since, if  $x \in \text{Ker } s_2 \cap \text{Im } s_1$  and  $y \in \text{Im } s_2 \cap \text{Ker } s_1$ , then  $[x, y] \in \text{Ker } s_1 \cap \text{Ker } s_2$ . It is easy to check the axioms for a crossed square. The converse is left as an exercise. ■

## 4.6 $\text{Cat}^n$ -groups and crossed $n$ -cubes

Of the two notions named in the title of this section, the first is easier to define.

**Definition:** A  $\text{cat}^n$ -group is a group  $G$  together with  $2n$  endomorphisms  $s_i, t_i$ , ( $1 \leq i \leq n$ ) such that

$$\begin{aligned} s_i t_i &= t_i, \text{ and } t_i s_i = s_i \text{ for all } i, \\ s_i s_j &= s_j s_i, \quad t_i t_j = t_j t_i, \quad s_i t_j = t_j s_i \text{ for } i \neq j \end{aligned}$$

and, for all  $i$ ,

$$[\text{Ker } s_i, \text{Ker } t_i] = 1.$$

A  $\text{cat}^n$ -group is thus a group with  $n$  independent  $\text{cat}^1$ -group structures on it.

As a  $\text{cat}^1$ -group can also be reformulated as an internal groupoid in the category of groups, a  $\text{cat}^n$ -group, not surprisingly, leads to an internal  $n$ -fold groupoid in the same setting.

The definition of crossed  $n$ -cube as an  $n$ -fold crossed module was initially suggested by Ellis in his thesis. The only problem is to determine the sense in which one crossed module should act on another. Since the number of axioms controlling the structure increased from crossed modules to crossed squares, one might fear that the number and complexity of the axioms would increase drastically in passing to higher ‘dimensions’. The formulation that resulted from the joint work, [56], of Ellis and Steiner showed how that could be avoided by encoding the actions and the  $h$ -maps in the same structure.

We write  $\langle n \rangle$  for the set  $\{1, \dots, n\}$ .

**Definition:** A *crossed  $n$ -cube*,  $\mathcal{M}$ , is a family of groups,  $\{M_A : A \subseteq \langle n \rangle\}$ , together with homomorphisms,  $\mu_i : M_A \rightarrow M_{A-\{i\}}$ , for  $i \in \langle n \rangle, A \subseteq \langle n \rangle$ , and functions,  $h : M_A \times M_B \rightarrow M_{A \cup B}$ , for  $A, B \subseteq \langle n \rangle$ , such that if  ${}^a b$  denotes  $h(a, b)b$  for  $a \in M_A$  and  $b \in M_B$  with  $A \subseteq B$ , then for  $a, a' \in M_A, b, b' \in M_B, c \in M_C$  and  $i, j \in \langle n \rangle$ , the following axioms hold:

- (1)  $\mu_i a = a$  if  $a \notin A$
- (2)  $\mu_i \mu_j a = \mu_j \mu_i a$
- (3)  $\mu_i h(a, b) = h(\mu_i a, \mu_i b)$
- (4)  $h(a, b) = h(\mu_i a, b) = h(a, \mu_i b)$  if  $i \in A \cap B$
- (5)  $h(a, a') = [a, a']$
- (6)  $h(a, b) = h(b, a)^{-1}$
- (7)  $h(a, b) = 1$  if  $a = 1$  or  $b = 1$
- (8)  $h(aa', b) = {}^a h(a', b)h(a, b)$
- (9)  $h(a, bb') = h(a, b)h(a, b')$
- (10)  ${}^a h(h(a^{-1}, b), c) {}^c h(h(c^{-1}, a), b) {}^b h(h(b^{-1}, c), a) = 1$
- (11)  ${}^a h(b, c) = h({}^a b, {}^a c)$  if  $A \subseteq B \cap C$ .

A morphism of crossed  $n$ -cubes

$$\{M_A\} \rightarrow \{M'_A\}$$

is a family of homomorphisms,  $\{f_A : M_A \rightarrow M'_A \mid A \subseteq \langle n \rangle\}$ , which commute with the maps,  $\mu_i$ , and the functions,  $h$ . This gives us a category,  $Crs^n$ , equivalent to that of  $cat^n$ -groups.

**Remarks:** 1. In the correspondence between  $cat^n$ -groups and crossed  $n$ -cubes (see Ellis and Steiner, [56]), the  $cat^n$ -group corresponding to a crossed  $n$ -cube,  $(M_A)$ , is constructed as a repeated semidirect product of the various  $M_A$ . Within the resulting ‘‘big group’’, the  $h$ -functions interpret as being commutators. This partially explains the structure of the  $h$ -function axioms.

2. For  $n = 1$ , these eleven axioms reduce to the usual crossed module axioms. For  $n = 2$ , they give a crossed square:

$$\begin{array}{ccc} M_{\langle 2 \rangle} & \xrightarrow{\mu_2} & M_{\{1\}} \\ \mu_1 \downarrow & & \downarrow \mu_1 \\ M_{\{2\}} & \xrightarrow{\mu_2} & M_{\emptyset} \end{array}$$

with the  $h$ -map that was previously specified being  $h : M_{\{1\}} \times M_{\{2\}} \rightarrow M_{\langle 2 \rangle}$ . The other  $h$ -maps in the above definition correspond to the various actions as explained in the definition itself.

**Theorem 6** [56] *There are equivalences of categories*

$$Crs^n \simeq Cat^n(Grps),$$

■

## 4.7 Loday's Theorem

In 1982, Loday proved a generalisation of the MacLane-Whitehead result that stated that connected homotopy 2-types (they called them 3-types) were modelled by crossed modules. The extension used  $\text{cat}^n$ -groups, and, as  $\text{cat}^1$ -groups 'are' crossed modules, we should expect  $\text{cat}^n$ -groups to model connected  $(n + 1)$ -types (if the MacLane-Whitehead result is to be the  $n = 1$  case, see page 72).

We have mentioned that 'simplicial groupoids' model all homotopy types and had a construction of both a crossed module  $M(G, 1)$  and a crossed square,  $M(G, 2)$  from a simplicial group,  $G$ . These are the  $n = 1$  and  $n = 2$  cases of a general construction of a crossed  $n$ -cube from  $G$  that we will give in a moment. First we note a rather neat result.

We saw early on in these notes, (Lemma 1, page 25), that if  $\partial : C \rightarrow P$  was a crossed module, then  $\partial C \triangleleft P$ , i.e. is a normal subgroup of  $P$ . A crossed square

$$\begin{array}{ccc} L & \xrightarrow{\lambda} & M \\ \lambda' \downarrow & & \downarrow \mu \\ N & \xrightarrow{\mu'} & P \end{array}$$

can be thought of as a (horizontal or vertical,) crossed module of crossed modules:

$$\begin{array}{ccc} L & & M \\ \downarrow & \longrightarrow & \downarrow \\ N & & P \end{array}$$

$(\lambda, \nu)$  gives such a crossed module with domain  $(L, N, \lambda')$  and codomain  $(M, P, \mu)$  and so on. (Working out the precise meaning of 'crossed module of crossed modules' and, in particular, what it should mean to have an action of one crossed module on another, is a very useful exercise; try it!) The image of  $(\lambda, \nu)$  is a normal sub-crossed module of  $(M, P, \mu)$ , so we can form a quotient

$$\bar{\mu} : M/\lambda L \rightarrow P/\nu N,$$

and this is a crossed module. (This is not hard to check. There are lots of different ways of checking it, but perhaps the best way is just to show how  $P/\nu N$  acts on  $M/\lambda L$ , in an obvious way, and then to check the induced map,  $\bar{\mu}$ , has the right properties - just by checking them. This gives one a feeling for how the various parts of the definition of a crossed square are used here.)

Another result from near the start of these notes, (Lemma 2), is that  $\text{Ker } \partial$  is a central subgroup of  $C$  and  $\partial C$  acts trivially on it, so  $\text{Ker } \partial$  has a natural  $P/\partial C$ -module structure. Is there an analogue of this for a crossed square? Of course, referring again to our crossed square, above, the kernel of  $(\lambda, \nu)$  would be  $\lambda' : \text{Ker } \lambda \rightarrow \text{Ker } \nu$  (omitting any indication of restriction of  $\lambda'$  for convenience). Both  $\text{Ker } \lambda$  and  $\text{Ker } \nu$  are Abelian, as they themselves are kernels of crossed modules, so  $\text{Ker } \lambda$  is a  $M/\lambda L$ -module and  $\text{Ker } \nu$  is a  $P/\nu N$ -module. (It is left to the diligent reader to work out the detailed structure here and to explore crossed modules that are modules over other ones.)

We had, for a given simplicial group,  $G$ , the crossed square

$$\begin{array}{ccc} \frac{NG_2}{d_0(NG_3)} & \longrightarrow & \text{Ker } d_1 \\ \downarrow & & \downarrow \\ \text{Ker } d_2 & \longrightarrow & G_1 \end{array}$$

which was denoted  $M(G, 2)$ . (The top horizontal and left vertical maps are induced by  $d_0$ .) Let us examine the horizontal quotient and kernel.

First the quotient, this has  $NG_1/d_0NG_2$  as its 'top' group and  $G_1/Ker d_0 \cong G_0$ , as its bottom one. Checking all the induced maps shows quite quickly that the quotient crossed module is  $M(G, 1)$ , up to isomorphism.

What about the kernel? Well, the bottom horizontal map is an inclusion, so has trivial kernel, whilst the top is induced by  $d_0$ , and so the kernel here can be calculated to be  $Ker d_0 \cap NG_2$ , divided by  $d_0(NG_3)$ , but that is  $Ker \partial/Im \partial$  in the Moore complex, so is  $H_2(NG)$  and thus is  $\pi_2(G)$ . We thus have, from previous calculations, that for  $M(G, 1)$ , there is a crossed 2-fold extension

$$\pi_1(G) \rightarrow \frac{NG_1}{\partial NG_2} \rightarrow NG_0 \rightarrow \pi_0(G)$$

and for  $M(G, 2)$ , a similar object, a crossed 2-fold extension of crossed modules:

$$\begin{array}{ccccccccc} 1 & \longrightarrow & \pi_2(G) & \longrightarrow & Ker d_1 & \longrightarrow & NG_2/d_0(NG_3) & \longrightarrow & Ker d_1 NG_1/d_0(NG_2) & \longrightarrow & 1 \\ & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \\ 1 & \longrightarrow & 1 & \longrightarrow & Ker d_0 & \longrightarrow & G_1 & \longrightarrow & G_0 & \longrightarrow & 1 \end{array}$$

'Obviously' this should give an element of ' $H^3(M(G, 2), (\pi_2(G) \rightarrow 1))$ ', but we have not given any description of what that cohomology group should be. It can be done, but we will not go in that direction for the moment. Rather we will use the route via simplicial groups.

We have that simplicial groups yield crossed squares by the  $M(G, 2)$  construction, and that from  $M(G, 2)$  we can calculate  $\pi_0(G)$ ,  $\pi_1(G)$ , and  $\pi_2(G)$ . If  $G$  represents a 3-type of a space (or the 2-type of a simplicial group), then we would expect these homotopy groups to be the only non-trivial ones. (Any simplicial group can be truncated to give one with these  $\pi_i$  as the only non-trivial ones.) This suggests that going from 3-types to crossed squares in a nice way should be just a question of combining the functorial constructions

$$\begin{array}{ccc} \text{Spaces} & \xrightarrow{Sing} & \text{Simplicial Sets} \\ \text{Simplicial Sets} & \xrightarrow{G(\cdot)} & \mathcal{S}\text{-Groupoids} \\ \mathcal{S}\text{-Groupoids} & \xrightarrow{M(\cdot, 2)} & \text{Crossed squares.} \end{array}$$

Of course, we would need to see if, for  $f : X \rightarrow Y$  a 3-equivalence (so  $f$  induces isomorphisms on  $\pi_i$  for  $i = 0, 1, 2, 3$ ), what would be the relationship between the corresponding crossed squares. We would also need to know that each crossed square was in sense 'equivalent' to one of the form  $M(G, 2)$  for some  $G$  constructed from it, in other words to reverse, in part, the last construction. (The other constructions have well known inverses at the homotopy level.)

We will use a 'multinerve' construction, generalising the nerve that we have already met. We will denote this by  $E^{(n)}(\mathcal{M})$  for  $\mathcal{M}$  a crossed  $n$ -cube.

For  $n = 1$ ,  $E^{(1)}$  is just the nerve of the crossed module, so if  $\mathcal{M} = (C, P, \partial)$ , we have  $E^{(1)}(\mathcal{M}) = K(\mathcal{M})$  as given already on page 39.

For  $n = 2$ , i.e. for a crossed square,  $\mathcal{M}$ , we form the 'double nerve' of the associated  $cat^2$ -group of  $\mathcal{M}$ . From  $\mathcal{M}$ , we first form the 'crossed module of  $cat^1$ -groups'

$$L \rtimes N \xrightarrow{(\lambda, \nu)} M \rtimes P,$$

where, for instance, in  $M \rtimes P$  the source endomorphism is  $s(m, p) = (1, p)$  and the target is  $t(m, p) = (1, \partial m.p)$ . (We could repeat in the horizontal direction to form  $(L \rtimes N) \rtimes (M \rtimes P)$ , which is the ‘big group’ of the  $\text{cat}^2$ -group associated to  $\mathcal{M}$ , but, in fact, will not do this except implicitly, as it is easier to form a simplicial crossed module in this situation. This,

$$E^{(1)}(L \xrightarrow{\lambda'} N) \longrightarrow E^{(1)}(M \xrightarrow{\mu} P),$$

is obtained by applying the  $E^{(1)}$  construction to the vertical crossed modules. The two parts are linked by a morphism of simplicial groups induced from  $(\lambda, \nu)$  and which is compatible with the action of the right hand simplicial group on the left hand one. (This action is not that obvious to write down - unless you have already done the previously suggested ‘exercises’. It uses the  $h$ -maps from  $M \times N$  to  $L$ , etc. in an essential way, and is, in some ways, best viewed within  $(L \rtimes N) \rtimes (M \rtimes P)$  as being derived from conjugation. Details are, for instance, in Porter, [98] or [94] as well as in the discussion of the equivalence between  $\text{cat}^n$ -groups and crossed  $n$ -cubes in the original, [56].)

With this simplicial crossed module, we apply the nerve in the second horizontal direction to get a bisimplicial group,  $\mathcal{E}^{(2)}(\mathcal{M})$ . (Of course, if we started with a crossed  $n$ -cube, we could repeat the application of the nerve functor  $n$ -times, one in each direction to get an  $n$ -simplicial group  $\mathcal{E}^{(n)}(\mathcal{M})$ .)

There are two ways of getting from a bisimplicial set or group to a simplicial one. One is the diagonal, so if  $\{G_{p,q}\}$  is a bisimplicial group,  $\text{diag}(G_{\bullet,\bullet})_n = G_{n,n}$  with fairly obvious face and degeneracy maps. The other is the codiagonal (also sometimes called the ‘bar construction’). This was introduced by Artin and Mazur, [6]. It picks up related terms in the various  $G_{p,q}$  for  $p+q=n$ . (An example is for any simplicial group,  $G$ , on taking the nerve in each dimension. You get a bisimplicial set whose codiagonal is  $\overline{W}(G)$ , with the formula given later in these notes.) The two constructions give homotopically equivalent simplicial groups. Proofs of this can be found in several places in the literature, for instance, in the paper by Cegarra and Remedios, [37]. Here we will set  $E^{(n)}(\mathcal{M}) = \text{diag}\mathcal{E}^{(n)}(\mathcal{M})$ .

At this stage, for the reader trying to understand what is going on here, it is worth calculating the Moore complex of these simplicial groups. This is technically quite tricky as it is easy to make a slip, but it is not hard to see that they are ‘closely related’ to the 2-crossed module /mapping cone complex:

$$L \rightarrow M \rtimes N \rightarrow P$$

that we met earlier, (page 78), that is due to Loday and Conduché, see [39]. Of course, such detailed calculations are much harder to generalise to crossed  $n$ -cubes and other techniques are used, see [94] or the alternative version based on the technology of  $\text{cat}^n$ -groups due to Bullejos, Cegarra and Duskin, [34].

In any of these approaches from a crossed  $n$ -cube or  $\text{cat}^n$ -group, you either extract a  $n$ -simplicial group and then a simplicial group, by diagonal or codiagonal, or going one stage further applying the nerve functor to the  $n$ -simplicial group to get a  $(n+1)$ -simplicial set, which is then ‘attacked’ using the diagonal or codiagonal functors to get out a simplicial set. This end result is the simplicial model for the crossed  $n$ -cube and has the same homotopy groups as  $\mathcal{M}$ . Using the simplicial group approach, one applies the  $M(-, n)$ -functor, that we have so far seen only for  $n=1$  and  $2$ , to get back a new crossed  $n$ -cube. This is not  $\mathcal{M}$  itself in general, but is ‘quasi-isomorphic’ to it.

A morphism  $f : \mathcal{M} \rightarrow \mathcal{N}$  of crossed  $n$ -cubes will be called a *trivial epimorphism* if  $\mathcal{E}^{(n)}(f) : \mathcal{E}^{(n)}(\mathcal{M}) \rightarrow \mathcal{E}^{(n)}(\mathcal{N})$  is an epimorphism (and thus a fibration of simplicial groups having contractible

kernel. Starting with the category,  $Crs^n$ , of crossed  $n$ -cubes, inverting the trivial epimorphisms gives a category,  $Ho(Crs^n)$ , and  $f$  will be called a *quasi-isomorphism* if it gives an isomorphism in this category. We can now state Loday's result in the form given in [94]:

**Theorem 7** *The functor*

$$M(-, n) : \text{Simp.Grps} \rightarrow Crs^n$$

*induces an equivalence of categories*

$$Ho_n(\text{Simp.Grps}) \xrightarrow{\cong} Ho(Crs^n).$$

■

As yet we have not actually given the definition of  $M(G, n)$  for  $n > 2$  so here it is:

**Definition** Given a simplicial group,  $G$ , the crossed  $n$ -cube,  $M(G, n)$ , is given by:

(a) for  $A \subseteq \langle n \rangle$ ,

$$M(G, n)_A = \frac{\bigcap \{Ker d_j^n : j \in A\}}{d_0(Ker d_1^{n+1} \cap \bigcap \{Ker d_{j+1}^{n+1} : j \in A\})};$$

(b) if  $i \in \langle n \rangle$ , the homomorphism  $\mu_i : M(G, n)_A \rightarrow M(G, n)_{A \setminus \{i\}}$  is induced from the inclusion of  $\bigcap \{Ker d_j^n : j \in A\}$  into  $\bigcap \{Ker d_j^n : j \in A \setminus \{i\}\}$ ;

(c) representing an element in  $M(G, n)_A$  by  $\bar{x}$ , where  $x \in \bigcap \{Ker d_j^n : j \in A\}$ , (so the overbar denotes a coset), and, for  $A, B \subseteq \langle n \rangle$ ,  $\bar{x} \in M(G, n)_A$ ,  $\bar{y} \in M(G, n)_B$ ,

$$h(\bar{x}, \bar{y}) = \overline{[x, y]} \in M(G, n)_{A \cup B}.$$

Where this definition 'comes from' and why it works is a bit too lengthy to include here, so we refer the interested reader to [98]. From its many properties, we will mention just the following one, linking  $M(G, n)$  with  $M(G, n-1)$  in a similar way to that we have examined for  $n = 2$ .

We will use the following notation:  $M(G, n)_1$  will denote the crossed  $(n-1)$ -cube obtained by restricting to those  $A \subseteq \langle n \rangle$  with  $1 \in A$  and  $M(G, n)_0$  that obtained from the terms with  $A \subseteq \langle n \rangle$  with  $1 \notin A$ .

**Proposition 23** *Given a simplicial group  $G$  and  $n \geq 1$ , there is an exact sequence of crossed  $(n-1)$ -cubes:*

$$1 \rightarrow K \rightarrow M(G, n)_1 \xrightarrow{\mu_1} M(G, n)_0 \rightarrow M(G, n-1) \rightarrow 1,$$

where, if  $B \subseteq \langle n-1 \rangle$  and  $B \neq \langle n-1 \rangle$ , then  $K_B = \{1\}$ , whilst  $K_{\langle n-1 \rangle} \cong \pi_n(G)$ . ■

## 4.8 Squared complexes

We have met crossed squares and 2-crossed modules and the different ways they encode the homotopy 3-type. We have extended 2-crossed modules to 2-crossed complexes, so it is natural curiosity to try to extend crossed squares to a 'cube' formulation. We will see this is just the start of another hierarchy which is in some ways simpler than that suggested by the hypercrossed complexes, and their variants, etc. The first step is the following which was introduced by Ellis, [55].

**Definition:** A *squared complex* consists of a diagram of group homomorphisms

$$\begin{array}{ccccccc} & & & & & N & \\ & & & & \nearrow \lambda' & & \searrow \mu \\ \cdots & \longrightarrow & C_4 & \xrightarrow{\partial_4} & C_3 & \xrightarrow{\partial_3} & L \\ & & & & \searrow \lambda & & \nearrow \mu' \\ & & & & & & M \\ & & & & & & \nearrow \mu' \\ & & & & & & P \end{array}$$

together with actions of  $P$  on  $L, N, M$  and  $C_i$  for  $i \geq 3$ , and a function  $h : M \times N \rightarrow L$ . The following axioms need to be satisfied.

- (i) The square  $\begin{pmatrix} L & \xrightarrow{\lambda} & N \\ \lambda' \downarrow & & \downarrow \mu \\ M & \xrightarrow{\mu'} & P \end{pmatrix}$  is a crossed square;
- (ii) The group  $C_n$  is Abelian for  $n \geq 3$
- (iii) The boundary homomorphisms satisfy  $\partial_n \partial_{n+1} = 1$  for  $n \geq 3$ , and  $\partial_3(C_3)$  lies in the intersection  $\text{Ker } \lambda \cap \text{Ker } \lambda'$ ;
- (iv) The action of  $P$  on  $C_n$  for  $n \geq 3$  is such that  $\mu M$  and  $\mu' N$  act trivially. Thus each  $C_n$  is a  $\pi_0$ -module with  $\pi_0 = P/\mu M \mu' N$ .
- (v) The homomorphisms  $\partial_n$  are  $\pi_0$ -module homomorphisms for  $n \geq 3$ .

This last condition does make sense since the axioms for crossed squares imply that  $\text{Ker } \mu' \cap \text{Ker } \mu$  is a  $\pi_0$ -module.

A morphism of squared complexes

$$\Phi : \left( C_*, \begin{pmatrix} L & \xrightarrow{\lambda} & N \\ \lambda' \downarrow & & \downarrow \mu \\ M & \xrightarrow{\mu'} & P \end{pmatrix} \right) \longrightarrow \left( C'_*, \begin{pmatrix} L' & \xrightarrow{\lambda'} & N' \\ \lambda' \downarrow & & \downarrow \mu' \\ M' & \xrightarrow{\mu'} & P' \end{pmatrix} \right)$$

consists of a morphism of crossed squares  $(\Phi_L, \Phi_N, \Phi_M, \Phi_P)$ , together with a family of equivariant homomorphisms  $\Phi_n$  for  $n \geq 3$  satisfying  $\Phi_L \partial_3 = \partial'_3 \Phi_L$  and  $\Phi_{n-1} \partial_n = \partial'_n \Phi_n$  for  $n \geq 4$ . There is clearly a category  $SqComp$  of squared complexes.

A squared complex is thus a crossed square with a ‘tail’ attached.

Any simplicial group will give us such a gadget by taking the crossed square to be  $M(sk_2G, 2)$ , that is,

$$\begin{array}{ccc} \frac{NG_2}{d_0(NG_3 \cap D_3)} & \longrightarrow & \text{Ker } d_1 \\ \downarrow & & \downarrow \\ \text{Ker } d_2 & \longrightarrow & G_1 \end{array}$$

and then, for  $n \geq 3$ ,

$$C_n(G) = \frac{NG_n}{(NG_n \cap D_n)d_0(NG_{n+1} \cap D_{n+1})}.$$



The above complex contains not only the information for the crossed square  $M(G, 2)$  that represents the 3-type, but also the whole of  $C^{(2)}(G)$ , the 2-crossed complex of  $G$  and thus the crossed complex and the ‘chains on the universal cover’ of  $G$ .

The advantage of working with crossed squares or squared complexes rather than the more linearly displayed models is that they can more easily encode ‘non-symmetric’ information. We will show this in low dimensions here but will later indicate how to extend it to higher ones. For instance, one gets a building process for homotopy types that reflects more the algebra. In examples, given two profinite crossed modules,  $\mu : M \rightarrow P$  and  $\nu : N \rightarrow P$ , there is a universal crossed square defining a ‘tensor product’ of the two crossed modules. We have

$$\begin{array}{ccc} M \otimes N & \xrightarrow{\lambda} & M \\ \chi' \downarrow & & \downarrow \mu \\ N & \xrightarrow{\nu} & P \end{array}$$

is a crossed square and hence represents a 3-type. It is universal with regard to crossed squares having the same right-hand and bottom crossed modules, (see [30, 31] for the original theory and [98] for its connections with other material).

Equivalently we could represent its 3-type as a 2-crossed module

$$M \otimes N \longrightarrow M \rtimes N \xrightarrow{\mu\nu} P$$

or

$$M \otimes N \longrightarrow \frac{(M \rtimes N)}{\sim} \longrightarrow \frac{P}{\mu M},$$

where  $\sim$  corresponds to dividing out by the  $\mu M$  action. However, of these, the profinite crossed square lays out the information in a clearer format and so can often have some advantages.

### 4.9 Crossed N-cubes

We have already suggested (page 73) how one might model all homotopy types using hypercrossed complexes, i.e. by adding more of the potential structure to the Moore complex of a simplicial group. We also saw how crossed modules (which are, from this viewpoint, 1-truncated hypercrossed complexes) generalised to crossed complexes, which have a better structured homotopical and homological algebra. We have seen earlier the transition from 2-crossed modules (= 2-truncated hypercrossed complexes) to 2-crossed complexes and briefly in the previous section, how crossed squares generalised to give squared complexes.

We will end this progression by looking at an elegant theoretical treatment of a generalisation of both crossed complexes and squared complexes. These gadgets are related to the “Moore chain complexes of order  $(n + 1)$  of a simplicial group”, as briefly studied by Baues in [13], but have some of the advantages of crossed squares over 2-crossed modules, namely they can be ‘non-symmetric’, and hence are easily specified by, say, an ‘inclusion crossed  $n$ -cube’ consisting of a simplicial group and  $n$  simplicial normal subgroups. This allows for extra freedom in constructions. Also the axioms are very much simpler!

The definition of a crossed  $n$ -cube involves the set  $\langle n \rangle = \{1, 2, \dots, n\}$ . One obvious way to extend this, eliminating dependence on  $n$ , is to try replacing  $\langle n \rangle$  by  $\mathbb{N} = \{1, 2, \dots\}$  and taking the

subsets  $A, B, C$ , in that definition to be finite, a condition previously automatic. This gives the notion of a crossed  $\mathbb{N}$ -cube:

**Definition:** A *crossed  $\mathbb{N}$ -cube*,  $\mathcal{M}$ , is a family of (pro- $\mathcal{C}$ ) groups,

$$\{M_A : A \subset \mathbb{N}, A \text{ finite}\},$$

together with homomorphisms,  $\mu_i : M_A \rightarrow M_{A-\{i\}}$ , ( $i \in \mathbb{N}$ ,  $A \subset_{fin} \mathbb{N}$ ), and functions,  $h : M_A \times M_B \rightarrow M_{A \cup B}$ , ( $A, B \subset_{fin} \mathbb{N}$ ), such that if  ${}^a b$  denotes  $h(a, b)b$  for  $a \in M_A$  and  $b \in M_B$  with  $A \subseteq B$ , then for  $a, a' \in M_A$ ,  $b, b' \in M_B$ ,  $c \in M_C$  and  $i, j \in \mathbb{N}$ , the following axioms hold:

- (1)  $\mu_i a = a$  if  $a \notin A$
- (2)  $\mu_i \mu_j a = \mu_j \mu_i a$
- (3)  $\mu_i h(a, b) = h(\mu_i a, \mu_i b)$
- (4)  $h(a, b) = h(\mu_i a, b) = h(a, \mu_i b)$  if  $i \in A \cap B$
- (5)  $h(a, a') = [a, a']$
- (6)  $h(a, b) = h(b, a)^{-1}$
- (7)  $h(a, b) = 1$  if  $a = 1$  or  $b = 1$
- (8)  $h(aa', b) = {}^a h(a', b)h(a, b)$
- (9)  $h(a, bb') = h(a, b)h(a, b')$
- (10)  ${}^a h(h(a^{-1}, b), c) {}^c h(h(c^{-1}, a), b) {}^b h(h(b^{-1}, c), a) = 1$
- (11)  ${}^a h(b, c) = h({}^a b, {}^a c)$  if  $A \subseteq B \cap C$ .

(We have written  $A \subset_{fin} \mathbb{N}$  as a shorthand for  $A \subset \mathbb{N}$  with  $A$  finite.) Of course, these are formally identical to those given previously except in as much as there is no bound on the size of the finite sets  $A, B, C$  involved.

**Examples:** The first example is somewhat obvious, the second slightly surprising.

(i) As, for any  $n$ ,  $\langle n \rangle \subset \mathbb{N}$ , if  $\mathcal{M}$  is a crossed  $n$ -cube, then we can extend it trivially to an crossed  $\mathbb{N}$ -cube by defining  $M_A = M_A$  if  $A \subseteq \langle n \rangle$ , and  $M_A = 1$  otherwise. The  $h$ -maps  $M_A \times M_B \rightarrow M_{A \cup B}$  are then clearly determined by those of the original crossed  $n$ -cube.

(ii) Suppose  $\mathcal{M} = \{M_A, \mu_i, h\}$  is a crossed  $\mathbb{N}$ -cube, which is such that  $M_A$  is trivial unless  $A$  is of form  $\langle n \rangle$  for some  $n$ , (where we interpret  $\emptyset$  as being  $\langle 0 \rangle$ , and so  $M_\emptyset$  is not required to be trivial). We will write  $C_n = M_{\langle n \rangle}$  and  $\partial_n : C_n \rightarrow C_{n-1}$  for the morphism  $\mu_n : M_{\langle n \rangle} \rightarrow M_{\langle n-1 \rangle}$ .

We note that  $\partial_{n-1} \partial_n$  is trivial as it factorises via the trivial group:

$$\begin{array}{ccc} M_{\langle n \rangle} & \longrightarrow & M_{\langle n-1 \rangle} \\ \downarrow & & \downarrow \\ M_A & \longrightarrow & M_{\langle n-2 \rangle} \end{array}$$

where  $A = \langle n \rangle - \{n-1\}$ , so  $M_A = 1$ . We thus have that  $(C_n, \partial_n)$  is a complex of groups.

There is a pairing

$$C_0 \times C_n \rightarrow C_n$$

given by  $h : M_\emptyset \times M_{\langle n \rangle} \rightarrow M_{\langle n \rangle}$ , and thus an action

$${}^a b = h(a, b)b,$$

whilst  $\partial({}^a b) = {}^a \partial b$ , since  $\mu_n h(a, b) = h(\mu_n a, \mu_n b)$ , which is  $h(a, \mu_n b)$ , since  $n \notin \emptyset!$

The map  $\partial_1 : C_1 \rightarrow C_0$  is a crossed module by exactly the proof that a crossed 1-cube is a crossed module.

If  $a = \partial_1 b$ , then for  $c \in C_n$ ,  $n \geq 2$ ,

$$\begin{aligned} ac &= h(\partial_1 b, c)c \\ &= h(b, \mu_1 c)c, \end{aligned}$$

since  $1 \in \langle 1 \rangle \cap \langle n \rangle$ , but  $\mu_1 c \in M_{\langle n \rangle - \{1\}}$ , the trivial group so

$${}^a c = c.$$

We will not systematically check *all* the axioms, but clearly  $(C_n, \partial)$  is a crossed complex. (The detailed checking *is* best left to the reader.) Conversely any crossed complex gives a crossed  $\mathbb{N}$ -cube.

These examples show that both crossed  $n$ -cubes, for all  $n$ , and crossed complexes are examples of crossed  $\mathbb{N}$ -cubes. The obvious question, given our previous discussion, is to try to put Ellis' squared complex in the same framework. There is an obvious method to try out, and it works! One takes  $M_A = 1$  unless  $A = \langle n \rangle$  for some  $n \in \mathbb{N}$  or if  $A \subseteq \langle 2 \rangle$ . This does it, but it also indicates an effective way of encoding higher dimensional analogues of these squared complexes.

To do this, given  $n \geq 1$ , we have a subcategory of the category of crossed  $\mathbb{N}$ -cubes specified by the crossed  $n$ -cube complexes, that is, by  $M_A = 1$  unless  $A = \langle m \rangle$  for some  $m \in \mathbb{N}$  or if  $A \subseteq \langle n \rangle$  for the given  $n$ .

As we are going to explore these gadgets in a bit of detail, we introduce some notation.

$Crs^{\mathbb{N}}$  will denote the category of crossed  $\mathbb{N}$ -cubes of groups;  $Crs^n.Comp$  will denote the subcategory of  $Crs^{\mathbb{N}}$  determined by the crossed  $n$ -cube complexes. Thus, for instance,  $Crs^1.Comp$  becomes an alternative notation for the category of crossed complexes.

## 4.10 From simplicial groups to crossed $n$ -cube complexes

To show how these gadgets relate to ordinary 'bog-standard' models of homotopy types, we will show how to obtain a crossed  $n$ -cube complex from a simplicial group  $G$ .

To obtain a crossed  $n$ -cube complex from a simplicial group  $G$ , one analyses the constructions giving crossed complexes and crossed square complexes. For crossed complexes, one used the relative homotopy groups of  $G$ , so that the base crossed module is

$$\frac{NG_1}{(NG_1 \cap D_1)d_0(NG_2 \cap D_2)} \rightarrow G_0,$$

but  $NG_1 \cap D_1 = 1$  since  $D_1$  is generated by the  $s_0(g)$  with  $g \in G_0$ .

For an arbitrary simplicial group,  $H$ , the crossed module  $M(H, 1)$  was given by

$$\frac{NH_1}{d_0(NH_2)} \rightarrow H_0,$$

so the earlier crossed module was  $M(sk_1 G, 1)$ , as  $N(sk_1 G)_2 = NG_2 \cap D_2$ .

Similarly for the crossed square complex associated to  $G$ , we explicitly took the 'base' crossed square to be  $M(sk_2 G, 2)$ .

**Proposition 24** *Let  $G$  be a (pro- $\mathcal{C}$ ) simplicial group and  $n \in \mathbb{N}$ . Define a family  $M_A$ ,  $A \subset \mathbb{N}$ ,  $A$  finite, by*

(i) *if  $A = \langle m \rangle$  and  $m > n$ , then*

$$M_A = \frac{NG_m}{(NG_m \cap D_m)d_0(NG_{m+1} \cap D_{m+1})};$$

(ii) *if  $A \subseteq \langle n \rangle$ ,*

$$\begin{aligned} M_A &= M(sk_n G, n)_A \\ &= \frac{\bigcap \{Ker d_j^n : j \in A\}}{d_0(Ker d_1^{n+1} \cap \bigcap \{Ker d_{j+1}^{n+1} : j \in A\} \cap D_{n+1})} : \end{aligned}$$

(iii) *if  $A$  is otherwise, then  $M_A$  is trivial.*

Further define  $\mu_i : M_A \rightarrow M_{A-\{i\}}$  by

(iv) *if  $i \in A$ , then  $\mu_i$  is the identity morphism;*

(v) *if  $A = \langle m \rangle$ , with  $m > n$  and  $i = m$ , then  $\mu_m$  is induced by  $d_0$ , and is trivial if  $i \neq m$ ;*

(vi) *if  $A \subseteq \langle n \rangle$ , then  $\mu_i$  is induced by the inclusions of intersections (i.e. as in  $M(sk_n G, n)$ );*

(vii) *otherwise  $\mu_i$  is trivial.*

Finally define  $h : M_A \times M_B \rightarrow M_{A \cup B}$  by

(viii) *if  $A = \emptyset$  and  $B = \langle m \rangle$  with  $m > n$  then as  $M_\emptyset = G_{n-1}$  and  $M_B = C(G)_m$ , if  $a \in M_\emptyset$  and  $b \in M_B$ ,*

$$h(a, b) = [s_0^{m-n+1}(a), b] \in M_B;$$

*similarly if  $A = \langle m \rangle$  and  $B = \emptyset$ ;*

(ix) *if  $A, B \subseteq \langle n \rangle$ ,  $h$  is defined as in  $M(sk_n G, n)$ ;*

(x) *otherwise  $h$  is trivial.*

*This data defines a crossed  $\mathbb{N}$ -cube which is, in fact, a crossed  $n$ -cube complex.*

**Proof:** Much of this can be safely ‘left to the reader’. It uses results from earlier parts of the notes. Note, however, that (viii) and (x) effectively say that it is only the  $s_0^{n-1}G_0$  part of  $G_{n-1}$  that acts on any  $M_{\langle m \rangle}$  and even then the image of  $d_0 : NG_1 \rightarrow G_0$  acts trivially. To see this note that any  $a \in G_{n-1}$  that is in some  $Ker d_i$  is in the image of some  $\mu_i$ , hence  $a = \mu_i x$  say, but then

$$\begin{aligned} h(a, b) &= h(\mu_i x, b) \\ &= h(x, \mu_i b) \\ &= 1, \end{aligned}$$

by necessity if the structure is to be crossed  $\mathbb{N}$ -cube. Thus to check that the  $h$ -maps, and, in particular, those involved with part (viii) of the definition, satisfy the axioms, it suffices to use the methods mentioned earlier for checking that  $C(G)$  was a crossed complex, see [98]. ■

We might denote this crossed  $n$ -cube complex by  $C(G, n)$ , as it combines both the technology of the  $M(G, n)$  and the  $C(G)$ . These models have yet to be explored in any depth, but see [98] and below for some preliminary results.

### 4.11 From $n$ to $n - 1$ : collecting up ideas and evidence

We noted earlier that given  $M(G, n)$ , the quotient crossed  $(n - 1)$ -cube was  $M(G, n - 1)$ . Is a similar result true here? Is there an epimorphism from  $\mathbb{C}(G, n)$  to  $\mathbb{C}(G, n - 1)$ ? In fact this is linked with another problem. We have a nested sequence of full categories of  $Crs^{\mathbb{N}}$ ,

$$Crs^1.Comp \subset Crs^2.Comp \subset \dots \subset Crs^n.Comp \subset \dots \subset Crs^{\mathbb{N}}.$$

Does the inclusion of  $Crs^{n-1}.Comp$  into  $Crs^n.Comp$  have a left adjoint, in other words, is  $Crs^{n-1}.Comp$  a reflexive subcategory of  $Crs^n.Comp$ ? We investigate this question here only for  $n = 2$  as this is at the same time easiest to see and also one of the most useful cases.

In this case, the crossed square complexes can be neatly represented as

$$\mathbb{C} := \begin{array}{ccccccc} \dots & \longrightarrow & C_3 & \xrightarrow{\mu_3} & C_{\langle 2 \rangle} & \xrightarrow{\mu_2} & C_{\langle 1 \rangle} \\ & & & & \downarrow \mu_1 & & \downarrow \mu_1 \\ & & & & C_{\{2\}} & \xrightarrow{\mu_2} & C_{\emptyset} \end{array},$$

whilst those corresponding to crossed complexes look like

$$\mathbb{D} := \begin{array}{ccccccc} \dots & \longrightarrow & D_3 & \xrightarrow{\mu_3} & D_{\langle 2 \rangle} & \xrightarrow{\mu_2} & D_{\langle 1 \rangle} \\ & & & & \downarrow \mu_1 & & \downarrow \mu_1 \\ & & & & 1 & \xrightarrow{\mu_2} & D_{\emptyset} \end{array}.$$

A map  $\varphi$  in  $Crs^2.Comp$  from  $\mathbb{C}$  to  $\mathbb{D}$ , clearly, must kill off  $C_{\{2\}}$  and hence must also kill off  $\mu_2(C_{\{2\}})$ , which is normal in  $C_{\emptyset}$ . That is not all. If  $a \in C_{\{2\}}$ ,  $b \in C_{\{1\}}$  or  $C_{\langle 2 \rangle}$ , then

$$\varphi(h(a, b)) = h(\varphi a, \varphi b) = 1,$$

and  $\varphi a = 1$ , thus  $\varphi$  must kill off the action of  $C_{\{2\}}$  on  $C_{\langle 2 \rangle}$ , and all elements of this form,  $h(a, b)$  with  $a \in C_{\{2\}}$ ,  $b \in C_{\{1\}}$  or  $C_{\langle 2 \rangle}$ .

**Example:** To illustrate what is happening let us examine the case of an inclusion crossed square. Suppose  $G$  is a group and  $M, N$  normal subgroups, then

$$\mathbb{C} = \left( \begin{array}{ccc} M \cap N & \longrightarrow & M \\ \downarrow & & \downarrow \\ N & \longrightarrow & G \end{array} \right)$$

is a crossed square. Any 2-truncated crossed complex also gives a crossed square

$$\mathbb{D} = \left( \begin{array}{ccc} D_2 & \longrightarrow & D_1 \\ \downarrow & & \downarrow \\ 1 & \longrightarrow & D_0 \end{array} \right),$$

and any map from  $C$  to  $D$  factors through

$$\begin{array}{ccc} \frac{M \cap N}{[M, N]} & \longrightarrow & \frac{M}{[M, N]} \\ \downarrow & & \downarrow \\ 1 & \longrightarrow & G/N \end{array}$$

**Proposition 25** *The inclusion of  $Crs^1.Comp$  into  $Crs^2.Comp$  has a left adjoint, denoted  $L$ . This left adjoint is a reflection, fixing the objects of the subcategory. ■*

The proof should be fairly obvious so we will leave it as an exercise.

**From  $C(G, 2)$  to  $C(G, 1)$ :** What happens if we apply this  $L$  to  $C(G, 2)$ ? The answer is not that much of a surprise!

**Proposition 26** *If  $G$  is a simplicial group, then there is a natural isomorphism*

$$L(C(G, 2)) \cong C(G, 1).$$

(Of course, the ‘crossed 1-cube complex’,  $C(G, 1)$ , is just the crossed complex  $C(G)$  under another name.)

This does generalise to higher dimensions. We thus have a series of crossed approximations to homotopy types, each one reflecting nicely down to the previous one, but what do these crossed gadgets tell us about the spaces being modelled? To explore that we must go back to crossed modules and their classifying spaces. There is a two way process here, algebraic gadgets tell us information about spaces, but conversely spaces can inform us about algebra. ■

## Chapter 5

# Classifying spaces, and extensions

We will first look in detail at the construction of classifying spaces and their applications for the non-Abelian cohomology of *groups*. This will use things we have already met. Later on we will need to transfer some of this to a sheaf theoretic context to handle ‘gerbes’ and to look at other forms of non-Abelian cohomology.

### 5.1 Non-Abelian extensions revisited.

We again start with an extension of groups:

$$\mathcal{E} : 1 \rightarrow K \rightarrow E \xrightarrow{p} G \rightarrow 1.$$

From a section,  $s$ , we constructed a factor set,  $f$ , but this *is* a bit messy. What do we mean by that? We are working in the category of groups, but neither  $s$  nor  $f$  are group morphisms. For  $s$ , there is an obvious thing to do. The function  $s$  induces a homomorphism,  $k_1$ , from  $C_1(G)$ , the free group on the set,  $G$ , to  $E$  and

$$\begin{array}{ccc} C_1(G) & \longrightarrow & G \\ \downarrow k_1 & & \downarrow = \\ E & \xrightarrow{p} & G \end{array}$$

commutes. One might be tempted to do the same for  $f$ , but  $f$  is partially controlled by  $s$ , so we try something else. When we were discussing identities among relations (page 33), we looked at the example of taking  $X = \{\langle g \mid g \neq 1, g \in G \rangle\}$  and a relation  $r_{g,g'} := \langle g \rangle \langle g' \rangle \langle gg' \rangle^{-1}$  for each pair  $(g, g')$  of elements of  $G$ . (Here we will write  $\langle g_1, g_2 \rangle$  for  $r_{g_1, g_2}$ .)

We can use this presentation  $\mathcal{P}$  to build a free crossed module

$$C(\mathcal{P}) := C_2(G) \rightarrow C_1(G).$$

We noted earlier that the identities were going to correspond to tetrahedra, and that, in fact, we could continue the construction by taking  $C_n(G) =$  the free  $G$ -module on  $\langle g_1, \dots, g_n \rangle$ ,  $g_i \neq 1$ , i.e. the normalised bar resolution. This is very nearly the usual bar resolution coming from the nerve of  $G$ , but we have a crossed module at the base, not just some more modules.

We met this structure earlier when we were looking at syzygies, and later on with crossed  $n$ -fold extensions, but is it of any use to us here?

We know  $pf(g_1, g_2) = 1$ , so  $f(g_1, g_2) \in K$ , and  $C_2(G)$  is a free crossed module ... . Also,  $K \rightarrow E$  is a normal inclusion, so is a crossed module ... . Thinking along these lines, we try

$$k_2 : C_2(G) \rightarrow K$$

defined on generators by  $f$ , i.e.  $i(k_2(\langle g_1, g_2 \rangle)) = f(g_1, g_2)$ . It is fairly easy to check this works, that

$$\partial k_2(\langle g_1, g_2 \rangle) = k_1 \partial(\langle g_1, g_2 \rangle),$$

and that the actions are compatible, i.e.,  $\mathbf{k} : C(\mathcal{P}) \rightarrow \mathcal{E}$ , where  $\mathcal{E} = (K, E, i)$ .

In other words, it seems that the section and the resulting factor set give us a morphism of crossed modules,  $\mathbf{k}$ . We note however that  $f$  satisfies a cocycle condition, so what does that look like here? To answer this we make the boundary  $\partial_3 : C_3(G) \rightarrow C_2(G)$  precise.

$$\partial_3 \langle g_1, g_2, g_3 \rangle = \langle g_1 \rangle \langle g_2, g_3 \rangle \langle g_1, g_2 g_3 \rangle \langle g_1 g_2, g_3 \rangle^{-1} \langle g_1, g_2 \rangle^{-1}$$

and, of course, the cocycle condition just says that  $k_2 \partial_3$  is trivial.

We can use the idea of a crossed complex as being a crossed module with a tail which is a chain complex, to point out that  $\mathbf{k}$  gives a morphism of crossed complexes:

$$\begin{array}{ccccccccc} C(G) : & \dots & \longrightarrow & C_3(G) & \longrightarrow & C_2(G) & \longrightarrow & C_1(G) & \longrightarrow & G \\ & & & \downarrow & & \downarrow k_2 & & \downarrow k_1 & & \downarrow \\ \mathcal{E} : & \dots & \longrightarrow & 1 & \longrightarrow & K & \longrightarrow & E & \longrightarrow & G \end{array}$$

where the crossed module  $\mathcal{E}$  is thought of as a crossed complex with trivial tail.

Back to our general extension,

$$\mathcal{E} : 1 \rightarrow K \rightarrow E \xrightarrow{p} G \rightarrow 1,$$

we note that the choice of a section,  $s$ , does not allow the use of an action of  $G$  on  $K$ . Of course, there is an action of  $E$  on  $K$  by conjugation and hence  $s$  does give us an action of  $C_1(G)$  on  $K$ . If we translate ‘action of  $G$  on a group,  $K$ ’, to being a functor from the *groupoid*,  $G[1]$ , to *Grps* sending the single object of  $G[1]$  to the object  $K$ , then we can consider the 2-category structure of *Grps* with 2-cells given by conjugation, (so that if  $K$  and  $L$  are groups, and  $f_1, f_2 : K \rightarrow L$  homomorphisms, a 2-cell  $\alpha : f_1 \Rightarrow f_2$  will be given by an element  $\ell \in L$  such that

$$f_2(x) = \ell f_1(x) \ell^{-1}$$

for all  $x \in K$ ). With this categorical perspective,  $s$  *does* give a lax functor from  $G[1]$  to *Grps*. We essentially replace the action  $G \rightarrow \text{Aut}(K)$ , when  $s$  is a splitting, by a lax action (see Blanco, Bullejos and Faro, [15]);

$$\begin{array}{ccc} \longrightarrow & C_2(G) & \longrightarrow & C_1(G) \\ & \downarrow k_2 & & \downarrow k_1 \\ & K & \longrightarrow & E \\ & \downarrow = & & \downarrow \\ & K & \longrightarrow & \text{Aut}(K). \end{array}$$



Using this lax action and  $\mathbf{k}$ , we can reinterpret the classical reconstruction method of Schreier as forming the semidirect product  $K \rtimes C_1(G)$ , then dividing out by all pairs,

$$(k_2(\langle g_1, g_2 \rangle), \partial_2(\langle g_1, g_2 \rangle)^{-1}).$$

(We give Brown and Porter's article, [32], as a reference for a discussion of this construction.)

By itself this reinterpretation does not give us much. It just gives a slightly different viewpoint, however two points need making. This formulation is nearer the sort of approach we will need to handle the classification of gerbes and the use of  $K \rightarrow \text{Aut}(K)$  to handle the lax action of  $G$  reveals a problem and also a power in this formulation.

Dedecker, [47], noted that any theory of non-Abelian cohomology of groups must take account of the variation with  $K$ . Suppose we have two groups  $K$  and  $L$  and lax actions of  $G$  on them. What should it mean to say that some homomorphism  $\alpha : K \rightarrow L$  is compatible with the lax actions?

A lax action of  $G$  on  $K$  can be given by a morphism of crossed modules / complexes  $\text{Act}_{G,K} : \mathbf{C}(G) \rightarrow \text{Aut}(K)$ , but  $\text{Aut}(K)$  is not functorial in  $K$  so we do not automatically get a morphism of crossed modules  $\text{Aut}(\alpha) : \text{Aut}(K) \rightarrow \text{Aut}(L)$ . Perhaps the problem is slightly wrongly stated. One might say  $\alpha$  is compatible with the lax  $G$ -actions if such a morphism of crossed modules existed and such that  $\text{Act}_{G,L} = \text{Aut}(\alpha)\text{Act}_{G,K}$ . It is then just one final step to try to classify extensions with a finer notion of equivalence.

**Definition:** Suppose we have a crossed module  $\mathbf{Q} = (K, Q, q)$ . An extension of  $K$  by  $G$  of the type of  $\mathbf{Q}$  is a diagram:

$$\begin{array}{ccccccc} 1 & \longrightarrow & K & \longrightarrow & E & \longrightarrow & G \longrightarrow 1 \\ & & \downarrow & & \downarrow \omega & & \\ & & K & \xrightarrow{q} & Q & & \end{array}$$

where  $\omega$  gives a morphism of crossed modules.

There is an obvious notion of equivalence of two such extensions, where the isomorphism on the middle terms must commute with the structural maps  $\omega$  and  $\omega'$ . The special case when  $Q = \text{Aut}(K)$  gives one the standard notion. In general, one gets a set of equivalence classes of such extensions  $\text{Ext}_{K \rightarrow Q}(G, K)$  and this can be related to the cohomology set  $H^2(G, K \rightarrow Q)$ . This can also be stated in terms of a category  $\text{Ext}_{\mathbf{Q}}(G)$  of extensions of type  $\mathbf{Q}$ , then the cohomology set is the set of components of this category.

This latter object can be defined using any free crossed resolution of  $G$  as there is a notion of homotopy for morphisms of crossed complexes such that this set is  $[\mathbf{C}(G), \mathbf{Q}]$ . Any other free crossed resolution of  $G$  has the same homotopy as  $\mathbf{C}(G)$  and so will do just as well. Finding a complete set of syzygies for a presentation of  $G$  will do.

**Example:**

$$G = (x, y \mid x^2 = y^3)$$

This is the trefoil group. It is a one relator presentation and has no identities so  $C(\mathcal{P})$  is already a crossed resolution. A morphism of crossed modules  $\mathbf{k} : C(\mathcal{P}) \rightarrow \mathbf{Q}$  is specified by elements  $q_x, q_y \in Q$ , and  $a_r \in K$  such that  $\mathbf{k}(a_r) = (q_x)^2(q_y)^{-3}$ . Using this one can give a presentation of the  $E$  that results.

**Remark:** In the analogous case of gerbes, as against extensions, a related notion was introduced by Debremaeker, [43, 44, 45, 46]. This has recently been revisited by Milne [82] and Aldrovandi, [2], who consider the special case where both  $K$  and  $Q$  are Abelian and the action of  $Q$  is trivial. This links with various important structures on gerbes and also with Abelian motives and hypercohomology. In all these cases,  $Q$  is being viewed as the coefficients of the cohomology and the gerbes / extensions have interpretations accordingly. Another very closely related approach is given in Breen, [17, 19]. We explore these ideas later in these notes.

## 5.2 Classifying spaces

The classifying spaces of crossed modules are never far from the surface in this approach to cohomology and related areas. They will play a very important role in the discussion of gerbes, as, for instance, in Larry Breen's work, [17, 18, 19] and later on here.

Classifying spaces of (discrete) groups are well known. One method of construction is to form the nerve,  $Ner(G)$  of the group  $G$  (considered as a small groupoid,  $\mathcal{G}$  or  $G[1]$ , as usual). The classifying space is obtained by taking the geometric realisation,  $BG = |Ner(G)|$ .

To explore this notion and how it relates to crossed modules, we need to take a short excursion into some simplicially based notions.

A classifying space of a group classifies principal  $G$ -bundles ( $G$ -torsors) over a space,  $X$ , in terms of homotopy classes of maps from  $X$  to  $BG$ , using a universal principal  $G$ -bundle  $EG \rightarrow BG$ .

This is very topological! If possible, it is useful to avoid the use of geometric realisations, since (i) this restricts one to groups and groupoids and makes handling more general 'algebras' difficult and (ii) for algebraic geometry, the topology involved is not the right kind as a sheaf-theoretic, topos based construction would be more appropriate. Thus the classifying space is often replaced by the nerve, as in Breen, [19].

How about classifying spaces for crossed modules? Given a crossed module,  $M = (C, G, \theta)$ , say, we can form the associated 2-group,  $\mathcal{X}(M)$ . This gives a simplicial group by taking the nerve of the groupoid structure. Then we can form  $\overline{W}$  of that to get a simplicial set  $Ner(M)$ . To reassure ourselves that this *is* a good generalisation of  $Ner(G)$ , we observe that if  $C$  is the trivial group, then  $Ner(M) = Ner(G)$ . But this raises the question:

*What does this 'classifying space' classify?*

To answer that we must digress to provide more details on the functors  $G$  and  $\overline{W}$ , we mentioned earlier.

## 5.3 Simplicially enriched groupoids

We denote the category of simplicial sets by  $\mathcal{S}$  and that of simplicially enriched groupoids by  $\mathcal{S} - Grpds$ . This latter category includes that of simplicial groups, but it must be remembered that a simplicial object in the category of groupoids will, in general, have a non-trivial simplicial set as its 'object of objects', whilst in  $\mathcal{S} - Grpds$ , the corresponding simplicial object of objects will be constant. This corresponds to a groupoid in which each collection of 'arrows' between objects is a simplicial set, not just a set, and composition is a simplicial morphism, hence the term 'simplicially enriched'. We will often abbreviate the term 'simplicially enriched groupoid' to ' $\mathcal{S}$ -groupoid', but the reader should note that in some of the sources on this material the looser term 'simplicial

groupoid' is used to describe these objects usually with a note to the effect that this is not a completely accurate term to use.

**Remark:** Later we may need to work with  $\mathcal{S}$ -categories, i.e. simplicially enriched categories. Some brief introduction can be found in [69], or in the notes, [97] and the references cited there.

The loop groupoid functor of Dwyer and Kan, [51], is a functor

$$G : \mathcal{S} \longrightarrow \mathcal{S} - Grpds,$$

which takes the simplicial set  $K$  to the simplicially enriched groupoid  $GK$ , where  $(GK)_n$  is the free groupoid on the directed graph

$$K_{n+1} \begin{array}{c} \xrightarrow{s} \\ \xrightarrow{t} \end{array} K_0,$$

where the two functions,  $s$ , source, and  $t$ , target, are  $s = (d_1)^{n+1}$  and  $t = d_0(d_2)^n$  with relations  $s_0x = id$  for  $x \in K_n$ . The face and degeneracy maps are given on generators by

$$\begin{aligned} s_i^{GK}(x) &= s_{i+1}^K(x), \\ d_i^{GK}(x) &= d_{i+1}^K(x), \text{ for } x \in K_{n+1}, 1 < i \leq n \end{aligned}$$

and

$$d_0^{GK}(x) = (d_1^K(x))(d_0^K(x))^{-1}.$$

This loop groupoid functor has a right adjoint,  $\overline{W}$ , called the *classifying space* functor. The details as to its construction will be given shortly. Extending the construction for simplicial groups, given any  $\mathcal{S}$ -groupoid,  $G$ , its Moore complex  $NG$  is given by

$$NG_n = \bigcap_{i=1}^n Ker(d_i : G_n \longrightarrow G_{n-1})$$

with differential  $\partial : NG_n \longrightarrow NG_{n-1}$  being the restriction of  $d_0$ . If  $n \geq 1$ , this is just a disjoint union of groups, one for each object in the object set,  $O$ , of  $G$ . If we write  $G\{x\}$  for the simplicial group of elements that start and end at  $x \in O$ , then at object  $x$ , one has

$$NG\{x\}_n = (NG_n)\{x\}.$$

In dimension 0, one has  $NG_0 = G_0$ , so the  $NG_n\{x\}$ , for different objects  $x$ , are linked by the actions of the 0-simplices, acting by conjugation via repeated degeneracies.

For simplicity in the description below, we will often assume that the  $\mathcal{S}$ -groupoid is *reduced*, that is, its set  $O$ , of objects is just a singleton set  $\{*\}$ , so  $G$  is just a simplicial group.

Suppose that  $NG_m$  is trivial for  $m > n$ .

If  $n = 0$ , then  $NG_0$  is just the group  $G_0$  and the simplicial group (or groupoid) represents an Eilenberg-MacLane space,  $K(G_0, 1)$ .

If  $n = 1$ , then  $\partial : NG_1 \longrightarrow NG_0$  has a natural crossed module structure.

Returning to the discussion of the Moore complex, if  $n = 2$ , then

$$NG_2 \xrightarrow{\partial} NG_1 \xrightarrow{\partial} NG_0$$

has a 2-crossed module structure in the sense of Conduché, [38] and above section 4.2. (These statements are for groups and hence for connected homotopy types. The non-connected case, handled by working with simplicially enriched groupoids, is an easy extension.)

In all cases, the simplicial group will have homotopy groups only in the range covered by the non-trivial part of the Moore complex.

Now relaxing the restriction on  $G$ , for each  $n > 1$ , let  $D_n$  denote the subgroupoid of  $G_n$  generated by the degenerate elements. Instead of asking that  $NG_n$  be trivial, we can ask that  $NG_n \cap D_n$  be. The importance of this is that the structural information on the homotopy type represented by  $G$  includes structure such as the Whitehead products and these all lie in the subgroupoids  $NG_n \cap D_n$ . If these are all trivial then the algebraic structure of the Moore complex is simpler, being that of a crossed complex, and  $\overline{WG}$  is a simplicial set whose realisation is the *classifying space of that crossed complex*, cf. [27]. The simplicial set,  $\overline{WG}$ , is isomorphic to the *nerve* of the crossed complex.

**Notational warning.** As was mentioned before, the indexing of levels in constructions with crossed complexes may cause some confusion. The Dwyer-Kan construction is essentially a ‘loop’ construction, whilst  $\overline{W}$  is a ‘suspension’. They are like ‘shift’ operators for chain complexes. For example  $G$  decreases dimension, as an old one simplex  $x$  yields a generator in dimension 0, and so on. Our usual notation for crossed complexes has  $C_0$  as the set of objects,  $C_1$  corresponding to a relative fundamental groupoid, and  $C_n$  abstracting its properties from  $\pi_n(X_n, X_{n-1}, p)$ , hence the natural topological indexing *has* been used. For the  $\mathcal{S}$ -groupoid  $G(K)$ , the set of objects is separated out and  $G(K)_0$  is a groupoid on the 1-simplices of  $K$ , a dimension shift. Because of this, in the notation being used here, the crossed complex  $C(G)$  associated to an  $\mathcal{S}$ -groupoid,  $G$ , will have a dimension shift as well: explicitly

$$C(G)_n = \frac{NG_{n-1}}{(NG_{n-1} \cap D_{n-1})d_0(NG_n \cap D_n)} \quad \text{for } n \geq 2,$$

$C(G)_1 = NG_0$ , and, of course,  $C_0$  is the common set of objects of  $G$ . In some papers where only the algebraic constructions are being treated, this convention is not used and  $C$  is given without this dimension shift relative to the Moore complex. Because of this, care is sometimes needed when comparing formulae from different sources.

## 5.4 $\overline{W}$ and the nerve of a crossed complex

The category of crossed complexes (of groupoids) is equivalent to a reflexive subcategory of the category  $\mathcal{S} - Grpds$  and the reflection is defined by the obvious functor : take the Moore complex of the  $\mathcal{S}$ -groupoid and divide out by the  $NG_n \cap D_n$ , see [52, 53]. We will denote by  $C : \mathcal{S} - Grpds \rightarrow Crs$  the resulting composite functor, Moore complex followed by reflection. Of course, we have the formula, more or less as before,

$$C(G)_{n+1} = \frac{NG_n}{(NG_n \cap D_n) d_0(NG_{n+1} \cap D_{n+1})}.$$

The Moore complex functor itself is part of an adjoint (Dold-Kan) equivalence between the category  $\mathcal{S} - Grpds$  and the category of hypercrossed complexes, [36], and this restricts to the Ashley-Conduché version of the Dold-Kan theorem of [7].

In order to justify the description of the nerve, and thus the related classifying space, of a crossed complex  $C$ , we will specify the functors involved, namely the Dold-Kan inverse construction and the  $\overline{W}$ . This will also give us extra tools for later use. We will first need the Conduché decomposition lemma.

**Proposition 27** *If  $G$  is a simplicial group(oid), then  $G_n$  decomposes as a multiple semidirect product:*

$$G_n \cong NG_n \rtimes s_0NG_{n-1} \rtimes s_1NG_{n-1} \rtimes s_1s_0NG_{n-2} \rtimes s_2NG_{n-1} \rtimes \dots \rtimes s_{n-1}s_{n-2} \dots s_0NG_0$$

■

The order of the terms corresponds to a lexicographic ordering of the indices  $\emptyset; 0; 1; 1,0; 2; 2,0; 2,1; 2,1,0; 3; 3,0; \dots$  and so on, the term corresponding to  $i_1 > \dots > i_p$  being  $s_{i_1} \dots s_{i_p}NG_{n-p}$ .

The proof of this result is based on a simple lemma, which is easy to prove.

**Lemma 11** *If  $G$  is a simplicial group(oid), then  $G_n$  decomposes as a semidirect product:*

$$G_n \cong \text{Ker } d_n^n \rtimes s_{n-1}^{n-1}(G_{n-1}).$$

■

We next note that in the classical (Abelian) Dold-Kan theorem, (cf. [42]), the equivalence of categories is constructed using the Moore complex and a functor  $K$  constructed via the original direct sum /Abelian version of Conduché's decomposition, cf. for instance, [42].

For each non-negatively graded chain complex  $D = (D_n, \partial)$  in  $Ab$ ,  $KD$  is the simplicial Abelian group with

$$(KD)_n = \bigoplus_a (D_{n-\sharp(a)}, s_a),$$

the sum being indexed by all descending sequences,  $a = \{n > i_p \geq \dots \geq i_1 \geq 0\}$ , where  $s_a = s_{i_p} \dots s_{i_1}$ , and where  $\sharp(a) = p$ , the summand  $D_n$  corresponding to the empty sequence.

The face and degeneracy operators in  $KD$  are given by the rules:

- (1) if  $d_i s_a = s_b$ , then  $d_i$  will map  $(D_{n-p}, s_a)$  to  $(D_{(n-1)-(p-1)}, s_b)$  by the identity on  $D_{n-p}$ ; its components into other direct summands will be zero;
- (2) if  $d_i s_a = s_b d_0$ , then  $d_i$  will map  $(D_{n-p}, s_a)$  to  $(D_{n-p-1}, s_b)$  as the homomorphism  $\partial_{n-p} : D_{n-p} \rightarrow D_{n-p-1}$ ; its components into other direct summands will be zero;
- (3) if  $d_i s_a = s_b d_j$ ,  $j > 0$ , then  $d_i(D_{n-p}, s_a) = 0$ ;
- (4) if  $s_i s_a = s_b$ , then  $s_i$  maps  $(D_{n-p}, s_a)$  to  $(D_{(n+1)-(p+1)}, s_b)$  by the identity on  $D_{n-p}$ , its components into other direct summands will be zero.

This suggests that we form a functor

$$K : Crs \rightarrow S - Grpds$$

using a semidirect product, but we have to take care as there will be a dimension shift, our lowest dimension being  $C_1$ :

if  $C$  is in  $Crs$ , set

$$K(C)_n = C_{n+1} \rtimes s_0C_n \rtimes s_1C_n \rtimes s_1s_0C_{n-1} \rtimes \dots \rtimes s_{n-1}s_{n-2} \dots s_0C_1.$$

The order of terms is to be that of the proposition given above. The formation of the semidirect product is as in the proof we hinted at of that proposition, that is the bracketing is inductively given by

$$(C_{n+1} \dots \rtimes s_{n-2} \dots s_0C_2) \rtimes (s_{n-1}C_n \rtimes \dots \rtimes s_{n-1} \dots s_0C_1);$$

each  $s_\alpha(C_{n+1-\#\alpha})$  is an indexed copy of  $C_{n+1-\#\alpha}$ ; the action of

$$s_{n-1}C_{n-1} \times \dots \times s_{n-1} \dots s_0 C_0 \quad (\cong s_{n-1}K(\mathbf{C})_{n-1})$$

on  $C_{n+1} \times \dots \times s_{n-2} \dots s_0 C_1$ , is given componentwise by the actions of each  $C_i$  and as  $\mathbf{C}$  is a crossed complex, these are all via  $C_0$ . This implies, of course, that the majority of the components of these actions are trivial.

To see how this looks in low dimensions, it is simple to give the first few terms of the simplicial group(oid). As we are taking a reduced crossed complex as illustration, the result is a simplicial group  $K(\mathbf{C})$ , having

- $K(\mathbf{C})_0 = C_1$
- $K(\mathbf{C})_1 = C_2 \times s_0(C_1)$
- $K(\mathbf{C})_2 = (C_3 \times s_0 C_2) \times (s_1 C_2 \times s_1 s_0 C_1)$
- $K(\mathbf{C})_3 = (C_4 \times s_0 C_3 \times s_1 C_3 \times s_1 s_0 C_2) \times (s_2 C_3 \times s_2 s_0 C_2 \times s_2 s_1 C_2 \times s_2 s_1 s_0 C_1)$ .

The face and degeneracy maps are determined by the obvious rules adapting those in the Abelian case, so that if  $c \in C_k$ , the corresponding copy of  $c$  in  $s_\alpha C_k$  will be denoted  $s_\alpha c$  and a face or degeneracy operator will usually act just on the index. The exception to this is if, when renormalised to the form  $s_\beta d_\gamma$  using the simplicial identities,  $\gamma$  is non-empty. If  $d_\gamma = d_0$  then  $d_\gamma c$  becomes  $\delta_k c \in C_{k-1}$ , otherwise  $d_\gamma c$  will be trivial.

**Lemma 12** *The above defines a functor*

$$K : \mathcal{C}rs \rightarrow \mathcal{S} - Grpds$$

such that  $CK \cong Id$ . ■

This extends the functor  $G : \mathcal{C}ModSimp.Grps$ , given earlier, to crossed complexes as there  $C_k = 1$  for  $k > 2$ .

We next need to make explicit the  $\overline{W}$  construction. The simplicial / algebraic description of the nerve of a crossed complex,  $\mathbf{C}$  is then as  $\overline{W}(K(\mathbf{C}))$ . We first give this description for a general simplicially enriched groupoid.

Let  $H$  be an  $\mathcal{S}$ -groupoid, then  $\overline{W}H$  is the simplicial set described by

- $(\overline{W}H)_0 = ob(H_0)$ , the set of objects of the groupoid of 0-simplices (and hence of the groupoid at each level);
- $(\overline{W}H)_1 = arr(H_0)$ , the set of arrows of the groupoid  $H_0$ ;

and for  $n \geq 2$ ,

- $(\overline{W}H)_n = \{(h_{n-1}, \dots, h_0) \mid h_i \in arr(H_i) \text{ and } s(h_{i-1}) = t(h_i), 0 < i < n\}$ .

Here  $s$  and  $t$  are generic symbols for the domain and codomain mappings of all the groupoids involved. The face and degeneracy mappings between  $(\overline{W}H)_1$  and  $(\overline{W}H)_0$  are the source and target maps and the identity maps of  $H_0$ , respectively; whilst the face and degeneracy maps at higher levels are given as follows:

The face and degeneracy maps are given by

- $d_0(h_{n-1}, \dots, h_0) = (h_{n-2}, \dots, h_0)$ ;
- for  $0 < i < n$ ,  $d_i(h_{n-1}, \dots, h_0) = (d_{i-1}h_{n-1}, d_{i-2}h_{n-2}, \dots, d_0h_{n-i}h_{n-i-1}, h_{n-i-2}, \dots, h_0)$ ;

and

- $d_n(h_{n-1}, \dots, h_0) = (d_{n-1}h_{n-1}, d_{n-2}h_{n-2}, \dots, d_1h_1)$ ,

whilst

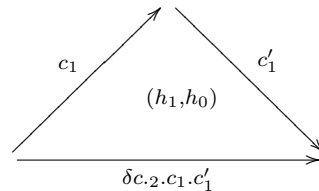
- $s_0(h_{n-1}, \dots, h_0) = id_{dom(h_{n-1})}, h_{n-1}, \dots, h_0$ ;

and,

- for  $0 < i \leq n$ ,  $s_i(h_{n-1}, \dots, h_0) = (s_{i-1}h_{n-1}, \dots, s_0h_{n-i}, id_{cod(h_{n-i})}, h_{n-i-1}, \dots, h_0)$ .

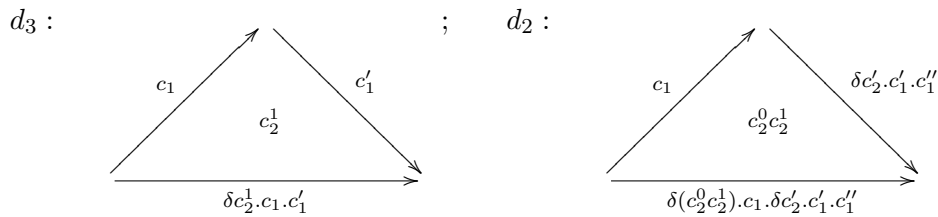
To help understand the structure of the nerve of a (reduced) crossed complex,  $C$ , we will calculate  $Ner(C) = \overline{W}(K(C))$  in low dimensions. This will enable comparison with formulae given earlier. The calculations are just the result of careful application of the formulae for  $\overline{W}$  to  $H = K(C)$ :

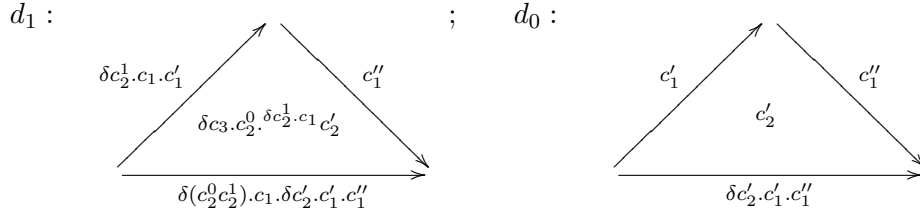
- $Ner(C)_0 = *$ , as we are considering a *reduced* crossed complex - in the general case, this is  $C_0$ ;
- $Ner(C)_1 = C_1$ , as a *set* of ‘directed edges’ or arrows - we will avoid using a special notation for ‘underlying set of a group(oid)’;
- $Ner(C)_2 = \{(h_1, h_0) \mid h_1 = (c_2, s_0(c_1)), h_0 = c'_1, c_2 \in C_2, c_1, c'_1 \in C_1\}$ , and such a 2-simplex has faces given as in the diagram



Note that  $h_1 : c_1 \longrightarrow \delta c_2 . c_1$  in the internal category corresponding to the crossed module,  $(C_2, C_1, \delta)$ , so the formation of this 2-simplex corresponds to a right whiskering of that 2-cell (in the corresponding 2-groupoid) by the arrow  $c'_1$ .

- $Ner(C)_3 = \{(h_2, h_1, h_0) \mid h_1 = (c_3, s_0c_2^0, s_1c_2^1, s_1s_0c_1), h_1 = (c'_2, s_0(c'_1)), h_0 = c''_1\}$  in the evident notation. Here the faces of the 3-simplex  $(h_2, h_1, h_0)$  are as in the diagrams, (in each of which the label for the 2-simplex itself has been abbreviated):





The only face where any real thought has to be used is  $d_1$ . In this the  $d_1$  face has to be checked to be consistent with the others. The calculation goes like this:

$$\begin{aligned}
 \delta(\delta c_3 \cdot c_2^0 \cdot \delta c_2^1 \cdot c_1 c_2') \cdot (\delta c_2^1 \cdot c_1 \cdot c_1') \cdot c_1'' &= \delta c_2^0 \cdot (\delta c_2^1 \cdot c_1 \cdot \delta c_2' \cdot c_1^{-1} \cdot (\delta c_2^1)^{-1}) \cdot \delta c_2^1 \cdot c_1 \cdot c_1' \cdot c_1'' \\
 &= \delta(c_2^0 c_2^1) \cdot c_1 \cdot \delta c_2' \cdot c_1' \cdot c_1''
 \end{aligned}$$

This uses (i)  $\delta\delta c_3$  is trivial, being a boundary of a boundary, and (ii) the second crossed module rule for expanding  $\delta(\delta c_2^1 \cdot c_1 c_2')$  as  $\delta c_2^1 \cdot c_1 \cdot \delta c_2' \cdot c_1^{-1} \cdot (\delta c_2^1)^{-1}$ .

This diagrammatic representation, although useful, is limited. A recursive approach can be used as well as the simplicial / algebraic one given above. In this,  $Ner(\mathcal{C})$  is built up via its skeleta, specifying  $Ner(\mathcal{C})_n$  as an element of  $C_n$ , together with the empty simplex that it ‘fills’, i.e. the set of compatible  $(n - 1)$ -simplices. This description is used by Ashley, ([7], p.37). More on nerves of crossed complexes can be found in Nan Tie, [92, 93]. There is also a neat ‘singular complex’ description,  $Ner(\mathcal{C})_n = Crs(\pi(n), \mathcal{C})$ , where  $\pi(n)$  is the free crossed complex on the  $n$ -simplex,  $\Delta[n]$ .

### 5.5 Simplicial Automorphisms and Regular Representations

The usual enrichment of the category of simplicial sets is given by :  
for each  $n \geq 0$ , the set of  $n$ -simplices is

$$\underline{\mathcal{S}}(K, L)_n = \mathcal{S}(K \times \Delta[n], L),$$

together with obvious face and degeneracy maps.

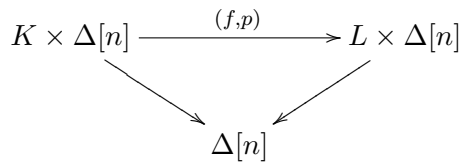
Composition : for  $f \in \underline{\mathcal{S}}(K, L)_n, g \in \underline{\mathcal{S}}(L, M)_n$ , so  $f : \Delta[n] \times K \rightarrow L, g : \Delta[n] \times L \rightarrow M$ ,

$$g \circ f := (\Delta[n] \times K \xrightarrow{diag \times K} \Delta[n] \times \Delta[n] \times K \xrightarrow{\Delta[n] \times f} \Delta[n] \times L \xrightarrow{g} M);$$

Identity :  $id_K : \Delta[0] \times K \xrightarrow{\cong} K$ .

For fixed  $K, \underline{\mathcal{S}}(K, K)$  is a simplicial monoid and  $aut(K)$  will be the corresponding simplicial group of invertible elements.

If  $f : K \times \Delta[n] \rightarrow L$  is an  $n$ -simplex, then we can form a diagram





in which the two slanting arrow are the obvious projections, (so  $(f, p)(k, \sigma) = (f(k, \sigma), \sigma)$ ). Taking  $K = L$ ,  $f \in \text{aut}(K)$  if and only if  $(f, p)$  is an isomorphism of simplicial sets.

Given a simplicial set  $K$ , and an  $n$ -simplex  $x$  in  $K$ , there is a representing map

$$\mathbf{x} : \Delta[n] \longrightarrow K,$$

that send the top dimensional generating simplex of  $\Delta[n]$  to  $x$ . The enrichment above is part of an adjunction

$$\mathcal{S}(K \times L, M) \cong \mathcal{S}(L, \underline{\mathcal{S}}(K, M))$$

in which, given  $\theta : K \times L \longrightarrow M$  and  $y \in L_n$ , the corresponding simplicial map

$$\bar{\theta} : L \longrightarrow \underline{\mathcal{S}}(K, M)$$

sends  $y$  to the composite

$$K \times \Delta[n] \xrightarrow{K \times \mathbf{y}} K \times L \xrightarrow{\theta} M.$$

In a simplicial group  $G$ , the multiplication is a simplicial map  $\#_0 : G \times G \longrightarrow G$ , and so by the adjunction, we get a simplicial map

$$G \longrightarrow \underline{\mathcal{S}}(G, G)$$

and this is a simplicial monoid morphism. This gives the right regular representation of  $G$ ,

$$\rho = \rho_G : G \longrightarrow \text{aut}(G).$$

This representation needs careful interpretation. In dimension  $n$ , an element  $g \in G_n$  acts by multiplication on the right on  $G$ , but even in dimension 0, this action is not as simple as one might think. (NB. Here  $\text{aut}(G)$  is the simplicial group of ‘simplicial automorphisms of the underlying simplicial set of  $G$ ’ as, of course, multiplication by an element does not give a mapping that respects the group structure.) Simple examples are called for:

Suppose  $g \in G_1$ , then  $\rho(g) \in \text{aut}(G)_1 \subset \underline{\mathcal{S}}(G, G)_1 = \mathcal{S}(G \times \Delta[1], G)$ . In other words,  $\rho(g)$  is a homotopy between  $\rho(d_1g)$  and  $\rho(d_0g)$ . Of course, it is an invertible element of  $\underline{\mathcal{S}}(G, G)_1$  and this will have implications for its properties as a homotopy, and to use a geometric term, we will loosely refer to it as an *isotopy*.

In general, 0-simplices give simplicial maps corresponding to multiplication by that element, so that for  $g \in G_0$ , and  $x \in G_n$ ,

$$\rho(g)(x) = x \#_0 s_0^{(n)}(g).$$

In dimension 1, we have that elements give isotopies, and in higher dimensions, we have ‘isotopies of isotopies’, and so on.

## 5.6 $\overline{W}$ , $W$ and twisted Cartesian products

Suppose we have simplicial sets  $Y$ , a potential ‘fibre’ and  $B$ , a potential ‘base’ which will be assumed to be pointed by a vertex,  $*$ . Inspired by the sort of construction that works for the construction of group extensions, we are going to try to construct a fibration sequence

$$Y \longrightarrow E \longrightarrow B.$$

Clearly the product  $E = B \times Y$  will give such a sequence, but can we somehow *twist* this Cartesian product to get a more general construction? We will try setting  $E_n = B_n \times Y_n$  and will change as little as possible in the data specifying faces and degeneracies. In fact we will take all the degeneracy maps to be exactly those of the Cartesian product, and all but  $d_0$  of the face maps likewise. This leaves just the zeroth face map.

In, say, a covering space considered as a fibration with discrete fibre, the fundamental group(oid) of the base acts by automorphisms / permutations on the fibre, and the fundamental group(oid) is generated by the edges, hence by elements of dimension one greater than that of the fibre, so we try a formula for  $d_0$  of form

$$d_0(b, y) = (d_0b, t(b)(d_0y)),$$

where  $t(b)$  is an automorphism of  $Y$ , determined by  $b$  in some way, hence giving a function  $t : B_n \rightarrow \mathbf{aut}(Y)_{n-1}$ . Note here  $Y$  is an arbitrary simplicial set, not the underlying simplicial set of a simplicial group as was previously the case when we considered  $\mathbf{aut}$ , but this makes no difference to the definition.

Of course, with these tentative definitions, we must still have that the simplicial identities hold, but it is easy to check that these will hold exactly if  $t$  satisfies the following equations

$$\begin{aligned} d_i t(b) &= t(d_{i-1}b) \quad \text{for } i > 0, \\ d_0 t(b) &= t(d_1b) \#_0 t(d_0b)^{-1}, \\ s_i t(b) &= t(s_{i+1}b) \quad \text{for } i \geq 0, \\ t(s_0b) &= *. \end{aligned}$$

A function  $t$  satisfying these equations will be called a *twisting function*. and the simplicial set  $E$ , thus constructed will be called a *regular twisted Cartesian product*. We write  $E = B \times_t Y$ .

Of course a twisting function is not a simplicial map, but the formulae it satisfies look closely linked to those of the Dwyer-Kan loop group(oid) construction, given earlier, page 99. In fact:

**Proposition 28** *A twisting function  $t : B \rightarrow \mathbf{aut}(Y)$  determines a unique homomorphism of simplicial groupoids  $t : GB \rightarrow \mathbf{aut}(Y)$ , and conversely.* ■

Of course, since  $G$  is left adjoint to  $\overline{W}$ , we could equally well note that  $t$  gave a simplicial morphism  $t : B \rightarrow \overline{W}(\mathbf{aut}(Y))$ , and conversely.

Of course, we could restrict attention to a particular class of simplicially enriched groupoids such as those coming from groups (constant simplicial groups), or nerves of crossed modules, or of crossed complexes, etc. We will see some aspects of this in the following chapter, but we will be generalising it as well.

This adjointness gives us a ‘universal’ twisting function for any simplicial group,  $H$ . We have the general natural isomorphism

$$\mathcal{S}(B, \overline{W}H) \cong \mathit{Simp.Grpds}(G(B), H),$$

so, as usual in these situations, it is very tempting to look at the special case where  $B = \overline{W}H$  itself and hence to get the counit of the adjunction from  $G\overline{W}(H)$  to  $H$  corresponding to the identity simplicial map from  $\overline{W}H$  to itself. By the general properties of adjointness, this map ‘generates’ the natural isomorphism in the general case.

From our point of view, the two natural isomorphic sets are much better viewed as being  $\mathit{Tw}(B, H)$ , the set of twisting functions  $\tau : B \rightarrow H$ , so the key case will be a ‘universal’ twisting

function,  $\tau_H : \overline{W}H \rightarrow H$  and hence a universal twisted Cartesian product  $\overline{W}H \times_{\tau_H} H$ . (Notational point: the context tells us that the fibre  $H$  is the underlying simplicial set of the simplicial group,  $H$ , but no special notation will be used for this here.) This universal twisted Cartesian product is called the *classifying bundle for  $H$*  and is denoted  $WH$ . We can unpack its definition from its construction, but will not give the detailed derivation (which is suggested as a useful exercise). Clearly

$$(WH)_n = H_n \times \overline{W}(H)_n,$$

so from our earlier description of  $\overline{W}(H)$ , we have

$$WH_n = H_n \times H_{n-1} \times \dots \times H_0.$$

The face maps are given by

$$d_i(h_n, \dots, h_0) = (d_i h_n, \dots, d_0 h_{n-i} \cdot h_{n-i-1}, h_{n-i-2}, \dots, h_0)$$

for all  $i$ ,  $0 \leq i \leq n$ , whilst

$$s_i(h_n, \dots, h_0) = (s_i h_n, \dots, s_0 h_{n-i}, 1, h_{n-i-1}, \dots, h_0).$$

(It is noteworthy that  $d_0(h_n, \dots, h_0) = (d_0 h_n \cdot h_{n-1}, h_{n-2}, \dots, h_0)$  so the universal twist  $\tau_H$  must somehow be built in to this. In fact  $\tau_H$  is an ‘obvious’ map as one would hope. We have  $\overline{W}(H)_n = H_{n-1} \times \dots \times H_0$  and we need  $(\tau_H)_n : \overline{W}(H)_n \rightarrow H_{n-1}$ , since it is to be a twisting map and so has degree -1. The obvious formula to try is that  $\tau_H$  is the projection map - and it works. The details are left to you. A glance back at the formula for the general  $d_0$  in a twisted Cartesian product will help.)

An introduction to simplicial bundle theory can be found in Curtis’ classical survey article, [42] section 6, but will need some related results. For the moment, we limit ourselves to a number of observations, based on the classical treatment:

- 1). The simplicial set  $W(H)$  is a Kan complex.
- 2).  $W(H)$  is contractible, i.e. is homotopy equivalent to  $\Delta[1]$ .
- 3). The simplicial map

$$W(H) \rightarrow \overline{W}(H)$$

is a Kan fibration with fibre the underlying simplicial set of  $H$ , (so the long exact sequence of homotopy groups together with point 2). shows that  $\pi_n(\overline{W}H) \cong \pi_{n-1}(H)$ .

- 4). If  $p : E \rightarrow B$  is a principle  $H$ -bundle, that is,  $E$  is  $H \times_t B$  for some twisting function  $t : B \rightarrow H$ , then we have a simplicial map

$$f_t : B \rightarrow \overline{W}(H)$$

given by  $f_t(b) = (t(b), t(d_0 b), \dots, t(d_0^{n-1} b))$ , and we can pull back  $(W(H) \rightarrow \overline{W}(H))$  along  $f_t$  to get a principal  $H$ -bundle over  $B$

$$\begin{array}{ccc} E' & \longrightarrow & W(H) \\ p' \downarrow & & \downarrow \\ B & \xrightarrow{f_t} & \overline{W}(H). \end{array}$$

We can, of course, calculate  $E'$  and  $p'$  precisely:

$$\begin{aligned} E' &\cong \{(h_n, h_{n-1}, \dots, h_0), b \mid h_{n-1} = t(b), \dots, h_0 = t(d_0^{n-1}b)\} \\ &\cong \{(h_n, b) \mid h_n \in H_n, b \in B_n\} \\ &= H_n \times B_n. \end{aligned}$$

It should come as no surprise to find that  $E' \cong H \times_t B$ , so is  $E$  itself up to isomorphism, and that  $p'$  is  $p$  in disguise.

The assignment of  $f_t$  to  $t$  gives a one-one correspondence between  $H$ -equivalence classes of principal  $H$ -bundles with base and the set of homotopy classes of simplicial maps from  $B$  to  $\overline{W}(H)$ .

## Chapter 6

# Non-Abelian Cohomology: Torsors, and Bitorsors

One of the problems to be faced when presenting the applications of crossed modules, etc., is that such is the breadth of these applications that they may safely be assumed to be potentially of interest to mathematicians of very differing backgrounds, algebraists, geometers both algebraic and differential, theoretical physicists and, of course, algebraic topologists. To make these notes as useful as possible, some part of the more basic ‘intuitions’ from the background material from some of these areas has been included at various points. This cannot be ‘all inclusive’ nor ‘universal’ as different groups of potential readers have different needs. The real problem is that of transfer of ‘technology’ between the areas and of explanation of the differing terminology used for the same concept in different contexts.

For the background on bundle-like constructions (sheaves, torsors, stacks, gerbes, 2-stacks, etc.), the geometric intuition of ‘things over  $X$ ’ or  $X$ -parametrised ‘things’ of various forms, does permeate much of the theory, so we will start with some fairly basic ideas, and so will, no doubt, for some of the time, be ‘preaching to the converted’, however that ‘bundle’ intuition is so important for this and later sections that something more than a superficial treatment is required.

(In the original lectures at Buenos Aires, I did assume that that intuition was understood, but in any case concentrated on the ‘group extension’ case rather than on ‘gerbes’ and their kin. By this means I avoided the need to rely too heavily on material that could not be treated to the required depth in the time available. However I cannot escape the need to cover some of that material here!)

Initially crossed modules, etc., will not be that much in evidence, *but* it is important to see how they do enter in ‘geometrically’ or their later introduction can seem rather artificial.

We start by looking at descent, i.e. the problem of putting ‘local’ bits of structure into a global whole.

### 6.1 Descent: Bundles, and Covering Spaces

(Remember, if you have met ‘descent’ or ‘bundles’, then you should ‘skim’ this section only / anyway.)

We will look at these structures via some ‘case studies’ to start with.

#### **Case study 1: Topological Interpretations of Descent.**

Suppose  $A$  and  $B$  are topological spaces and  $\alpha : A \rightarrow B$  a continuous map (sometimes called a

‘space over  $B$ ’ or loosely speaking a ‘bundle over  $B$ ’, although that can also have a more specialised meaning later). If  $U \subset B$  is an open set, then we get a restriction  $\alpha_U : \alpha^{-1}(U) \rightarrow U$ . If  $V \subset B$  is another open set, we, of course, have  $\alpha_V : \alpha^{-1}(V) \rightarrow V$  and over  $U \cap V$  the two restrictions ‘coincide’, i.e. if we form the pullbacks

$$\begin{array}{ccc} ? & \longrightarrow & \alpha^{-1}(U) \\ \downarrow & & \downarrow \\ U \cap V & \longrightarrow & U \end{array} \qquad \begin{array}{ccc} ? & \longrightarrow & \alpha^{-1}(V) \\ \downarrow & & \downarrow \\ U \cap V & \longrightarrow & V \end{array}$$

the resulting spaces over  $U \cap V$  are ‘the same’. (We have to be a bit careful since we formed them by pullbacks so they are determined only ‘up to isomorphism’ and we should take care to interpret ‘the same’ as meaning ‘being isomorphic’ as spaces over  $U \cap V$ . This care will be important later.) Now assume that for each  $b \in B$ , we choose an open neighbourhood  $U_b \subset B$  of  $b$ . We then have a family

$$\alpha_b : A_b \rightarrow U_b \qquad b \in B,$$

where we have written  $A_b$  for  $\alpha^{-1}(U_b)$ , and we know information about the behaviour over intersections.

Can we reverse this process? More precisely, can we start with a family  $\{\alpha_b : A_b \rightarrow U_b : b \in B\}$  of maps (with  $A_b$  now standing for an arbitrary space) and add in, say, information on the ‘compatibility’ over the intersections of the cover  $\{U_b : b \in B\}$  so as to rebuild a space over  $B$ ,  $\alpha : A \rightarrow B$ , which will restrict to the given family.

We will need to be more precise about that ‘compatibility’, but will leave it aside until a bit later. Clearly, indexing the cover by the elements of  $B$  is a bit impractical as usually we just need, or are given, some (open) cover  $\mathcal{U}$  of  $B$ , and then can choose, for each  $b \in B$ , some set of the cover containing  $b$ . This way we do not repeat sets unless we expressly need to. Thinking like this we have a cover  $\mathcal{U}$  and for each  $U$  in  $\mathcal{U}$ , a space over  $U$ ,  $\alpha_U : A_U \rightarrow U$ . To encode the condition on compatibility on intersections, we need some (temporary) notation: If  $U, U' \in \mathcal{U}$ , write  $(A_U)_{U'}$  for the restriction of  $A_U$  over the intersection  $U \cap U'$ , similarly  $(\alpha_U)_{U'}$  for the restriction of  $\alpha_U$  to  $U \cap U'$ . We noted that if the family  $\{\alpha_U \mid U \in \mathcal{U}\}$  did come from a single  $\alpha : A \rightarrow B$ , then the  $\alpha_U$ s agreed up to isomorphism on the intersections, i.e. we needed homeomorphisms

$$\xi_{U,U'} : (A_U)_{U'} \xrightarrow{\cong} (A_{U'})_U$$

over  $U \cap U'$ . (These are sometimes called the *transition functions* or gluing *cocycles*.) This, of course, means that

$$(\alpha_{U'})_U \circ \xi_{U,U'} = (\alpha_U)_{U'}.$$

Clearly we should require

1.  $\xi_{U,U} = \text{identity}$ ,
  - but also if  $U''$  is another set in the cover, we would need
  2.  $\xi_{U',U''} \circ \xi_{U,U'} = \xi_{U,U''}$
- over the triple intersection  $U \cap U' \cap U''$ .

(This condition 2. is a *cocycle condition*, similar in many ways to ones we have met earlier in apparently very different contexts.)

These two conditions are inspired by observation on decomposing an original bundle. They give us ‘descent data’ but are our ‘descent data’ enough to construct and, in general, to classify such

spaces over  $B$ ? The obvious way to attempt construction of an  $\alpha$  from the data  $\{\alpha_U; \xi_{U,U'}\}$  is to ‘glue’ the spaces  $A_U$  together using the  $\xi_{U,U'}$ . ‘Gluing’ is almost always a colimiting process, but as that can be realised using coproducts (disjoint union) and coequalisers (quotients by an equivalence relation), we will follow a two step construction

Step 1: Let  $C = \sqcup_{U \in \mathcal{U}} A_U$  and  $\gamma : C \rightarrow \sqcup_{U \in \mathcal{U}} U$ , the induced map. Thus if we consider a specific  $U$  in  $\mathcal{U}$ , we will have inclusions of  $A_U$  into  $C$  and  $U$  into  $\sqcup U$  and a diagram

$$\begin{array}{ccc} A_U \hookrightarrow & C = \sqcup A_U & \\ \alpha_U \downarrow & & \downarrow \gamma \\ U \hookrightarrow & \sqcup U & \end{array}$$

Remember that a useful notation for elements in a disjoint union is a pair, (element, index), where the index is the index of the set in which the element is. We write  $(a, U)$  for an element of  $C$ , then  $\gamma(a, U) = (\alpha_U(a), U)$ , since  $a \in A_U$ .

Step 2: We relate elements of  $C$  to each other by the rule:

$$(a, U) \sim (a', U')$$

if and only if

(i)  $\alpha_U(a) = \alpha_{U'}(a')$ ,

and

(ii)  $\xi_{U,U'}(a) = a'$ .

The two conditions on the homeomorphisms  $\xi$  readily imply that this is an equivalence relation and that the  $\alpha_U$  together define a map

$$\alpha : A = C/\sim \rightarrow B$$

given by

$$\alpha[(a, U)] = \alpha_U(a).$$

For this to be the case, we only needed  $\alpha_U(a) = \alpha_{U'}(a')$  to hold. Why did we impose the second condition. i.e. the cocycle condition? Simply, if we had not, we would risked having an equivalence relation that crushed  $C$  down to  $B$ . Each fibre  $\alpha^{-1}(b)$  might have been a single point since each  $\alpha_U^{-1}(a)$  would have been in a single equivalence class. We have thus a space over  $B$ ,  $\alpha : A \rightarrow B$  (with  $A$  having the quotient topology, which ensures that  $\alpha$  will be continuous).

If we had started with such a space, decomposed over  $\mathcal{U}$ , then had constructed a ‘new space’ from that data, would we have got back where we started? Yes, up to isomorphism (i.e. homeomorphism over  $B$ ). To discuss this, it helps to introduce the category  $Top/B$  of spaces over  $B$ . This has continuous maps  $\alpha : A \rightarrow B$  (often written  $(A, \alpha)$ ) as its objects, whilst a map from  $(A, \alpha)$  to  $(A', \alpha')$  will be a continuous map  $f : A \rightarrow A'$  making the diagram

$$\begin{array}{ccc} A & \xrightarrow{f} & A' \\ & \searrow \alpha & \swarrow \alpha' \\ & & B \end{array}$$

commutative. This, however, raises another question.

If we have such an  $f$  and an (open) cover  $\mathcal{U}$  of  $B$ , we restrict  $f$  to  $\alpha^{-1}(U)$  to get

$$f_U : A_U \rightarrow A'_U$$

which, of course, is in  $Top/U$ . If we have data,

$$\{\alpha_U : A_U \rightarrow U, \{\xi_{U,U'}\}\}$$

for  $(A, \alpha)$  and similarly for  $(A', \alpha')$ , and morphisms

$$\{f_U : A_U \rightarrow A'_U\},$$

when can we ‘rebuild’  $f : A \rightarrow A'$ ? We would expect that we would need a compatibility between the various  $f_U$  and the  $\xi_{U,U'}$  and  $\xi'_{U,U'}$ . The obvious condition would be that whenever we had  $U, U'$  in  $\mathcal{U}$ , the diagram

$$\begin{array}{ccc} (A_U)_{U'} & \xrightarrow{(f_U)_{U'}} & (A'_U)_{U'} \\ \xi_{U,U'} \downarrow & & \xi'_{U,U'} \downarrow \\ (A_{U'})_U & \xrightarrow{(f_{U'})_U} & (A'_{U'})_U \end{array}$$

should commute, where we have extended our notation to use  $(f_U)_{U'}$  for the restriction of  $f_U$  to  $\alpha^{-1}(U \cap U')$ . To codify this neatly we can form each category  $Top/U$  for  $U \in \mathcal{U}$ , then form the category  $D$  consisting of a family of objects  $\{\alpha_U : U \in \mathcal{U}\}$  of  $\prod Top/U$  together with the extra structure of the  $\xi_{U,U'}$ . Morphisms in  $D$  are families  $\{f_U\}$  as above, compatible with the structural isomorphisms  $\xi_{U,U'}$ .

**Remark** This category is called the *category of descent data relative to the cover  $\mathcal{U}$* . The reason for the use of the word ‘descent’ is that in many geometric situations, structure is easily encoded on some basic ‘patches’. This structure, that is locally defined, ‘descends’ to the space giving it a similar structure. In many cases the  $A_U$  have the fairly trivial form  $U \times F$  for some fibre  $F$ . This fibre often has extra structure and the  $\xi_{U,U'}$  have then to be structure preserving automorphisms of the space,  $F$ . The term ‘bundle’ is often used in general, but some authors restrict its use to this *locally trivial* case. The classic case of a locally trivial bundle is a Möbius band as a bundle over the circle. Locally, on the circle, the band is of form  $U \times [-1, 1]$ , but globally one has a twist.

### Case Study 2: Covering Spaces

This is a classic case of a class of ‘spaces over’ another space. It is also of central importance for the development of possible generalisations to higher ‘dimensions’, (cf. Grothendieck’s *Pursuit of Stacks*, [59].) We have a continuous map

$$\alpha : A \rightarrow B$$

and for any point  $b \in B$ , there is an open neighbourhood  $U$  of  $b$  such that  $\alpha^{-1}(U)$  is the disjoint union of open subsets of  $A$ , each of which is mapped homeomorphically onto  $U$  by  $\alpha$ . The map  $\alpha$  is then called a *covering projection*. On such a  $U$ ,  $\alpha^{-1}(U)$  is  $\sqcup U_i$  over some index set which can be taken to be  $\alpha^{-1}(b) = F_b$ , the fibre over  $b$ . Then we may identify  $\alpha^{-1}(U)$  with  $U \times F_b$  for any  $b \in U$ . This  $F_b$  is ‘the same’ up to isomorphism for all  $b \in U$ . If  $B$  is connected then for any  $b, b' \in B$ , we can link them by a chain of pairwise intersecting open sets of the above form and hence show that



$F_b \cong F_{b'}$ . We can thus take each  $\alpha^{-1}(U) \cong U \times F$  and  $F$  will be a discrete space provided  $B$  is nice enough. The descent data in this situation will be the local covering projections

$$\alpha_U : U \times F \rightarrow U$$

together with the homeomorphisms

$$\xi_{U,U'} : (U \cap U') \times F \rightarrow (U \cap U') \times F$$

over  $(U \cap U')$ . Provided that  $(U \cap U')$  is connected, this  $\xi_{U,U'}$  will be determined by a permutation of  $F$ .

We often, however, want to allow for non-connected  $(U \cap U')$ . For instance take  $B$  to be the unit circle  $S^1$ ,  $F = \{-1, 1\}$ ,

$$U_1 = \{\underline{x} \in S^1 \mid \underline{x} = (x, y), x > -0.1\}$$

$$U_2 = \{\underline{x} \in S^1 \mid \underline{x} = (x, y), x < 0.1\}.$$

The intersection,  $U_1 \cap U_2$ , is not connected, so we specify  $\xi_{U_1,U_2}$  separately on the two connected components of  $U_1 \cap U_2$ . We have

$$U_1 \cap U_2 = \{(x, y) \in S^1 \mid |x| < 0.1, y > 0\} \cup \{(x, y) \mid |x| < 0.1, y < 0\}.$$

$$\text{Let } \xi_{U_1,U_2}((x, y), t) = \begin{cases} ((x, y), t) & \text{if } y > 0 \\ ((x, y), -t) & \text{if } y < 0, \end{cases}$$

so on the part with negative  $y$ ,  $\xi$  exchanges the two leaves. The resulting glued space is either viewed as the edge of the Möbius band or as the map,

$$S^1 \rightarrow S^1$$

$$e^{i\theta} \mapsto e^{i2\theta}.$$

**Remark:** The well known link between covering spaces and actions of the fundamental group  $\pi_1(B)$  on Sets is at the heart of this example.

### Case Study 3: Fibre bundles

The examples here are to introduce / recall how torsors / principal fibre bundles are defined topologically and also to give some explicit instances of how fibre bundles arise in geometry.

(Often in this context, the terminology ‘total space’ is used for the source of the bundle projection.)

First some naturally occurring examples.

(i) Let  $S^n$  denote the usual  $n$ -sphere represented as a subspace of  $\mathbb{R}^{n+1}$ ,

$$S^n = \{\underline{x} \in \mathbb{R}^{n+1} \mid \|\underline{x}\| = 1\},$$

where  $\|\underline{x}\| = \sqrt{\langle \underline{x} \mid \underline{x} \rangle}$  for  $\langle \underline{x} \mid \underline{y} \rangle$ , the usual Euclidean inner product on  $\mathbb{R}^{n+1}$ . The *tangent bundle* of  $S^n$ ,  $\tau S^n$  is the ‘bundle’ with total space,

$$TS^n = \{(\underline{b}, \underline{x}) \mid \langle \underline{b} \mid \underline{x} \rangle = 0\} \subset S^n \times \mathbb{R}^{n+1}.$$

We thus have a projection

$$p : TS^n \rightarrow S^n$$

given by  $p(\underline{b}, \underline{x}) = \underline{b}$ , as a space over  $S^n$ .

Similarly the *normal bundle*,  $\nu S^n$  of  $S^n$  is given with total space,

$$NS^n = \{(\underline{b}, \underline{x}) \mid \underline{x} = k\underline{b} \text{ for some } k \in \mathbb{R}\} \subset S^n \times \mathbb{R}^{n+1}.$$

The projection map  $q : NS^n \rightarrow S^n$  gives, as before, a space over  $S^n$ ,  $\nu S^n = (NS^n, q, S^n)$ .

Another example extends this to a geometric context of great richness.

(ii) The *Stiefel variety* of  $k$ -frames in  $\mathbb{R}^n$ , denoted  $V_k(\mathbb{R}^n)$ , is the subspace of  $(S^{n-1})^k$  such that  $(v_1, \dots, v_k) \in V_k(\mathbb{R}^n)$  if and only if each  $\langle v_i \mid v_j \rangle = \delta_{i,j}$ , so that it is 1 if  $i = j$  and is zero otherwise. Note  $V_1(\mathbb{R}^n) = S^{n-1}$ .

The *Grassman variety* of  $k$ -dimensional subspaces of  $\mathbb{R}^n$ , denoted  $G_k(\mathbb{R}^n)$ , is the set of  $k$ -dimensional subspaces of  $\mathbb{R}^n$ . There is an obvious function,

$$\alpha : V_k(\mathbb{R}^n) \rightarrow G_k(\mathbb{R}^n),$$

mapping  $(v_1, \dots, v_k)$  to  $\text{span}_{\mathbb{R}}\langle v_1, \dots, v_k \rangle \subseteq \mathbb{R}^n$ , that is, the subspace with  $(v_1, \dots, v_k)$  as basis. We give  $G_k(\mathbb{R}^n)$  the quotient topology defined by  $\alpha$ . (For  $k = 1$ , we have  $G_1(\mathbb{R}^n)$  is the real projective space of dimension  $n - 1$ .)

This setting also produces other examples of ‘bundles’. Consider the subspace of  $G_k(\mathbb{R}^n) \times \mathbb{R}^n$  given by those  $(V, x)$  with  $x \in V$ . Using the projection  $p(V, x) = V$  gives the bundle

$$\gamma_k^n = (\gamma_k^n, p, G_k(\mathbb{R}^n)).$$

Similarly the orthogonal complement bundle  ${}^*\gamma_k^n$  has total space consisting of those  $(V, x)$  with  $\langle V \mid x \rangle = 0$ , i.e.  $x$  is orthogonal to  $V$ . All of these ‘bundles’ have vector space structures on their fibres. They are all locally trivial (so in each case  $\alpha^{-1}(U) = U \times F$  for suitable open subsets  $U$  of the base), and the resulting  $\xi_{U,U'}$  have form

$$\xi_{U,U'}(x, t) = (x, \xi'_{U,U'}(x))(t)$$

where  $\xi'_{U,U'} : U \cap U' \rightarrow GL_M(\mathbb{R})$  for suitable  $M$ . (As usual,  $GL_M(\mathbb{R})$  is the (topological) group of non-singular  $M \times M$  matrices over  $\mathbb{R}$ .) Such *vector bundles* are prime examples of the situation in which the fibres have extra structure.

Even more structure can be encoded, for instance, by giving each fibre an inner product structure with the requirement that the  $\xi'_{U,U'}$  take values in  $O_M(\mathbb{R})$ , the orthogonal group, hence that they preserve that extra structure. Abstracting from this we have a group  $G$  which acts by automorphisms on the space,  $F$ , and have our descent data isomorphisms  $\xi_{U,U'}$  of the form  $\xi_{U,U'}(x, t) = (x, \xi'_{U,U'}(x))(t)$  for some continuous  $\xi'_{U,U'} : U \cap U' \rightarrow G$ .

As usual, if  $G$  is a (topological) group, by a  $G$ -space we mean a space  $X$  with an action (left action):

$$G \times X \rightarrow X,$$

$$(g, x) \rightarrow g.x.$$

The action is *effective* if  $g.x = x$  implies  $g = 1$ . Let  $X^*$  be the subspace

$$X^* = \{(x, g.x) : x \in X, g \in G\} \subseteq X \times X,$$

(cf. our earlier discussion of action groupoids on page 11).

There is a function (called the *translation function*)

$$\tau : X^* \rightarrow G$$

such that  $\tau(x, x')x = x'$  for all  $(x, x') \in X^*$ . We note

- (i)  $\tau(x, x) = 1$ ,
- (ii)  $\tau(x', x'')\tau(x, x') = \tau(x, x'')$ ,
- (iii)  $\tau(x', x) = \tau(x, x')^{-1}$

for all  $x, x', x'' \in X$ .

A  $G$ -space  $X$  is called *principal* provided  $X$  is an effective  $G$ -space with *continuous* translation function  $\tau : X^* \rightarrow G$ .

**Proposition 29** *Suppose  $X$  is a principal  $G$ -space, then the mapping*

$$\begin{aligned} G \times X &\rightarrow X \times X \\ (g, x) &\rightarrow (x, g.x) \end{aligned}$$

*is a homeomorphism.*

**Proof:** The mapping is continuous by its construction. Its inverse is  $(\tau, pr_1)$ , which is also continuous. ■

Given any  $G$ -space,  $X$ , we can form a quotient  $X/G$  with a continuous map  $\alpha : X \rightarrow X/G$ . A bundle  $(X, \alpha, B)$  is called a  $G$ -*bundle* if  $X$  has a  $G$ -action, so that  $B$  is homeomorphic to  $X/G$  compatibly with the projections from  $X$ . The bundle is a *principal  $G$ -bundle* if  $X$  is a principal  $G$ -space. We note that if  $\xi = (X, p, B)$  is a principal  $G$ -bundle then the fibre  $p^{-1}(b)$  is homeomorphic to  $G$  for any point  $b \in B$ .

Later we will see other more categorical views of principal  $G$ -bundles. They will reappear as ‘ $G$ -torsors’ in various settings. For the moment we need them to provide the link to the general notion of fibre bundle.

For  $F$ , a (right)  $G$ -space with action  $G \times F \rightarrow F$ , we can form a quotient,  $X_F$ , of  $F \times X$  by identifying  $(f, gx)$  with  $(fg, x)$ . The composite

$$F \times X \xrightarrow{pr_2} X \rightarrow X/G$$

factors via  $X_F$  to give  $\beta : X_F \rightarrow X/G$ , where  $\beta(f, x)$  is the orbit of  $x$ , i.e. the image of  $x$  in  $X/G$ . The earlier examples of ‘bundles’ were all examples of this construction. The resulting  $(X_F, \beta, B)$  is called a *fibre bundle* over  $B (= X/G)$ .

**Note:** The theory of such fibre bundles was developed by Cartan and later by Ehresmann and others from the 1930s onwards. They arose out of questions on the topology and geometry of manifolds. In 1950, Steenrod’s book, [102], gave what was to become the first reasonably full treatment of the theory. Atiyah, Hirzebruch and then, in book form, Husemoller, [65] in 1966 linked this theory up with K-theory, which had come from algebraic geometry. The books contain much

of the basic theory including the local coordinate description of fibre bundles which is most relevant for the understanding of the descent theory aspects of this area (cf. Chapter 5 of Husemoller, [65]). The restriction of looking at the local properties relative to an open cover makes this treatment too restrictive for our purposes. It *is* sufficient, it seems, for many of the applications in algebraic topology, differential geometry and topology and related areas of mathematical physics, however as Grothendieck points out (SGA1, [60], p.146), in algebraic geometry *localisation of properties*, although still linked to certain types of “base change” (as here with base change along the map

$$\sqcup \mathcal{U} \rightarrow B$$

for  $\mathcal{U}$  an open cover of  $B$ ), needs to consider other families of base change. These are linked with some problems of commutative algebra that are interesting in their own right and reveal other aspects of the descent problem, see [16]. For these geometric applications, we need to replace a purely topological viewpoint by one in which *sheaves* take a front seat role.

## 6.2 Descent: Sheaves

(As for the previous section, this should be ‘skimmed’ only, if you have met sheaves before. A good accessible account and brief introduction to this is Ieke Moerdijk’s Lisbon notes, [85]. These also are useful for alternative developments of later material and are thoroughly to be recommended.)

Sheaves provide a useful alternative to bundles when handling ‘local-to-global’ constructions. The intuition is, in many ways, the same as that of bundles. We have a space  $B$  and for each  $b \in B$ , a ‘fibre’ over  $b$ , i.e. a set  $F_b$ , and we want to have  $F_b$  varying in some continuous way as we vary  $b$  continuously. In other words, naively a sheaf is a continuously varying family of ‘sets’.

That is much too informal to use as a definition as it has employed several terms that have not been defined. Before seeing how that intuition might be encoded more exactly, we will return to the ‘spaces over  $B$ ’. Let  $\alpha : A \rightarrow B$  be a space over  $B$  as before, and, once again, let  $U \subset B$  be an open set. This time we will not consider  $\alpha^{-1}(U)$ , but will look at *local sections of  $\alpha$  over  $U$* . A (*local*) *section* of  $\alpha$ , over  $U$  is a continuous map  $s : U \rightarrow A$  such that, for all  $x \in U$ ,  $\alpha s(x) = x$ , that is,  $s(x)$  is always in the fibre over  $x$ . We write  $\Gamma_A(U)$  for the set of such local sections.

If  $V \subset U$  is another open set of  $B$  and  $s : U \rightarrow A$  is a local section of  $\alpha$  over  $U$ , then the restriction,  $s|_V$ , of  $s$  to  $V$  is a local section of  $\alpha$  over  $V$ . We thus get from  $V \subset U$ , an induced ‘restriction’ map

$$\text{res}_V^U : \Gamma_A(U) \rightarrow \Gamma_A(V).$$

Of course, if  $W \subset V$  is another such

$$\text{res}_V^U \circ \text{res}_W^V = \text{res}_W^U.$$

There is a little teasing problem here. Suppose  $V$  is empty. Of course, the empty set is a subset of all the other open sets, so what should  $\Gamma_A(\emptyset)$  be? The empty space is the initial object in the category of spaces so there is a unique map from it to  $A$  and, of course, this is a local section! (You can either check the condition at all points of the domain or argue that composition of this empty local section with the projection  $p$  yields the unique map from  $\emptyset$  into  $B$ , as required.)

Back to the generalities, there is, again of course, a neat, and well known, categorical description of this setting.

Let  $Open(B)$  denote the partially ordered set of open sets of  $B$  with the usual order coming from inclusion, and consider it as a category in the usual way. The above construction just gave a functor

$$\Gamma_A : Open(B)^{op} \rightarrow Sets,$$

a *presheaf* on  $B$ . Any functor  $F : Open(B)^{op} \rightarrow Sets$  is called a *presheaf*, but not all presheaves come from ‘spaces over  $B$ ’ by the local sections construction, as it is fairly clear that  $\Gamma_A$  has some special properties.

We saw that such a presheaf must send  $\emptyset$  to the singleton set, but we also have the gluing property:

Suppose  $s_1 \in \Gamma_A(U_1)$  and  $s_2 \in \Gamma_A(U_2)$  are two local sections and

$$\text{res}_{U_1 \cap U_2}^{U_1}(s_1) = \text{res}_{U_1 \cap U_2}^{U_2}(s_2),$$

so these local sections agree on the intersection of their domains, then define

$$s : U_1 \cup U_2 \rightarrow A$$

by

$$s(x) = \begin{cases} s_1(x) & \text{if } x \in U_1 \\ s_2(x) & \text{if } x \in U_2. \end{cases}$$

It is easy to prove that  $s$  is continuous and so gives a local section over  $U_1 \cup U_2$ . We need not stop with just two local sections. If we have any family of local sections, over a family of open sets, that coincide on pairwise intersections, then they can be glued together, just as above, to give a unique local section on the union of those open sets, restricting to the given ones with which we started on their original domains. This gluing property is the defining property of the sheaves amongst the presheaves on  $B$ :

A presheaf  $F : Open(B)^{op} \rightarrow Sets$  is a *sheaf* if given a family  $\mathcal{U}$  of open sets of  $B$ , say  $\mathcal{U} = \{U_i\}_{i \in I}$ , and elements  $s_i \in F(U_i)$  for  $i \in I$ , such that for  $i, j \in I$   $\text{res}_{U_i \cap U_j}^{U_i}(s_i) = \text{res}_{U_i \cap U_j}^{U_j}(s_j)$ , there is a *unique*  $s \in F(U)$ , for  $U = \bigcup U_j$ , such that  $\text{res}_{U_i}^U(s) = s_i$  for all  $i$ .

**Query:** Does this gluing property imply the normalisation condition that  $F(\emptyset)$  is a singleton? For you to investigate!

For later purposes and comparisons, we will note that a *compatible family*  $s_i$  of local elements, as above, gives an element  $\underline{s}$  in the product set  $\prod\{F(U_i) : i \in I\}$ . Not just any elements however. We also have a product of the parts over the intersections. We write  $U_{ij} = U_i \cap U_j$  and get a product  $\prod\{F(U_{i,j}) : i, j \in I\}$ . There are two functions, which we will call  $a$  and  $b$  for convenience only, defined from  $\prod\{F(U_i) : i \in I\}$  to  $\prod\{F(U_{i,j}) : i, j \in I\}$ . To specify these we say how they project onto the factors  $F(U_{ij})$ . (Technically, we have maps  $\prod F(U_{ij}) \xrightarrow{p_{ij}} F(U_{ij})$ , being the  $\{ij\}^{th}$  projection of the product.) The specifications are

$$p_{ij}a(\underline{s}) = \text{res}_{U_{ij}}^{U_i}(s_i),$$

whilst

$$p_{ij}b(\underline{s}) = \text{res}_{U_{ij}}^{U_j}(s_j).$$

We can now give the compatibility condition as  $\underline{s}$  is a compatible family of local elements exactly is  $a(\underline{s}) = b(\underline{s})$ :

$$Eq(a, b) \longrightarrow \prod F(U_j) \begin{array}{c} \xrightarrow{a} \\ \xrightarrow{b} \end{array} \prod F(U_{ij}),$$

i.e.  $\underline{s}$  is in the *equaliser* of  $a$  and  $b$ . This equaliser is sometimes called the *set of descent data for the presheaf relative to the cover*.

From this perspective, we note that the restriction maps give a map

$$c : F(U) \rightarrow \prod F(U_i),$$

with  $p_i c(s) = res_{U_i}^U(s)$  and we know  $ac = bc$ . We thus get a function from  $F(U)$  to  $Eq(a, b)$  assigning  $c(s)$  to  $s$ . We have  $F$  is a sheaf exactly when this is a bijection; it is a *separated presheaf* when this map is one-one, see below.

This scenario is quite useful for sheaves, but it really comes into its own when we look at higher dimensional analogues such as stacks.

We will note quite a lot of facts about sheaves and presheaves, but will not give a detailed development, since here is not a suitable place to give a lengthy treatment of sheaf theory.

(i) *The category  $Sh(B)$  of sheaves on a space  $B$  is a reflective subcategory of the category  $Presh(B) = [Open(B)^{op}, Sets]$ , of presheaves on  $B$ .*

We first note a half-way house between general presheaves and sheaves.

The presheaf  $F$  is *separated* if there is at most one  $s \in F(U)$  such that  $res_{U_i}^U(s) = s_i$  for all  $i$ . ('Sheafness' would also require this but in addition asks for the existence of such an  $s$  not just uniqueness if it exists.) In fact:

The functors

$$Sh(B) \rightarrow Sep.Presh(B) \rightarrow Presh(B)$$

have left adjoints.

If  $F$  is a presheaf, we will write  $s(F)$  for the corresponding separated presheaf and  $a(F)$  for the associated sheaf. We can give explicit constructions of  $s(F)$  and  $a(F)$ .

- Define an equivalence relation  $\sim_U$  on  $F(U)$ , where, if  $a, b \in F(U)$ , then  $a \sim b$  if and only if  $res_{U_i}^U(a) = res_{U_i}^U(b)$  for all  $i$ , then  $s(F)$  given by  $s(F)(U) = F(U) / \sim_U$  is a separated presheaf. (For you to check the presheaf structure.)
- Suppose  $F$  is separated (if not replace it by  $s(F)$  and rename!) Form  $F_{\mathcal{U}}$ , the set of compatible families (relative to  $\mathcal{U}$ ) of elements in the  $F(U_i)$ . If  $\mathcal{V} < \mathcal{U}$  is a finer cover of  $U$ , (so for each  $V \in \mathcal{V}$ , there is a  $U \in \mathcal{U}$  with  $V \subseteq U$ ), then there is a function  $res_{\mathcal{V}}^{\mathcal{U}} : F_{\mathcal{U}} \rightarrow F_{\mathcal{V}}$  where  $res_{\mathcal{V}}^{\mathcal{U}}(\underline{s})_j = res_{V_j}^{U_i}(s_i)$  if  $V_j \subseteq U_i$ . (Check it is well defined.)

Varying  $\mathcal{U}$ , we get a diagram of sets and form

$$a(F)(U) = colim_{\mathcal{U}} F_{\mathcal{U}}.$$

Explicitly we generate an equivalence relation on the union of the  $F_{\mathcal{U}}$ s by

$$\underline{s}_{\mathcal{U}} \sim \underline{s}_{\mathcal{V}}$$

if  $\mathcal{V} < \mathcal{U}$  and  $res_{\mathcal{V}}^{\mathcal{U}}(\underline{s}_{\mathcal{U}}) = \underline{s}_{\mathcal{V}}$ , and then form the quotient.

(The details are well known and, if you have not met them before should be checked or looked up, e.g. in a related context, [16], p.268. The sort of constructions used will be useful throughout this chapter. It is a good idea to try to rewrite this in terms of the equaliser description given earlier, to see what is happening there.)

(ii) *The category  $Sh(B)$  is equivalent to the category of étale spaces over  $B$ .*

A continuous map  $f : X \rightarrow Y$  between topological spaces is *étale* if, for every  $x \in X$ , there is an open neighbourhood  $U$  of  $x$  in  $X$  and an open neighbourhood,  $V$ , of  $f(x)$  in  $Y$  such that  $f$  restricts to a homeomorphism  $f : U \rightarrow V$ .

Given a presheaf,  $F$  on  $B$  and  $b \in B$ , let

$$F_b = \text{colim}_{b \in U} F(U).$$

and  $\text{germ}_b : F(U) \rightarrow F_b$ , the natural map. The set,  $F_b$  is the ‘stalk’ of  $F$  at  $b$ . It is made up of equivalence classes of ‘germs’ of *locally defined elements*, i.e.  $(U, b, x)$ , where  $b$  is the point at which we are looking,  $U$  is an open set with  $b \in U$  and  $x \in F(U)$ . If  $(U, b, x_U)$  and  $(V, b, x_V)$  are two such germs, they are equivalent if there is a  $W \subset U \cap V$ , again open in  $B$ , such that

$$\text{res}_W^U(x_U) = \text{res}_W^V(x_V),$$

i.e.  $x_U$  and  $x_V$  agree ‘near to  $b$ ’. Now let  $E(F) = \bigsqcup_{b \in B} F_b$  be the disjoint union with  $\pi : E(F) \rightarrow B$ , the obvious projection.

The topology on  $E(F)$  is given by basic open sets: if  $x \in F(U)$ ,  $B(x) = \{\text{germ}_b(x) \mid b \in U\}$  is to be open. (The idea is that we make  $x$  into a continuous local section of  $E(F)$  over  $U$  by this means.) This makes  $(E(F), \pi)$  an étale space over  $B$ .

We could construct  $a(F)$  in (i) as  $\Gamma_{E(F)}$ , i.e. the sheaf of local sections of  $E(F)$ .

(iii) *A covering space is an étale space which is locally trivial and it then corresponds to a locally constant sheaf on  $B$ .*

For any set  $S$ , there is a constant sheaf, defined by the presheaf  $F(U) = S$  for all  $U \in \text{Open}(B)$ . The corresponding étale space is  $B \times S$  with its projection onto  $B$  and where  $S$  is given the discrete topology. A sheaf is *locally constant* if for each  $b \in B$ , there is an open set  $U_b$  containing  $b$  such that the restriction of  $F$  to  $U_b$  is a constant sheaf (or more strictly speaking, is isomorphic to a constant sheaf).

We can rephrase this in a neat way that introduces viewpoints that will be useful later on. The open sets  $U_b$  give us an open cover of  $B$ , so we could pick a subcover with the same trivialising property. We thus assume that we have a cover  $\mathcal{U}$  and form a space  $\bigsqcup \mathcal{U}$  by taking the disjoint union of the open sets in  $\mathcal{U}$ . (A convenient way of working with  $\bigsqcup \mathcal{U}$  is to denote its elements by pairs  $(b, U)$  with  $b \in U$  and  $U \in \mathcal{U}$ . We then have a copy of each  $b$  for each open set from the cover of which it is an element.) There is an obvious projection map

$$p : \bigsqcup \mathcal{U} \rightarrow B,$$

which is  $p(b, U) = b$ , and this is, fairly obviously, an étale map. We pull back  $F$  along  $p$  to get a sheaf on  $\bigsqcup \mathcal{U}$  and, of course, this pulled back sheaf is constant.

This trick of turning a (topological) open cover into a map is very important. It forms the basis of the theory of Grothendieck topologies. In that theory, one replaces  $\text{Open}(B)$  by a category  $\mathcal{C}$ , so a presheaf on  $\mathcal{C}$  is just a functor  $F : \mathcal{C}^{op} \rightarrow \text{Sets}$ . The sheaf condition is adapted to this setting by specifying what (families of) morphisms in  $\mathcal{C}$  are to be considered ‘coverings’ with an

axiomatisation of their desired properties. For instance, for an open covering,  $\mathcal{U}$  of  $B$ , if we pick for each  $U \in \mathcal{U}$  an open covering of it and then combine these open coverings together we get an open covering of  $B$ . That is mirrored by a condition on the covering families in the Grothendieck topology.

We will not treat Grothendieck topologies in great detail here as, once again, that might take us too far away from the ‘crossed menagerie’ and related issues of cohomology. It will be necessary, however, to have a definition of a Grothendieck topos, i.e., the category of sheaves for such a Grothendieck topology and will attempt to show how it relates to some of the topics we are considering. For greater detail from a very approachable viewpoint, the approach from Borceux and Janelidze’s book, [16], is suggested, but we warn the reader that they also avoid very lengthy discussions of the topic, as their aim is not topos theory *per se*, but generalised Galois theory.

**Definition:** A Grothendieck topos is a category  $\mathcal{E}$ , which is equivalent to a full reflective subcategory

$$\mathcal{E} \xrightarrow{a} [\mathcal{C}^{op}, Sets]$$

of a presheaf category  $Presh(\mathcal{C}) = [\mathcal{C}^{op}, Sets]$ , where the left adjoint,  $a$ , preserves finite limits.

The reflective nature of this category means that when considering morphisms *from* a (pre)sheaf to a sheaf, it is enough to give them at the presheaf level, since they will automatically be sheafified.

**Example:** For any  $\mathcal{C}$ , the presheaf category  $Presh(\mathcal{C})$  is itself a full reflective subcategory of itself! It thus is a Grothendieck topos.

In particular  $\mathcal{S}$  is a Grothendieck topos (by taking  $\mathcal{C} = \mathbf{\Delta}$ ). Later we will consider sheaves and bundles of groups, i.e., group objects in the topos of sheaves on a (base) space  $B$ . Equally well, we could look at group objects in presheaf topoi such as  $[\mathcal{C}^{op}, Sets]$ , and these are the group valued presheaves, and thus, in particular, *Simp.Grps* is just the category of presheaves of groups on  $\mathbf{\Delta}$ .

We can take this ‘analogy’ further. If we have an étale space  $\alpha : A \rightarrow B$  over  $B$ , then a local section is a map  $s : U \rightarrow A$  for  $U \in Open(B)$ , such that  $\alpha s(x) = x$  for all  $x \in U$ . A presheaf  $F : Open(B)^{op} \rightarrow Sets$  is thought of as having  $F(U)$  as being the local sections over  $U$  of ‘something’ over  $B$ . That does not quite give an idea wholly expressed within the category of (pre)sheaves itself, but from  $U$  we can get a presheaf, much as above, namely the representable presheaf

$$\hat{U} = Open(B)(-, U).$$

This presheaf takes value a singleton on  $V$  if  $V \subseteq U$  and is empty otherwise. The inclusion of  $U$  into  $B$  is the étale map that corresponds to this, so our local section  $s : U \rightarrow A$  is the analogue (in fact, corresponds exactly to) a map of presheaves

$$s : \hat{U} \rightarrow \Gamma_A$$

and if  $F : Open(B)^{op} \rightarrow Sets$  is arbitrary,  $F(U) = Presh(B)(\hat{U}, F)$  by the Yoneda lemma, with each presheaf morphism  $\varphi$  from  $\hat{U}$  to  $F$  yielding an element  $\varphi_U(id_U) \in F(U)$ . (Remember presheaf morphisms are merely natural transformations between the corresponding functors.)

Returning to the general case of  $[\mathcal{C}^{op}, Sets]$ , the Yoneda lemma shows the importance of the representable presheaves. In our key example with  $\mathcal{C} = \mathbf{\Delta}$ , these representable presheaves are just the simplices  $\Delta[n] = \mathbf{\Delta}(-, [n])$ . Our observations above point out that if  $K$  is a simplicial set,  $K_n = K[n] \cong \mathcal{S}(\Delta[n], K)$  and this is the analogue of  $F(U)$ , i.e. the analogue of the set of local



sections of  $F$ . Of course, there is no notion of topological continuity in the classical sense here, and as in the ‘presheaf topos’  $\mathcal{S}$ , all presheaves are sheaves, we have that in some sense ‘all sections are as if they were continuous’. (The topological language is being pushed to breaking point here, so the corresponding intuitions would need refining if we were to follow them up properly. One can do this with the language of Grothendieck topologies, but we will not explore that here. To some extent this is done in [16] with a different end point in mind. Here our purpose is to explain loosely why  $\mathcal{S}$  is a topos, and why that may be useful and, reciprocally, what do the simplicial ideas seen from that presheaf/sheaf viewpoint suggest about general toposes.)

One further fact worth noting is that if  $\mathcal{E}$  is a topos and  $B$  is an object in  $\mathcal{E}$ , then the ‘slice category’,  $\mathcal{E}/B$ , is also a topos. It thus is Cartesian closed, i.e. not only does it have finite limits, but the functor  $- \times A : \mathcal{E} \rightarrow \mathcal{E}$ , which sends an object  $X$  to  $X \times A$  for some fixed object  $A$ , has a right adjoint  $(-)^A$  thought of as being the object of maps from  $A$  to whatever. General results can be found in the various books on topos theory which give very general constructions of these mapping space objects in settings such as the slice toposes.

(iv) *It is sometimes necessary to mention ‘hypercoverings’, instead of ‘coverings’ when looking at generalisations of sheaves.*

In any topos  $\mathcal{E}$ , there is a precise sense in which  $\mathcal{E}$  behaves like a generalisation of the category of sets, but with a logic that replaces the two truth values  $\{0, 1\}$  of ordinary Boolean logic by a more general object of truth values. In the topos  $Sh(B)$  of sheaves on a space  $B$ , this truth value object is the lattice of open sets,  $Open(B)$ . This may seem a bit weird, but in fact works beautifully. (The logic is non-Boolean in general, so occasionally you need to take care with classical arguments.) This allows one to do things like simplicial homotopy theory *within*  $\mathcal{E}$ . This replaces the category,  $\mathcal{S}$ , of simplicial sets by  $Simp(\mathcal{E})$  and if  $\mathcal{E} = Sh(B)$ , then the objects are just simplicial sheaves on  $B$ , i.e. sheaves of simplicial sets on  $B$ .

Any open cover  $\mathcal{U}$  of a space  $B$  yields  $\bigsqcup \mathcal{U}$ , as before, and one can take repeated pullbacks to construct a simplicial sheaf on  $B$  from that cover. It is fun to view this in another way as it illustrates some of the ideas working within the topos  $\mathcal{E}$  and, in particular, within  $Sh(B)$ .

Firstly, in *Sets*, there is a terminal object,  $1$ , ‘the one point set’. In a topos  $\mathcal{E}$ , there is a terminal object,  $1_{\mathcal{E}}$  and, for  $\mathcal{E} = Sh(B)$ , this is the constant sheaf with value the one point set. Viewed as an étale space, it is just the identity map,  $B \xrightarrow{id} B$ . (This multitude of viewpoints may initially seem to lead to confusion, but it does give a beautifully rich context in which to work, with different intuitions and analogies interacting.)

Within  $\mathcal{E}$ , we have a product, so if  $A_1, A_2 \in \mathcal{E}$ , we can form  $A_1 \times A_2$ . What does this look like for  $\mathcal{E} = Sh(B)$ ? The  $A_i$  gives étale spaces  $\alpha_i : A_i \rightarrow B$ ,  $i = 1, 2$  and  $A_1 \times A_2$  corresponds to the pullback

$$A_1 \times_B A_2 \rightarrow B.$$

In particular, if  $\mathcal{U}$  is an open covering of  $B$ , write  $U \rightarrow 1$  for  $U$  viewed as a sheaf / étale space,  $\bigsqcup \mathcal{U} \rightarrow B$ , within  $Sh(B)$ , then the product

$$U \times U \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\quad} \\ \xrightarrow{\quad} \end{array} U$$

makes  $U$  into a groupoid / equivalence relation within  $\mathcal{E} = Sh(B)$ . The simplicial object defined by multiple pullbacks is just the nerve of this groupoid, which will be denoted  $N(U)$ , or more often

$N(\mathcal{U})$ . In low dimensions, this looks like

$$N(\mathcal{U}) : \quad \dots \begin{array}{c} \longrightarrow \\ \vdots \\ \longrightarrow \end{array} U \times \dots \times U \begin{array}{c} \longrightarrow \\ \vdots \\ \longrightarrow \end{array} \dots \begin{array}{c} \xrightarrow{d_0} \\ \xrightarrow{d_2} \end{array} U \times U \begin{array}{c} \xrightarrow{d_0} \\ \xrightarrow{d_1} \end{array} U \xrightarrow{p} 1.$$

(In terms of étale spaces over  $B$ , you just replace  $\times$  by  $\times_B$  and 1 by  $B$ .) In cases where  $B$  is not a ‘locally nice space’, the simplicial sheaf given by  $\mathcal{U}$  is too far away from being an internal Kan complex and so we have to replace the nerve of a cover by a ‘hypercovering’, which is a ‘Kan’ simplicial sheaf  $K$  with an ‘augmentation map’  $K \rightarrow 1$ , which is ‘weak homotopy equivalence’. (Look up papers on hypercoverings for a much more accurate treatment of them than we have given here.) Of course, this is very like the situation in group cohomology, where one starts with a ‘resolution’ of  $G$ . This is a resolution of  $B$  or better of 1 by a simplicial object.

It will be useful later on to give a ‘down-to-earth’ description of the various levels of  $N(\mathcal{U})$ . The zeroth level  $N(\mathcal{U})_0$  is just the sheaf  $\mathcal{U} = \sqcup\{U : U \in \mathcal{U}\}$ , or rather the local sections of this over  $B$ . A point in this étale space can be represented by a pair  $(b, U)$  where  $b \in U$ , i.e. the point  $b$  of  $B$  indexed by  $U$ . The projection to  $B$ , of course, sends  $(b, U)$  to  $b$ . This notation is one way of labelling points in a disjoint union, namely the point and an index labelling which of the sets of the collection is it being consider to be in for that part of the disjoint union. Now a point of the pullback over  $B$  will be a pair of such points with the same  $b$ , so is easily represented as  $(b, U_0, U_1)$  where  $(b, U_0)$  and  $(b, U_1)$  are both points in the above sense. This however implies that  $b \in U_0 \cap U_1$  and here and in higher levels this idea works: a point in the multiple pullback occurring at level  $n$  is of the form  $(b, U_0, \dots, U_n)$  where  $b \in \bigcap_{i=0}^n U_i$ .

(v) *Changing the base induces a pair of adjoint functors.*

It is often necessary to examine what happens when we ‘change the base space’ for our sheaves. suppose  $X$  is a space and  $Sh(X)$  the corresponding category of sheaves on  $X$ . We might have a subspace  $A$  of  $X$  and ask for the relationship between  $Sh(X)$  and  $Sh(A)$ , for instance. Is there an induced functor? If so when does it have nice properties? and so on. More generally, if  $f : X \rightarrow Y$  is a continuous map, then we expect to have some ‘induced functors’ between  $Sh(X)$  and  $Sh(Y)$ .

First take a look at presheaves, and so we need the behaviour of  $f$  on open sets. The partially ordered sets  $Open(X)$  and  $Open(Y)$  can be thought of as categories as we already have done, and since continuity of  $f$  is just : if  $V$  is open in  $Y$  then  $f^{-1}(V)$  is open in  $X$ ,  $f$  corresponds to a functor

$$f^{-1} : Open(Y) \rightarrow Open(X).$$

(You should check functoriality. It is routine.)

As a presheaf  $F$  on  $X$  is just a functor  $F : Open(X)^{op} \rightarrow Sets$ , we can precompose with  $(f^{-1})^{op}$  to get a presheaf on  $Y$ , i.e. we have a presheaf,  $f_*(F)$ . This is this given by  $f_*(F)(V) = F(f^{-1}(V))$ . If  $\mathcal{V} = \{V_i\}$  is an open cover of  $V$ , then  $f^{-1}(\mathcal{V}) = \{f^{-1}(V_i)\}$  is an open cover of  $f^{-1}(V)$ , so it is easy to check that, if  $F$  is a sheaf on  $X$ ,  $f_*(F)$  is a sheaf on  $Y$ . (An interesting exercise is to consider the inclusion,  $f$  of a subspace,  $A$  into  $Y$  and a sheaf  $F$  on  $A$ . What is the value of  $f_*(F)(V)$  if  $A \cap V = \emptyset$ ?) The sheaf  $f_*(F)$  is often called the *direct image* of  $F$  under  $f$ , but this is not always a good name as it is not really an ‘image’.

The construction gives a functor

$$f_* : Sh(X) \rightarrow Sh(Y),$$

and, clearly, if  $g : Y \rightarrow Z$  as well, then  $(gf)_* = g_*f_*$ , whilst  $(Id_X)_* = Id_{Sh(X)}$ . (Not e we are saying that  $f_*$  is a functor, but also that assigning  $f_* = Sh(f)$  would give us a ‘sheaf category’ functor. That is more or less true, but as things are, in fact, richer than just this, we will first look deeper at the situation.) The richness of the situation is that  $f$  induces a functor going in the other direction, that is from  $Sh(Y)$  to  $Sh(X)$ . This is easier to see if we change our view of sheaves back from special presheaves to étale spaces over the base.

Suppose we have a space over  $Y$ ,  $p : A \rightarrow Y$ , then we can form the pullback  $X \times_Y A$ . This is, in fact’ only specified ‘up to isomorphism’ as it is defined by a universal property. (You should check up on this point if you are unsure, although we will discuss it in some more detail as we go along.) There is a ‘usual construction’ of it namely as a subspace of the product  $X \times A$ :

$$X \times_Y A = \{(x, a) \mid f(x) = p(a)\},$$

but this is not ‘the’ pullback, just a choice of representing object within the class of isomorphic objects satisfying the specifying universal pullback property - and we also need the structural maps  $p_X : X \times_Y A \rightarrow X$  and  $X \times_Y A \rightarrow A$  in order to complete the picture. Of course, for instance,  $p_X(x, a) = x$ . There is no canonical choice possible and the resulting coherence situation is the source of much of the higher dimensional structure that we will be meeting later.

We will find it useful to use the universal property more or less explicitly, so it may be good to recall it here:

We have a square

$$\begin{array}{ccc} P & \xrightarrow{f'} & A \\ p_X \downarrow & & \downarrow p \\ X & \xrightarrow{f} & Y \end{array}$$

such that (i) it commutes:  $pf' = fp_X$ , and (ii) given any object  $B$  and maps  $q : B \rightarrow A$  such that  $pg = qf$ , then there is a *unique* morphism  $\alpha : B \rightarrow P$  such that  $p_X\alpha = q$  and  $f'\alpha = g$ .

We repeat that this property determines  $P$ ,  $p_X$  and  $f'$  up to isomorphism only. Our construction of  $P$  as  $X \times_Y A$  for the situation in the category of spaces shows that such a  $P$  exists but does not impose any odour of ‘canonisation’ on the object constructed.

We next look at local sections of  $(P, p_X)$ . we have  $s : U \rightarrow P$  such that  $p_Xs(x) = x$  for all  $x \in U$ . This means that  $s$  determines and is determined by a map from  $U$  to  $A$ , namely  $f's$ , such that  $f(x) = pf's(x)$  for all  $x \in U$ . This looks a bit like a local section of  $A \xrightarrow{p} Y$  over  $f(U)$ , but we do not know if  $f(U)$  is open in  $Y$ . To make things work, we can take  $f^*(F)(U) = \text{colim}\{F(V) : V \text{ open in } Y, f(U) \subseteq V\}$  so we have the elements of  $f^*(F)(U)$  are germs of local sections of  $F$ , whose domain contains  $f(U)$ . (You should check this works in giving us a sheaf on  $X$ , that it is functorial giving us a functor

$$f^* : Sh(Y) \rightarrow Sh(X).$$

See why it works, but looks up the details in a sheaf theory textbook.) Of course, warned by previous comments, you will want to check that if  $g : Y \rightarrow Z$ ,  $(gf)^*$  and  $f^*g^*$  will be naturally isomorphic, (but usually not ‘equal’). This will be very important later on.

Now suppose  $f : X \rightarrow Y$  and so we have

$$f_* : Sh(X) \rightarrow Sh(Y),$$

and

$$f^* : Sh(Y) \rightarrow Sh(X).$$

These functors must be related somehow! In fact if  $F \in Sh(Y)$  and  $G \in Sh(X)$ , then

$$Sh(X)(f^*(F), G) \cong Sh(Y)(F, f_*(G)).$$

We sketch a bit of this, leaving the details to be looked for. Suppose  $\varphi : F \rightarrow f_*(G)$  in  $Sh(Y)$ , then for an open set  $V$  in  $Y$ , we have

$$\varphi_V : F(V) \rightarrow G(f^{-1}(V)).$$

Now suppose  $U$  is open in  $X$  and  $V \supseteq f(U)$ , then  $f^{-1}(V) \supseteq U$ , so we have

$$F(V) \xrightarrow{\varphi} G(f^{-1}(V)) \rightarrow G(U),$$

and passing to the colimit we get a map from  $f^*(F)(U)$  to  $G(U)$ . The other way around is similar, so is left for you to worry out for yourselves.

Of course, the above natural isomorphism says  $f^*$  is left adjoint to  $f_*$ , and this implies a lot of nice properties that are often used.

This makes for quite a lot of ‘facts’ about sheaves and their uses, but we need one more observation before passing to other things. Often geometric information is encoded by a sheaf, sometimes ‘of rings’, sometimes ‘of modules’ or ‘of chain complexes’. For instance, on a differential manifold, one has a sheaf of differential functions and also the de Rham sheaf of differential forms. In algebraic geometry, the usual basic object is a scheme, which is a space together with a sheaf of commutative rings on it that is ‘locally’ like the prime spectrum of a commutative ring. There are many other examples. We will also be looking at sheaves of groups and sheaves of crossed modules.

It would have been nice to show how a sheaf theoretic viewpoint provides the link between covering space theory and Galois theory, but again this would take us too far afield so we refer to Borceux and Janelidze, [16], and the references therein.

### 6.3 Descent: Torsors

(Some of the best sources for the material in this section are in the various notes and papers of Breen, [17, 18] and, in particular, his Astérisque monograph, [19] and his Minneapolis notes, [20].)

The demands of algebraic geometry mean that principal  $G$ -bundles for  $G$  a (topological) group are not sufficient to handle all that one would like to do with such things. One generalisation is to vary  $G$  over a base. This may be to replace  $G$  by a sheaf of groups or by a group object in  $Top/B$ , i.e. a group bundle. (This is the topological analogue of a group scheme.) The situation that we considered earlier, then corresponds to a constant sheaf of groups or the group bundle  $G_B := (B \times G \rightarrow B)$  given by projection from the product. It also includes the vector bundles that we briefly saw earlier. The more general case, however, does not change things much. We have a parametrised family of groups  $G_b$ ,  $b \in B$ , acting on a parametrised family of spaces,  $X_b$ ,  $b \in B$ . The sheaf of groups viewpoint corresponds to an étale space on  $B$  and thus to a group bundle on  $B$  with each  $G_b$  discrete as a topological group. We will let, in the following,  $G$  be a bundle of groups on a space  $B$ . (We may on occasion abuse notation and write  $G$  instead of  $G_B$  for the constant  $G$ -example.)

Technically we will need to be working in a setting where we can talk of a bundle of locally defined maps from one bundle to another. This is fine in the sheaf theoretic setting, and will be assumed to be the case in the general case of a suitable category of bundles within the ambient category,  $Top/B$ . It corresponds to the functor  $- \times A$  always having a right adjoint  $(-)^A$ , the function bundle of locally defined maps from  $A$  to whatever. Technically we are assuming that our category of bundles on  $B$ ,  $Bun/B$  is a Cartesian closed category.

### 6.3.1 Torsors: definition and elementary properties

**Definition:** A left  $G$ -torsor on  $B$  is a space  $P \xrightarrow{\pi} B$  over  $B$  together with a left group action

$$\begin{aligned} G \times_B P &\rightarrow P \\ (g, p) &\longmapsto g \cdot p \end{aligned}$$

such that the induced morphism

$$\begin{aligned} G \times_B P &\rightarrow P \times_B P \\ (g, p) &\longmapsto (g \cdot p, p) \end{aligned}$$

is an isomorphism. In addition we require that there exists a family of local sections  $s_i : U_i \rightarrow P$  for some open cover  $\mathcal{U} = (U_i)_{i \in I}$  of  $B$ .

A right  $G$ -torsor is defined similarly with a right  $G$ -action.

If  $P$  is a left  $G$ -torsor, there is an associated right  $G$ -torsor,  $P^o$ , with action  $p \cdot g = g^{-1} \cdot p$ .

When we refer to a  $G$ -torsor, without mentioning ‘left’ or ‘right’, we will mean a left  $G$ -torsor.

The effect of the requirement that local sections exist is to ensure that the bundle  $P \xrightarrow{\pi} B$  is locally trivial, i.e. locally like  $G \rightarrow B$ . This is a consequence of the following lemma.

**Lemma 13** *Suppose  $P \xrightarrow{\pi} B$  is a  $G$ -torsor for which there is a global section*

$$s : B \rightarrow P$$

*of  $\pi$ , then there is an isomorphism*

$$G \xrightarrow{f} P$$

*of spaces over  $B$ .*

**Proof:** Define a function  $f : G \rightarrow P$  by  $f(g) = (g \cdot s(b))$ , where  $g \in G_b$ . As the projection of the group bundle  $G$  is continuous,  $f$  is continuous. To get an inverse for  $f$ , consider the map

$$P \xrightarrow{\pi} B \xrightarrow{s} P.$$

For any  $p \in P$ ,  $s\pi(p)$  is in the same fibre as  $p$  itself, so we get a continuous map

$$P \xrightarrow{(s\pi, id)} P \times_B P \xrightarrow{\cong} G \times_B P$$

on composing with the inverse of the torsor’s structural isomorphism. Finally projecting on to  $G$  gives a map  $h : P \rightarrow G$ . This is continuous and checking what it does on fibres shows it to be the required inverse for  $f$ . ■

This does not of course transfer a group structure to  $P$ , but says that  $P$  is like  $G$  with ‘an identity crisis’. It no longer knows what its identity is!

The group bundle,  $G \rightarrow B$ , considered as a space over  $B$  is naturally a  $G$ -torsor with multiplication on the left giving the  $G$ -action. Check the conditions. It has a global section, since we required it to be a group object in  $Top/B$ , so there is a continuous map,  $e$ , over  $B$  from the terminal object of  $Top/B$  to  $G$ , which plays the role of the identity. As that terminal object is (isomorphic to) the identity on  $B$ ,  $B \rightarrow B$ . This splits  $G \rightarrow B$ ,

$$\begin{array}{ccc} B & \xrightarrow{e} & G \\ & \searrow & \swarrow \\ & B & \end{array}$$

This trivial  $G$ -torsor will be denoted  $T_G$ .

Applying this to a general  $G$ -torsor, the local section  $s_i : U_i \rightarrow P$  makes  $P_{U_i} = \pi^{-1}(U_i)$ , the restricted torsor over the open set  $U_i$  into the trivial  $G_{U_i}$ -torsor over  $U_i$ , so  $P$  is locally trivial. It is important to note again that this means that  $P$  looks locally like  $G$ , (but if  $G$  is not a product bundle,  $P$  will not be locally a product). The way that  $P$  differs globally from  $G$  is measured by cohomology. (An important visual example is, once again, the boundary circle of the Möbius band, i.e. the double cover of the circle,  $S^1$ , that twists as you go around that base circle. It is locally a product  $U \times \{-1, 1\}$ , but not globally so.)

The next observation is very important for us as it shows how the language of  $G$ -torsors starts to interact with that of groupoids. First an obvious definition.

**Definition:** If  $P$  and  $Q$  are two left  $G$ -torsors, then a morphism  $f : P \rightarrow Q$  of  $G$ -torsors is a continuous map over  $B$  such that  $f(g.p) = g.f(p)$  for all  $g \in G$ ,  $p \in P$ .

Here and elsewhere, it is understood that we only write  $g.p$  if  $g \in G_b$  and  $p \in P_b$  for the same  $b$ . This avoids our constantly repeating mention of the base space and its points. If working with sheaves on a site, i.e. a category  $\mathcal{C}$ , with a Grothendieck topology, the  $g$  and  $p$  correspond to locally defined ‘elements’ in some  $G(\mathcal{C})$  and  $P(\mathcal{C})$  respectively, so the same (abusive) notation suffices.

**Lemma 14** *Any morphism  $f : P \rightarrow Q$  is an isomorphism.*

**Proof:** We have trivialising covers  $\mathcal{U}$  for  $P$  and  $\mathcal{V}$  for  $Q$  on which local sections are known to exist. By taking intersections, or any other way, we can get a mutual refinement on which both  $P$  and  $Q$  trivialise so we can assume  $\mathcal{U} = \mathcal{V}$ . We thus are looking at a morphism  $f$  and local sections  $s : U \rightarrow P$ ,  $t : U \rightarrow Q$ , which (locally) determine isomorphisms to  $T_G$  over  $U$ . We thus have reduced the problem, at least initially, to showing that  $f : T_G \rightarrow T_G$  is always an isomorphism, but

$$f(1_G) = g.1_G$$

for some  $g \in G_B$ , i.e. for some global element of  $G$ . Moreover  $g$  is uniquely determined by  $f$ . Now it is clear that the morphism sending  $1_G$  to  $g^{-1}.1_G$  is inverse to  $f$ . (Although it is probably an obvious comment, we should point out that saying where a single global element goes determines the morphism, and within  $T_G$  any (locally defined) element is given by multiplication of the global section  $1_G$  by that element, but now regarded as an element of  $G$  itself.)

Back to our original  $f : P \rightarrow Q$ , on each  $U$ , we have  $f_U : P_U \rightarrow Q_U$ , its restriction to the parts of  $P$  and  $Q$  over  $U$ , is an isomorphism, so we construct the inverse locally and then glue it into a single  $f^{-1}$ .

**Remark on descent of morphisms:** Although we have not yet completed the proof, it is instructive to go into this in a bit more detail, since it introduces methods and intuitions that here should be more or less clear, but later, in more ‘lax’ or ‘categorified’ settings will need both good intuition and the ability to argue in detail with (generalisations of) local sections.

If we use  $s$  and  $t$ , then with respect to these local sections over  $U$ , every local element of  $P_U$  has the form  $g_U \cdot s_U$  for some unique locally defined  $g_U : U \rightarrow G$  (or in sheaf theoretic notation  $g_U \in G(U)$ ). Similarly in  $Q_U$ , local elements look like  $g_U \cdot t_U$ , but then

$$f(g_U \cdot s_U) = g_U \cdot f(s_U),$$

so we only need to look at  $f(s_U)$ . As  $f(s_U) \in Q_U$ , it determines some unique local element  $h_U \in G(U)$  with

$$f(s_U) = h_U \cdot t_U,$$

and checking for behaviour when composing morphisms, it is then clear that

$$f_U^{-1}(t_U) = h_U^{-1} \cdot s_U$$

with continuity of  $f^{-1}$  handled by the continuity of inversion, of  $t$  and of multiplication.

As the construction of  $f_U^{-1}$  is done using maps defined locally over  $U$ ,  $f_U^{-1}$  is in  $Top/U$  (or alternatively, is a map of sheaves on  $U$ ). We now have to check that this locally defined morphism ‘descends’ from  $\bigsqcup \mathcal{U}$  to  $B$ .

Of course, it is ‘clear’ that it must do! Each  $h_U$  is uniquely defined so ... . That *is* true, but when we go to higher dimensional situations we will often not have uniqueness, merely uniqueness up to isomorphism, or equivalence, so we will spell things out in all the ‘gory detail’.

We need to check what happens on intersection  $U_1 \cap U_2$  of local patches in our trivialising cover,  $\mathcal{U}$ . Write  $f_i = f_{U_i}$ ,  $i = 1, 2$ , etc. for simplicity. The local sections  $s_1$  and  $s_2$  (resp.  $t_1$  and  $t_2$ ) will not, in general, agree on  $U_1 \cap U_2$ , so we have

$$f_1(s_1) = h_1 \cdot t_1,$$

$$f_2(s_2) = h_2 \cdot t_2,$$

but the key local elements  $h_1|_{U_1 \cap U_2}$  and  $h_2|_{U_1 \cap U_2}$  need not agree. A bit more notation will probably help. Let us denote by  $s_{12}$  the restriction of  $s_1 : U_1 \rightarrow P$  to the intersection  $U_1 \cap U_2$  and similarly  $s_{21} = s_2|_{U_1 \cap U_2}$ , extending this convention to other maps when needed.

We then have some  $g_{12} \in G_{U_1 \cap U_2}$  for which

$$s_{21} = g_{12} \cdot s_{12}, \quad (\text{and } s_{12} = g_{21} \cdot s_{21}, \text{ so } g_{12} = g_{21}^{-1}),$$

but then, over  $U_1 \cap U_2$ ,

$$f(s_{21}) = g_{12} \cdot f(s_{12}).$$

We thus have

$$t_{21} = h_{21}^{-1} g_{12} h_{12} t_{12}.$$

Now turning to  $f^{-1}$  defined locally by  $f_i^{-1} : Q_{U_i} \rightarrow P_{U_i}$ ,  $i = 1, 2$  with

$$f_i^{-1}(t_i) = h_i^{-1} \cdot s_i.$$

Over  $U_1 \cap U_2$ ,  $f_{ij}^{-1}(t_{ij}) = h_{ij}^{-1} s_{ij}$ , but we also have  $f_j^{-1}(t_{ji}) = h_{ji}^{-1} s_{ji}$  and we have to check that on  $Q_{U_i \cap U_j}$ ,  $f_{ij}^{-1} = f_{ji}^{-1}$ . To do this, it is sufficient to calculate  $f_{ji}^{-1}(t_{ij})$  and to compare it with  $f_{ij}^{-1}(t_{ij})$  as both are defined on the same generating local section and so extend via their  $G$ -equivariant nature. We have

$$\begin{aligned} f_{ji}^{-1}(t_{ij}) &= f_{ji}^{-1}(h_{ij}^{-1} g_{ji} h_{ji} t_{ji}) \\ &= h_{ij}^{-1} g_{ji} h_{ji} f_{ji}^{-1}(t_{ji}) \\ &= h_{ij}^{-1} g_{ji} h_{ji} h_{ji}^{-1} \cdot s_{ji} \\ &= h_{ij}^{-1} g_{ji} g_{ij} s_{ij} \\ &= h_{ij}^{-1} s_{ij} \\ &= f_{ij}^{-1}(t_{ij}), \end{aligned}$$

so the two restrictions *do* agree over the intersection and hence *do* give a morphisms from  $Q$  to  $P$  inverse to  $f$ . (This last point is easy to check.) ■

If we denote the category of left  $G$ -torsors on  $B$  by  $Tors(B, G)$  (or  $Tors(G)$  if  $B$  is understood), then we have

**Proposition 30**  $Tors(B, G)$  is a groupoid. ■

### 6.3.2 Torsors and Cohomology

In the above discussion, we saw how a choice of local sections  $s_i : U_i \rightarrow P$  gave rise to a map  $g_{ij} : U_{ij} \rightarrow G$ . (Here we will again abbreviate:  $U_i \cap U_j = U_{ij}$ . This notation will be extended to give  $U_{ijk} = U_i \cap U_j \cap U_k$ , etc.)

The maps  $g_{ij}$  are to satisfy

$$s_i = g_{ij} s_j$$

on  $U_{ij}$  and for all indices  $i, j$ . They are uniquely determined by the sections, so over a triple intersection,  $U_{ijk}$ , we have the 1-cocycle equation,

$$g_{ij} g_{jk} = g_{ik}.$$

If we use different local sections, say  $s'_i$ , assumed to be on the same open cover, there will be local elements  $g_i : U_i \rightarrow G$  such that  $s'_i = g_i \cdot s_i$  for all  $i \in I$ . The corresponding cocycles  $g_{ij}$  and  $g'_{ij}$  will be related by a coboundary relation

$$g'_{ij} = g_i g_{ij} g_j^{-1}.$$

These equations will determine an equivalence relation on the set of 1-cocycles,  $Z^1(\mathcal{U}, G)$ , for  $\mathcal{U}$ , as before, the (fixed) open cover. The set of equivalence classes will be denoted  $H^1(\mathcal{U}, G)$ . To remove the dependence on the open cover, one passes to the limit on finer covers to get the Čech non-Abelian cohomology set,  $\check{H}^1(B, G) = \text{colim}_{\mathcal{U}} H^1(\mathcal{U}, G)$  which, by its construction classifies



isomorphism classes of  $G$ -torsors on  $B$ . The trivial left  $G$ -torsor,  $T_G$ , gives a natural distinguished element to  $\check{H}^1(B, G)$ .

This looks good. We have started with a torsor and seem to have classified it, up to isomorphism, by cocycles. The one deficiency is that we need to know that cocycles give torsors, i.e. a (re)construction process of  $P$  from the cocycle  $(g_{ij})$ , but without prior knowledge of  $P$  itself.

The method we will use will take the basic ingredients of the group bundle  $G$  and will twist them using the  $g_{ij}$ . First if we have  $\gamma \in \check{H}^1(B, G)$ , by the basic construction of colimits, we can pick an open cover  $\mathcal{U}$  and a  $g_{\mathcal{U}} = (g_{ij})$ , whose cohomology class represents  $\gamma$  in the colimit. Next taking this  $\mathcal{U} = \{U_i\}$ , and  $g_{ij}$ , let

$$P = \bigsqcup_i G(U_i) / \sim .$$

As we are once again using a disjoint union, we will give our points an index  $(g, i)$  and, of course,

$$(g, i) \sim (gg_{ij}, j).$$

We have a projection  $P \rightarrow B$  induced from the bundle projections  $G(U) \rightarrow B$ . (For you to check that it works.) This is continuous if  $P$  is given the quotient topology. Moreover the multiplications

$$G(U) \times G(U) \rightarrow G(U)$$

give a left action

$$G \times P \rightarrow P$$

making  $P$  into a left  $G$ -torsor as hoped for.

To sum up  $\check{H}^1(B, G)$  is in one-one correspondence with the set of isomorphism classes of  $G$ -torsors on  $B$ , i.e. with the set  $\pi_0 \text{Tors}(B; G)$  of connected components of the groupoid,  $\text{Tors}(B; G)$ . (The relationship for isomorphisms is left for you to check.)

### 6.3.3 Contracted Product and ‘Change of Groups’

In Abelian cohomology, one would expect the cohomology ‘set’ (there a group) to vary nicely with the coefficient sheaf of groups,  $G$ . Something like that occurs here as well and determines some essential structure on the torsors. Suppose  $\varphi : G \rightarrow H$  is a homomorphism of sheaves of groups, then one expects there to be induced functors between  $\text{Tors}(G)$  and  $\text{Tors}(H)$  in one direction or the other. Thinking of the better known case of a ring homomorphism,  $\varphi : R \rightarrow S$ , and modules over  $R$  or  $S$ , then we could for an  $S$ -module,  $M$ , form an  $R$ -module by restriction along  $\varphi$ . The analogue works for an  $H$ -set  $X$  as one gets a  $G$ -set by defining  $g.x = \varphi(g).x$ , but there is no reason to expect the resulting  $G$ -set to be principal, so this does not look so feasible for torsors. There is, however, another module construction. Suppose that  $N$  is a left  $R$ -module, and make  $S$  into a *right*  $R$ -module,  $S_{Rj}$  by  $s.r = s\varphi(r)$ , then we can form  $S_R \otimes_R N$ , and the left  $S$ -action by multiplication is nicely behaved. The point is that  $S$  is behaving here as a two sided module over itself, and also as a  $(S, R)$ -bimodule. The corresponding idea in torsor theory is that of a bitorsor, explored in depth by Breen in [17], which we will examine shortly.

Before looking at these in a bit more detail, we will look at the contracted product, which replaces the tensor product here. Suppose we have a category  $\mathcal{C}$  and an internal group  $G$  in  $\mathcal{C}$ . Here we have various examples in mind. If  $\mathcal{C} = \text{Sh}(B)$ ,  $G$  will be a sheaf of groups; if  $\mathcal{C}$  is the category of groupoids,  $G$  will be an internal group in that category, a (*strict*) *gr-groupoid*, and will correspond to a crossed module, and, if we combine the two ideas,  $\mathcal{C}$  is a category of sheaves of

groupoids, so  $G$  is a sheaf of gr-groupoids, corresponding to a sheaf of crossed modules, and so on in various variants.

A left  $G$ -object in  $\mathcal{C}$  is an object  $X$  together with a morphism, (left action),

$$\lambda : G \times X \rightarrow X,$$

satisfying obvious rules. Similarly a right  $G$ -object  $Y$  comes with a morphism, (right action),

$$\rho : Y \times G \rightarrow Y.$$

The *contracted product* of  $Y$  and  $X$  is, intuitively, formed from  $Y \times X$  by dividing by an equivalence relation

$$(y.g, g^{-1}.x) \equiv (y, x).$$

The usual notation is  $Y \wedge^G X$ , but this is often inadequate as it assumes  $X$ , (resp.  $Y$ ), stands for the object *and* the  $G$ -object, unambiguously, whilst, of course,  $X$  really stands for  $(X, \lambda)$  and  $Y$  for  $(Y, \rho)$ . It is sometimes useful, therefore, to add the action into the notation, but only when confusion would occur otherwise, so  $Y_\rho \wedge^G_\lambda X$  is the full notation, but variants such as  $Y_\rho \wedge^G X$  would be used if it was clear what  $\lambda$  was, etc.

We gave an element based description of  $Y \wedge^G X$ , but how can we adapt this to work within our general  $\mathcal{C}$ ? There are obvious maps

$$Y \times G \times X \begin{array}{c} \xrightarrow{(\rho, X)} \\ \xrightarrow{(Y, \lambda)} \end{array} Y \times X,$$

and we can form their coequaliser. (As usual, we assume that the category  $\mathcal{C}$  has all limits and colimits that we need to make constructions, and to enable definitions to make sense, but we do not constantly remind the reader of these hidden conditions!) Of course, we met this construction earlier when considering a left principal  $G$ -bundle and a right  $G$ -space (fibre),  $F$ , forming the fibre bundle  $X_F = F \wedge^G X$ ; it was also at the heart of the regular twisted Cartesian product construction from our discussion of simplicial twisting maps.

**Example:** Suppose  $\varphi : G \rightarrow H$  is a morphism of group bundles on  $B$ , then we can give  $H$  a right  $G$ -action by

$$H \times_B G \xrightarrow{H \times \varphi} H \times_B H \rightarrow H$$

where the second map is multiplication. If  $P$  is a  $G$ -object such as a  $G$ -torsor, we have a contracted product  $H_\varphi \wedge^G P$ .

**Lemma 15** *If  $P$  is a  $G$ -torsor, then  $H_\varphi \wedge^G P$  is an  $H$ -torsor.*

**Proof:** Writing  $Q = H_\varphi \wedge^G P$ , we check the usual map,

$$H \times_B Q \rightarrow Q \times_B Q,$$

is an isomorphism. This is merely checking that the ‘obvious’ fibrewise formula is well defined. This sends a pair  $([h, p], [h_1, p])$  to  $(hh_1^{-1}, [h_1, p])$ . That verification is left to the reader.

Local sections of  $P$  immediately yield local sections of  $Q$ , so  $Q$  is an  $H$ -torsor. ■

A group homomorphism

$$\varphi : G \rightarrow H$$

thereby gives us a functor

$$\varphi_* : \text{Tors}(G) \rightarrow \text{Tors}(H) \quad \varphi_*(P) = H_\varphi \wedge^G P.$$

Of course, there are still some details (for you) to check, namely relating to behaviour on morphisms of  $G$ -torsors. (These are probably ‘clear’, but do need checking.)

Another point from this calculation is that we could work with ‘elements’ as if in a  $G$ -set. This can be thought of either as working, carefully, in each fibre of the torsor or using local sections or as a heuristic to obtain a formula that is then encoded purely in terms of the structural maps. All of these viewpoints are valid and all are useful.

Now suppose  $\mu, \nu : G \rightarrow H$  are two group homomorphisms, thus giving us two functors,

$$\mu_*, \nu_* : \text{Tors}(G) \rightarrow \text{Tors}(H).$$

When is there a natural transformation  $\eta : \mu_* \rightarrow \nu_*$ ? The answer is neat and very useful.

**Lemma 16** (cf. Breen, [19], Lemma 1.5)

A natural transformation  $\eta : \mu_* \rightarrow \nu_*$  is determined by a choice of a section  $h$  of  $H$  such that

$$\nu = h^{-1}\mu h.$$

**Proof:** Suppose that  $P$  is a  $G$ -torsor, then  $\mu_*(P) = H_\mu \wedge^G P$ , similarly for  $\nu_*(P)$  and  $\eta_P : H_\mu \wedge^G P \rightarrow H_\nu \wedge^G P$ .

If we look locally

$$\eta_P([\mu(g), p]) = h.[\nu(g), p]$$

for some  $h$ , since  $\eta_P(\mu(g), p)$  is of form  $[h_1, p]$  for some  $h_1$  and as  $\nu_*(P)$  is an  $H$ -torsors, etc.

(Unfortunately we need to know  $h$  does not depend on  $g$ , and is defined globally, so this suggests looking at the special case where global sections *do* exist, i.e.  $P = T_G$ , the trivial  $G$ -torsor. There we can assume  $g = 1_G$ , so

$$\eta_{T_G}([1_G, p]) = h.[1_H, p],$$

giving us a possible  $h$ . We know that  $\eta_P$  is  $H$ -equivariant and natural as well as being ‘well-defined’.

We use these properties as follows:

If  $g \in G$ ,

$$\begin{aligned} \eta_{T_G}[\mu(g), p] &= \eta_{T_G}[1_H, g.p] \\ &= h[1_H, g.p] \\ &= h[\nu(g), p] \\ &= h.\nu(g)[1_H, p], \end{aligned}$$

whilst also

$$\begin{aligned} \eta_{T_G}[\mu(g), p] &= \eta_{T_G}(\mu(g).[1_H, p]) \\ &= \mu(g)\eta_{T_G}[1_H, p] \\ &= \mu(g)h[1_H, p], \end{aligned}$$

using that  $\eta_{T_G}$  is  $H$ -equivariant. We thus have a globally defined  $h$  with

$$\mu(g)h = h\nu(g)$$

for all  $g \in G$ ,

$$\text{or } \mu = i_h \circ \nu \quad \text{or } \nu = i'_h \circ \mu,$$

where  $i_h$  is inner automorphism by  $h$  and  $i'_h$ , that by  $h^{-1}$ .

Conversely given such an  $h$ , we can *define*  $\eta$  by our earlier formula, extending it by  $H$ -equivariance and naturality. Checking well definition is quite easy, but instructive, and so is left to you. ■

**Aside:** For any groupoids  $G, H$ , the functor category  $H^G$  has groupoid morphisms as its objects and the natural transformations can be seen to be ‘conjugations’. (This is a useful calculation to do if you have not seen it before.) In particular if  $G = H$  is a group, the full subcategory  $\text{aut}(G)$  of  $G^G$  given by the automorphisms of  $G$  is an internal group objects in the category of groupoids, so corresponds to a crossed module. What crossed module? What else,  $\text{Aut}(G)$ , that is,

$$i : G \rightarrow \text{Aut}(G).$$

Two automorphisms  $\mu, \nu$  are related by a natural transformation if and only if there is a  $g$  such the  $\mu = i_g \circ \nu$ , where  $i_g$  is inner automorphism by  $g$ . The similarity with our current setting is *not* coincidental and can be exploited!

Another fairly obvious result is that, if  $P$  is a  $G$ -torsor, then

$$G \wedge^G P \cong P,$$

since locally we have each representative  $(g, p)$  is equivalent to  $(1_G, g.p)$ . The details are left as an almost trivial exercise.

This notation is ‘dangerous’ however, as we pointed out earlier. We are using the right multiplication of  $G$  on itself to give us the contracted product, but we could also make  $G$  act on itself by conjugation *on the right*: for  $g \in G, x \in G$ , with  $G$  being considered as a bundle,

$$x.g = g^{-1}xg.$$

We will write this action as  $i'$ , for ‘inner’, so have  $G_{i'} \wedge^G P$  as well. This is, in fact, a very useful object. It is related to automorphisms of  $P$  in the following way:

Suppose that  $\alpha : P \rightarrow P$  is a locally defined automorphism of  $G$ -torsors, i.e. a local section of  $\text{Aut}_G(P)$ . Continuing to work locally, pick a section (local element)  $p$ . As  $\alpha$  is ‘fibrewise’

$$\alpha(p) = g_p.p$$

for some local elements  $g_p$  of  $G$ , and as  $\alpha$  is  $G$ -equivariant,

$$\alpha(g.p) = g\alpha(p) = gg_p.p.$$

Assigning to each pair  $(g, p)$  in  $G \times P$  the automorphism given by

$$\alpha(g_1, p) = g_1g.p$$

gives a map

$$\lambda : G \times P \rightarrow \text{Aut}_G(P), \quad \lambda(g, p)(p) = g \cdot p,$$

and this is an epimorphism by our previous argument. ‘Obviously’

$$\lambda(g, p) = \lambda(gg', (g')^{-1}p),$$

so the map  $\lambda$  passes to the quotient  $G \wedge^G P$  - or does it? We have not actually examined the definition of  $\lambda(g, p)$  closely enough.

Look at this from another direction. Examine  $\lambda(g, g'p)$  as an automorphism of  $P$ . To work out  $\lambda(g, g'p)(p)$ , we have first to convert  $p$ :

$$\lambda(g, g'p)(p) = \lambda(g, g'p)((g')^{-1}g' \cdot p),$$

as  $\lambda(g, g'p)$  is specified by what it does to its basic  $P$ -part. Now

$$\lambda(g, g'p)((g')^{-1}g' \cdot p) = (g')^{-1}\lambda(g, g'p)(g' \cdot p)$$

by  $G$ -equivariance, and so equals

$$(g')^{-1}gg' \cdot p,$$

which is  $\lambda((g')^{-1}gg', p)(p)$ .

Thus our initial impulse was hasty. We do have  $\text{Aut}_G(P)$  as a contracted product,  $G \wedge^G P$ , but not with right multiplication as the action of  $G$  on itself, rather it uses right conjugation. We have proved

**Lemma 17** *For any  $G$ -torsor  $P$ , there is an isomorphism*

$$\lambda : G_{i'} \wedge^G P \xrightarrow{\cong} \text{Aut}_G(P),$$

where  $i' : G \rightarrow \text{Aut}(G)^o$ ,  $i'(g)(g') = g^{-1}g'g$ , yielding the right conjugation action of  $G$  on itself. ■

Perhaps something more needs to be said about  $\text{Aut}_G(P)$  here. We are working with sheaves or bundles and so have an essentially Cartesian closed situation, in other words function objects exist. For each pair of sheaves,  $X, Y$  on  $B$ ,  $\text{Hom}(X, Y)$  is a sheaf. In particular  $\text{End}(X)$  is a sheaf and  $\text{Aut}(X)$  a subsheaf of it. It thus makes basic sense to have that  $\text{Aut}_G(P)$  is a  $G$ -torsor. Of course, it is also a group object, since automorphisms (gauge transformations) of  $P$  are invertible. This group is sometimes written  $P^{ad}$ . It is the group (bundle) of  $G$ -equivariant fibre preserving automorphisms of  $P$ ; it is also called the *gauge group* of  $P$ . In the isomorphic  $G_{i'} \wedge^G P$  version, it is instructive to explore the group structure, but this is left for you to do. This group operates on the *right* of  $P$ , by the rule

$$p \cdot \alpha = \alpha^{-1}(p),$$

and makes  $P$  into a right  $P^{ad}$ -torsor. (Exploration of these statements is well worth while and is left as an exercise. It, of course, presupposes that  $P^{ad}$  is seen as a bundle /sheaf of groups, which itself needs ‘deconstructing’ before you start. The overall intuition should be fairly clear *but* the technicalities, detailed verifications etc. do need mastering.)

**A cohomological perspective on change of groups.** We have that  $\check{H}^1(B, G)$  is the set of isomorphism classes of  $G$ -torsors on  $B$ , i.e.  $\pi_0\text{Tors}(G)$ , the set of connected components of the

groupoid  $Tors(G)$ . We have now seen that if  $\varphi : G \rightarrow H$  is a homomorphism of group bundles and  $P$  is a  $G$ -torsor, then  $H_\varphi \wedge^G P = \varphi_*(P)$  is an  $H$ -torsor and that this gives a functor  $\varphi_* : G \rightarrow H$ . This will, of course, induce a function on sets of connected components and hence, as one might expect, an induced function

$$\varphi : \check{H}^1(B, G) \rightarrow \check{H}^1(B, H).$$

There is another obvious way of inducing such a function, as the elements of  $\check{H}^1(B, G)$  are classes of cocycles  $(g_{ij})$  and so composing with  $\varphi$  sends  $[(g_{ij})]$  to  $[\varphi(g_{ij})]$ . It is standard to check that this does induce a function from  $H^1(\mathcal{U}, G)$  to  $H^1(\mathcal{U}, H)$  and, by its independence from  $\mathcal{U}$ , it is then routine to check that it induces a corresponding map on Čech non-Abelian cohomology.

It is easy to see that these two induced maps are the same. (It would be surprising if they were not!) Pick a set of local sections,  $\{s_i\}$  for  $P$  over a trivialising cover  $\mathcal{U}$  and we get  $\{[1, s_i]\}$  is a set of local sections for  $H_\varphi \wedge^G P$ . Changing patches  $s_i = g_{ij}s_j$  and so

$$[1, s_i] = [1, g_{ij}s_j] = [\varphi(g_{ij}).1, s_j] = \varphi(g_{ij})[1, s_j],$$

so the transition functions for  $\varphi_*(P)$  are exactly as expected. (The rest of the details are left as an exercise.) The important thing for later use is the identification of the cocycles for  $\varphi_*(P)$ . This will be especially important when discussing  $G$ -bitorsors in the next section.

### 6.3.4 Simplicial Description of Torsors

As usual we look at a sheaf or bundle of groups,  $G$ , on a space,  $B$ , and suppose  $P$  is a  $G$ -torsor. We then know there is an open cover  $\mathcal{U}$  of  $B$  and trivialising local sections,  $s_i : U_i \rightarrow P$  over the various different open sets  $U_i$  of  $\mathcal{U}$ . We have seen that over the intersections  $U_{ij}$ , the restrictions of the two local sections  $s_i$  and  $s_j$  must be related and this gives us transition cocycles  $g_{ij} : U_{ij} \rightarrow G$  such that

$$s_i = g_{ij}s_j,$$

where, over triple intersections, the 1-cocycle condition

$$g_{ij}g_{jk} = g_{ik}$$

must be satisfied.

The information on intersections in  $\mathcal{U}$  is neatly organised in the simplicial sheaf,  $N(\mathcal{U})$ , (cf. page 122 in section 6.2). We also know that from a sheaf of groups we can construct various simplicial sheaves. Is there a way of viewing the cocycles  $g_{ij}$  from this simplicial perspective?

From a group,  $G$ , (no sheaves for the moment), we earlier saw the uses of models for the classifying space,  $BG$ , of  $G$ . We could use the nerve of  $G$  as a group or rather its nerve as a single object groupoid  $G[1]$ . We could alternatively take the constant simplicial group  $K(G, 0)$  (so  $K(G, 0)_n = G$  for all  $n \geq 0$ , with all face and degeneracies, being the identity isomorphism of  $G$ ). If we then formed  $\overline{W}(K(G, 0))$ , we get  $Ner(G[1])$  back.

These different approaches all yield a simplicial set (and if you really want a space, you just take its geometric realisation). This simplicial set will be denoted  $BG$ , even though that notation is often restricted to the corresponding space. We have

- $BG_0 =$  a singleton set,  $\{*\}$ ;
- $BG_1 = G$ , as a set, and in general,

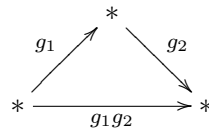
$$\bullet \quad BG_n = \underbrace{G \times \dots \times G}_n$$

Writing  $\mathbf{g} = (g_1, \dots, g_n)$  for an  $n$ -simplex of  $BG$ , we have

$$\begin{aligned} d_0 \mathbf{g} &= (g_2, \dots, g_n), \\ d_i \mathbf{g} &= (g_1, \dots, g_i g_{i+1}, \dots, g_n), \quad 0 < i < n \\ d_n \mathbf{g} &= (g_1, \dots, g_{n-1}) \end{aligned}$$

with the degeneracy maps,  $s_j$  given by insertion of  $1_G$  in the  $j^{\text{th}}$  place, shifting later entries one place to the right. (Warning: multiple use of the label  $s_j$  here *may* cause some confusion, but each use is the natural one in that context!)

We have already seen this several times (but repetition *is* useful). The key diagram is usually that indicating a 2-simplex  $(g_1, g_2)$  namely



(Note : we again have to make a decision as to order of composition and this *does* matter more than one might think. If a context requires  $g_2 g_1$  rather than  $g_1 g_2$  along the third side, we can use the opposite group  $G^o$ . The homotopy properties of  $BG$  and  $BG^o$  being the same, it is just a question of how the geometric context is encoded - but that is crucial for calculations!)

Back to  $G$  being a sheaf of groups, and we get  $BG$  will be a sheaf of simplicial sets. We now have two simplicial sheaves  $N(\mathcal{U})$  and  $BG$ . Curiosity alone should suggest we compare these via a simplicial morphism and for our purposes, it should be a simplicial sheaf map  $f : N(\mathcal{U}) \rightarrow BG$ .

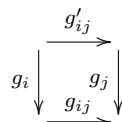
Looking back at  $N(\mathcal{U})$  and its construction (page 122), the zero simplices are formed by the open sets and as  $BG_0$  is trivial,  $f_0$  is not much of interest! At the next level  $f_1 : N(\mathcal{U})_1 \rightarrow BG_1$  so consists - yes, of course, - of local sections over the intersections  $U_{ij}$ , hence  $g_{ij}$  in  $G(U_{ij})$  or  $G_{ij}$ . Over triple intersections  $U_{ijk}$ ,  $f_2$  will give a 2-simple, as above, so  $g_{ij} g_{jk} = g_{ik}$ , given by  $f_2 : U_{ijk} \rightarrow G \times G$ ,  $f_2 = (g_{ij}, g_{jk})$ .

We thus have our 1-cocycle condition is automatic from the simplicial structure.

What about change of the choice of local sections of  $P$ , i.e.  $s_i : U_i \rightarrow P$ . If we change these, we get elements  $g_i \in G_i$  such that  $s'_i = g_i s_i$  and the new  $g'_{ij}$  are related to the old by a sort of conjugacy rule:

$$g'_{ij} = g_i g_{ij} g_j^{-1},$$

which can be visualised as a square



This is reminiscent of a homotopy, and, in fact, defines one from our  $f$  (relative to the  $\{s_i\}$ ) to  $f'$  (relative to the  $\{s'_i\}$ ). In other words, we are identifying isomorphism classes of  $G$ -torsors that trivialise over  $\mathcal{U}$  with  $[N(\mathcal{U}), BG]$ . We will return to this later when we discuss passing to refinements of  $\mathcal{U}$  to get a homotopy description of all  $G$ -torsors, so we will not give the details here.

There is, given our recent description of ‘change of groups’, an obvious question. Suppose  $\varphi : G \rightarrow H$  is a homomorphism of sheaves of groups. It is easy to see that  $\varphi$  induces a map of simplicial sheaves  $B\varphi : BG \rightarrow BH$ , so we get, for given  $\mathcal{U}$ , an induced map

$$[N(\mathcal{U}), B\varphi] : [N(\mathcal{U}), BG] \rightarrow [N(\mathcal{U}), BH].$$

If we start off with a  $G$ -torsor,  $P$  and use our change of groups methods above, what is the link between  $\varphi_*(P)$  and the image of the isomorphisms class of  $P$  as represented by some map from  $N(\mathcal{U})$  to  $BG$ . Of course, we have just seen that if  $\{g_{ij}\}$  represents  $P$  then  $\{\varphi(g_{ij})\}$  represents  $\varphi_*(P)$  - but this is exactly the image under  $[N(\mathcal{U}), B\varphi]$ . There is thus yet another good way of interpreting the change of groups functor from  $Tors(G)$  to  $Tors(H)$ , namely as a simplicial induced map from  $BG$  to  $BH$ . (Later we will see that  $Tors(G)$  is the stack completion of  $BG$  or equivalently of  $G[1]$  and this yields a variant of this simplicial viewpoint.)

### 6.3.5 Torsors and exact sequences

One classical method of analysing the cohomology and in so doing of providing interpretations of cohomology classes, is to vary the coefficients within an exact sequence. For instance, if

$$1 \rightarrow L \xrightarrow{u} M \xrightarrow{v} N \rightarrow 1$$

is an exact sequence of sheaves of groups, then one might try to relate torsors over  $L$ ,  $M$  and  $N$ . The usual techniques would then be to see what is the likelihood of having something like a long exact sequence of the cohomology ‘sets’ or groups. Where should it start?

We will to start with look at the Abelian case, but will try not to use commutativity so as to get as general a result as possible. Sheaf cohomology with coefficients in sheaves of Abelian groups, etc. is considered as measuring the non-exactness of the global sections functor. Given a sheaf  $L$  of Abelian groups on  $B$ ,  $\Gamma_B(L)$  is one of several notations used for the Abelian group of global sections of  $L$ . Another is  $L(B)$ , of course. If the exact sequence above had been of Abelian sheaves, we would have had a long exact sequence

$$0 \rightarrow L(B) \rightarrow M(B) \rightarrow N(B) \rightarrow \check{H}^1(B, L) \rightarrow \check{H}^1(B, M) \rightarrow \check{H}^1(B, N) \rightarrow \check{H}^2(B, L) \rightarrow \dots$$

and so on. It is to be noted that the induced map  $v_* : M(B) \rightarrow N(B)$  need not be onto, so  $\check{H}^1(B, L)$  picks up the obstruction to ‘lifting’ a global section of  $N$  to one of  $M$ . This is particularly interesting to us here since we have linked  $\check{H}^1(B, L)$  with  $L$ -torsors in the general situation - and, of course, that interpretation is also valid in the Abelian case.

To see how  $\check{H}^1(B, L)$  arises naturally in this situation, suppose given a global section  $h$  of  $N$ . As our exact sequence above was of sheaves, we have to examine what that means. This can be viewed from several angles. An exact sequence of sheaves may not be exact as a sequence of presheaves. The functor that forgets that sheaves are sheaves has a left adjoint namely ‘sheafification’, so will itself be ‘left exact’, e.g. will preserve monomorphisms. (If you do not know of this type of result, try to prove it yourself.) It need not preserve epimorphisms. Sheafification itself will preserve epimorphisms, but not all epimorphisms need be the sheafification of an epimorphism at the presheaf level. An epimorphism of sheaves will give an epimorphism on stalks. (We are thinking here of sheaves on a space,  $B$  rather than more general topos centred results.) This means epimorphisms are locally defined. Suppose we have a point  $b \in B$ , then if  $x$  is in the stalk of  $N$  above  $b$ , it means that  $x$  is representable as a pair  $(x_U, U)$ , where  $b \in U$ ,  $U$  is an open set and



$x_U \in N(U)$ , the group of local sections of  $N$  over  $U$ . (Recall, from page 119, section 6.2, that the stalk of a sheaf  $N$  at a point  $b$  is a colimit of the  $N(U)$  for  $b \in U$ .) The morphism  $v$  being an epimorphism, there is an element  $y$  in the stalk of  $M$  at  $b$ , say  $[y = [(y_V, V)]]$ , such that over some open set  $W \subseteq U \cap V$ ,  $v(y_W) = x_W$ .

## 6.4 Bitorsors

The fact that the left  $G$ -torsor is also a right  $P^{ad}$ -torsor suggests the notion of a bitorsor, the analogue of a left  $R$ -, right  $S$ -module for our non-Abelian setting. (Our basic reference for this will be Breen's Grothendieck Festschrift paper, [17] and his beautiful 'Notes on 1- and 2-gerbes', [20], based on his Minneapolis lectures.)

### 6.4.1 Bitorsors: definition and elementary properties

**Definition:** Let  $G, H$  be two bundles of groups on  $B$ . A  $(G, H)$ -bitorsor on  $B$  is a space  $P$  over  $B$  together with fibre preserving left and right actions of  $G$  and  $H$ , respectively, on  $P$ , which commute with each other,

$$(g.p).h = g.(p.h),$$

and which define both a left  $G$ -torsor and a right  $H$ -torsor structure on  $P$ . If  $G = H$ , we say  $G$ -bitorsor rather than  $(G, G)$ -bitorsor.

A family of local sections  $s_i$  of a  $(G, H)$ -bitorsor defines a local identification of  $P$  as *the* trivial left  $G$ -torsor and *the* trivial right  $H$ -torsor. It therefore determines a family of local isomorphisms  $u_i : H_{U_i} \rightarrow G_{U_i}$ , given by the rule  $s_i h = u_i(h) s_i$ , for  $h \in H_{U_i}$ . It is important to note that this does not mean that  $G$  and  $H$  are *globally* isomorphic.

**Examples:** a) The trivial (left)  $G$ -torsor  $T_G$  is also a right  $G$ -torsor (using right multiplication) and has a  $G$ -bitorsor structure.

b) Any left  $G$ -torsor  $P$  is a  $(G, P^{ad})$ -bitorsor, as above. Any  $G$ -torsor  $P$  is a  $(G, H)$ -bitorsor if and only if  $H \cong P^{ad}$ .

c) Let

$$1 \rightarrow G \xrightarrow{i} H \xrightarrow{j} K \rightarrow 1$$

be an exact sequence of bundles of groups on  $B$ . Form  $G_K = G \times_B K$ , which is again a bundle of groups, then  $H$  is a  $G_K$ -bitorsor over  $K$ . This needs a bit of working through. For a start  $K$  is a bundle of groups so has a (hidden) structural projection  $K \rightarrow B$ . Think of this as a cover as we have done previously, then  $G_K$  is the induced bundle of groups on  $K$  (as a space), so we have transferred attention from  $Top/B$  to  $Top/K$  or from  $Sh(B)$  to  $Sh(K)$ . There are actions of  $G_K$  on  $H$ ,

$$h \star (g, k) = hi(g).$$

(but note that requires us to use  $H \xrightarrow{j} K$ , as the structural projection of  $H$  over  $K$ , again, going to bundles on  $K$ ,

$$(g, k).h = i(g).h,$$

but is only defined if  $j(h) = k$ , as we are 'over  $K$ ,' in this equation).

This is somewhat simplified if we have  $B = 1$ , when it is simply an exact sequence of groups,  $G_K$  is  $G \times K$  as a group over  $K$ , via projection, and so on.

There is an obvious notion of morphism of bitorsors and thus various categories,  $\text{Bitors}(G, H)$ ,  $\text{Bitors}(G) := \text{Bitors}(G, G)$ , ... . It should come as no surprise that if  $P$  is a  $(G, H)$ -bitorsor and  $Q$  is a  $(H, K)$ -bitorsor, both on  $B$ , then  $P \wedge^H Q$  is a  $(G, K)$ -bitorsor. Moreover  $P$  gives a  $(H, G)$ -bitorsor  $P^o$ , ( $o$  for ‘opposite’) by reversing the two actions. We thus have that a  $(G, H)$ -bitorsor will induce a functor

$$\text{Tors}(H) \rightarrow \text{Tors}(G)$$

and that, for a given bundle of groups  $G$ , the category of  $G$ -bitorsors has a monoidal structure given by  $P \wedge^G Q$  and with  $T_G$  as unit object. The opposite construction acts like an inverse,

$$P \wedge^G P^o \cong T_G \cong P^o \wedge^G P.$$

**Lemma 18** *The category  $\text{Bitors}(G)$  with contracted product is a group-like monoidal category, with the bitorsor  $T_G$  as unit and  $P^o$ , an inverse for  $P$ .*

**Proof:** This is left as an exercise, but here is a suggestion for the above isomorphisms: use local sections to send any  $[p, p']$  in  $P^o \wedge^G P$  to an element of  $G$ , now show independence of that element on the choice of local section. It is also necessary to check through the group-like monoidal category axioms. ■

A group-like monoidal category is often called a *gr-category*. We have already (essentially introduced on page 38) seen that strict gr-categories are ‘the same as’ crossed modules, so once again that crossed structure is lurking around just beneath the surface.

A very useful result akin to Lemma 17 above, gives a similar interpretation of  $\text{Isom}_G(P, Q)$ , where  $P$  is a  $(G, H)$ -bitorsor and  $Q$  a left  $G$ -torsor. As  $P$  is thus also a left  $G$ -torsor and  $\text{Tors}(G)$  is a groupoid,  $\text{Isom}_G(P, Q)$  is just the sheaf of  $G$ -equivariant torsor maps from  $P$  to  $Q$ , all of which are invertible. The lemma identifies this as a contracted product.

**Lemma 19** *Let  $P$  be a  $(G, H)$ -bitorsor and  $Q$  a left  $G$ -torsor, then there is an isomorphism*

$$\text{Isom}_G(P, Q) \xrightarrow{\cong} P^o \wedge^G Q.$$

**Proof:** We start by noting a morphism in the other direction. Suppose we take a local element in  $P^o \wedge^G Q$  given by  $(p, q) \in P^o \times Q$ , defined over an open set  $U$ . We have

$$(p, q) \equiv (p.g^{-1}, g.q),$$

but as  $p \in P^o$ ,  $p.g^{-1} = q.p$  with the original left  $G$ -action on  $P$ . We assign to  $(p, q)$  the isomorphism,  $\alpha_{(p,q)}$ , from  $P$  to  $Q$  defined over  $U$ , which sends  $p$  to  $q$ . Of course,  $\alpha_{(p,q)}$  is to be extended to a  $G$ -equivariant map,  $\alpha_{(p,q)}(g.p) = g.q$ , but we effectively knew that fact already since

$$\alpha_{(p,q)} = \alpha_{(p.g^{-1}, g.q)},$$

so it sends  $p.g^{-1}$  to  $g.q$ . Of course, if  $\beta : P_U \rightarrow Q_U$  is a local morphism defined over some  $U$ , then we can assume  $P_U$  has a local section  $p$  and that  $\beta(p) = q$  for some local section  $q$  of  $Q$ . (If not, refine  $U$  by an open cover on which  $P$  trivialises and work on the open sets of that finer open cover.) However then we can assign  $[p, q]$  in  $P^o \wedge^G Q$  to the morphism  $\beta$ . The rest of the details should now be easy to check. ■

### 6.4.2 Bitorsor form of Morita theory (First version):

Within the theory of modules and more generally of Abelian categories, there is a very important set of results known as Morita theory, describing equivalences between categories of modules. The idea is that if  $R$  and  $S$  are rings, then we can use a homomorphism as above to induce a right  $R$ , left  $S$  module structure on  $S$  itself and this is what induces, via tensor product, a functor from  $Mod(S)$  to  $Mod(R)$ . We have seen the corresponding idea with torsors above. Now look at the image, under that functor, of  ${}_S S_S$ , that is  ${}_S S_R$ , i.e. the bimodule itself. Not all functors between  $Mod(R)$  and  $Mod(S)$  are induced by morphisms at the ring level in this way however, but provided we look at equivalences between categories, this bimodule idea allows us to describe the equivalences precisely - and this does go across to the torsor context.

The first essential is to recall the definition of an equivalence of categories. A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  between two categories is an equivalence if there is a functor  $G : \mathcal{D} \rightarrow \mathcal{C}$  and two natural isomorphisms  $\eta : GF \Rightarrow Id_{\mathcal{C}}$  and  $\eta' : FG \Rightarrow Id_{\mathcal{D}}$ . We say  $G$  is *(quasi-)inverse* to  $F$ .

**Proposition 31** *A  $(G, H)$ -bitorsor  $Q$  on  $B$  induces an equivalence*

$$\begin{aligned} Tors(H) &\xrightarrow{\Phi_Q} Tors(G) \\ M &\longmapsto Q \wedge^H M \end{aligned}$$

*between the corresponding categories of left torsors on  $B$ . In addition if  $P$  is a  $(H, K)$ -bitorsor on  $B$  then there is a natural isomorphism of functors*

$$\Phi_{Q \wedge^H P} \cong \Phi_Q \circ \Phi_P,$$

*and, in particular, the equivalence  $\Phi_{Q^\circ}$  is quasi-inverse to  $\Phi_Q$ .*

**Proof:** The last part follows from the statement on composites, which should be clear by construction and, of course,  $T_H \wedge^H Q \cong Q$ , as we saw earlier. This proof is thus just a compilation of earlier ideas - and so will be left to the reader! ■

In fact it is now easy to give a weak version of the torsor Morita theorem.

**Proposition 32** *If*

$$\Phi : Tors(H) \rightarrow Tors(G)$$

*is an equivalence of categories, then there is a  $(G, H)$ -bitorsor,  $Q$ , which itself induces such an equivalence.*

**Proof:** We will limit ourselves to pointing out that we can take  $Q = \Phi(T_H)$ . This inherits its right  $H$ -action from the right action of  $H$  on  $T_H$ . (You should check that it is a right  $H$ -torsor for this action.) ■

It is, in fact, the case that  $\Phi$  is equivalent to the equivalence induced by  $Q$ , but this is more relevant in a later context, so will be revisited then.

### 6.4.3 Twisted objects:

Continuing our study of torsors and bitorsors, as such, we should mention the analogue of fibre bundles in this context.

Let  $P$  be a left  $G$ -torsor on  $B$  and  $E$  a space over  $B$  on which  $G$  acts on the right. We can again use the contracted product construction to form  $E^P := E \wedge^G P$  over  $B$ . In this context we call  $E^P$  the  $P$ -twisted form of  $E$ .

Choice of a local section  $s$  of  $P$  over an open set  $U$  determines an isomorphism  $\varphi_P : E|_U^P \cong E|_U$ , so  $E^P$  is locally isomorphic to  $E$ . (Beware, especially if you are used to the case where  $E$  is a product space over  $B$ , so  $E = F \times B$ , say. In that case  $E^P$  is locally trivial in a very strong sense, but this need not be so in general).

Suppose  $E_1$  is now a space over  $B$  and there is an open cover  $\mathcal{U}$  of  $B$  over which  $E_1$  is locally isomorphic to  $E$ , then the sheaf or bundle  $Isom_B(E_1, E)$  is a left torsor on  $B$  for the action of the bundle of groups  $G := Aut_B(E)$ . This gives us a  $G$ -torsor and a space  $E$  on which  $G$  acts on the right.

These two constructions, are inverse to each other.

In particular, if we are given  $G$  and have a second bundle of groups,  $H$ , on  $B$ , which is locally isomorphic to  $G$ , then  $P := Isom_B(H, G)$  is a  $Aut_B(G)$ -torsor. It is worth pausing to think out the components of this fact. The object  $Isom_B(H, G)$  exists, as before, because of the Cartesian closed assumption about our categories of bundles over  $B$ , (e.g. if we are interpreting bundles as sheaves,  $Isom_B(H, G)$  is a subsheaf of the function sheaf,  $Sh(B)(H, G)$ , but although it would always have an action of  $Aut_B(G)$ , we need the ‘ $H$  is locally isomorphic to  $G$ ’ condition to ensure the existence of local sections and hence to ensure it is a  $Aut_B(G)$ -torsor).

Look now at  $G \wedge^{Aut(G)} P$  and the map

$$G \wedge^{Aut(G)} P \rightarrow H$$

$$(g, u) \mapsto u^{-1}(g).$$

(We make  $Aut_B(G)$  act on the right of  $G$ , via the obvious left action.) This map is an isomorphism and so  $H$  is the  $P$ -twisted form of  $G$  for this right  $Aut_B(G)$ -action.

On the other hand, if  $G$  is a bundle of groups on  $B$  and  $P$  is a left  $G$ -torsor,  $H := G \wedge^{Aut(G)} P$  is bundle of groups on  $B$  locally isomorphic to  $G$  and this identifies  $P$  with the left  $Aut_B(G)$ -torsor,  $Isom_B(H, G)$ .

This provides a torsor’s-eye-view of our examples on fibre bundles given in section 6.1, (Case study, page 113). We will sketch in a few more details:

A vector bundle,  $V$ , of rank  $n$  on  $B$  is locally isomorphic to  $\mathbb{R}_B^n := \mathbb{R}^n \times B$ . The group of automorphisms of this is the trivial bundle of groups,  $Gl(n, \mathbb{R})_B := Gl(n, \mathbb{R}) \times B$ . The left  $Gl(n, \mathbb{R})_B$ -torsor on  $B$  associated to  $V$  is  $Isom(V, \mathbb{R}_B^n)$  and this is just the *frame bundle*,  $P_V$ , of  $V$ . The vector bundle  $V$  is a bundle of groups, so the above discussion applies showing it to be the  $P_V$ -twist of  $\mathbb{R}_B^n$ . Conversely for any  $Gl(n, \mathbb{R})_B$ -torsor  $P$  on  $B$ , the twisted object  $V = \mathbb{R}_B^n \wedge^{Gl(n, \mathbb{R})_B} P$  is the rank  $n$  vector bundle associated to  $P$  and its frame bundle  $P_V$  is canonically isomorphic to  $P$ . (If you have not explored vector bundles and differential manifolds, a brief excursion into that area may be well worth, while as it reinforces the geometric origins and intuitions behind this area of cohomology.)

### 6.4.4 Cohomology and Bitorsors

Earlier, (page 128), we saw how local sections,  $s$ , of a torsor,  $P$ , over an open cover,  $\mathcal{U}$ , led to ‘transitions maps’, or ‘cocycles’  $g_{ij} : U_{ij} \rightarrow G$ , on the intersections. Changing local sections to  $s'_i : U_i \rightarrow P$ ,  $s'_i = g_i s_i$ , we have the corresponding cocycles  $g'_{ij}$  are related via the coboundary relation

$$g'_{ij} = g_i g_{ij} g_j^{-1},$$

to the earlier ones. This led to the set of equivalence classes,  $H^1(\mathcal{U}, G)$  and eventually to the cohomology set  $\check{H}^1(B, G)$ , which classified isomorphism classes of  $G$ -torsors on  $B$ .

What would be the additional structure available if  $P$  was a  $(G, H)$ -bitorsor? The family of local sections  $s_i : U_i \rightarrow P$  then would also determine a family of local isomorphisms  $u_i : H_{U_i} \rightarrow G_{U_i}$ , where

$$u_i(h)s_i = s_i \cdot h.$$

**Remark:** This formula needs a bit of thought. That  $u_i$  is a bijection is clear, as it follows from the fact that  $P$  is a  $G$ -torsor, but that it is a homomorphism needs a bit more care. The defining equation is specifically using the local section  $s_i$  so, for instance, on a more general element  $g \cdot s_i$  we have to extend the formula using  $G$ -equivariance, (remember the two actions are independent), so  $(g \cdot s_i) \cdot h = g \cdot u_i(h) \cdot s_i$ . In particular, if  $h_1$  and  $h_2$  are two local sections of  $H$  over  $U_i$ , then  $s_i \cdot (h_1 h_2) = u_i(h_1) \cdot s_i \cdot h_2 = u_i(h_1) u_i(h_2) \cdot s_i$ , so  $u_i(h_1 h_2)$  does equal  $u_i(h_1) u_i(h_2)$ .

Over an intersection  $U_{ij}$  of the cover,  $s_i = g_{ij} s_j$ , so

$$u_i = i_{g_{ij}} u_j$$

with as usual,  $i$  the inner automorphism homomorphism from  $G$  to  $\text{Aut}_B(G)$ , sending  $g$  to  $i_g$ . The  $(u_i, g_{ij})$  therefore satisfy the *cocycle conditions*

$$g_{ik} = g_{ij} g_{jk}$$

and

$$u_i = i_{g_{ij}} u_j.$$

Changing the local sections to  $s'_i = g_i s_i$  in the usual way determines coboundary relations

$$g'_{ij} = g_i g_{ij} g_j^{-1}$$

and

$$u'_i = i_{g_i} u_i.$$

Isomorphism classes of  $(G, H)$ -bitorsors on  $B$  with given local trivialisation over  $\mathcal{U}$ , thus are classified by the set of equivalence classes of such cocycle pairs  $(g_{ij}, u_i)$  modulo coboundaries. In the most important case of  $G$ -bitorsors, the  $u_i$  are locally defined automorphisms of the  $G_{U_i}$  and so are local sections of  $\text{Aut}(G)$ .

We thus have from a  $G$ -bitorsor,  $P$ , a fairly simple way to get a piece of descent data  $\{(g_{ij}, u_i)\}$ , with the right sort of credentials to hope for a ‘reconstruction’ process. We needed  $P$  to trivialise over the open cover  $\mathcal{U} = \{U_i\}$  and then to choose local sections  $s_i : U_i \rightarrow P$ . This gave  $\{g_{ij} : U_{ij} \rightarrow G\}$  and  $\{u_i : U_i \rightarrow \text{Aut}(G)\}$ , so let us start off with these and see how much of  $P$ ’s structure we can retrieve.

Putting aside the  $u_i$ s for the moment, we have a  $G$ -valued cocycle,  $\{g_{ij}\}$ , and we already have seen how to build a  $G$ -torsor from that information. Recall we take

$$P = \bigsqcup_i G(U_i) / \sim,$$

where  $(g, i) \sim (gg_{ij}, j)$ . (The basic relation is really that  $(1_{U_i}, i) \sim (g_{ij}, j)$  with the left translation  $G(U_{ij})$ -action giving the more general form.) We thus have a lot of the structure already available. We are left to obtain a right  $G$ -action, which has to be ‘independent’ of the left action, i.e. to commute with it as in the first definition of this section. (To avoid confusion between the two actions, we will pass to the  $(G, H)$ -bitorsor case so  $u_i : U_i \rightarrow \text{Isom}(H, G)$ , and will denote local elements that act on the right by  $h_i$ , whilst any acting on the left by  $g_i$ .)

In our ‘reconstructed’  $P$ , there is clearly a natural choice for a local section over  $U_i$ , namely the equivalence class of the identity element  $1_{U_i} \in G(U_i)$ , or, more exactly of  $(1_{U_i}, i)$ . Then we could define

$$[g, i].h := [g.u_i(h), i].$$

It is clear that this is a right action, since  $u_i$  is a homomorphism and that it does not interfere with the left  $G(U_i)$ -action, which is  $g'[g, i] = [g'g, i]$ . Of course, we have to check compatibility with the equivalence relation, and that is exactly what is needed for checking that it works on adjacent patches / open sets of the cover. The key case is to work with a local section  $h$  of  $G$  over an open set,  $U$ , and examine what  $h$  does on patches  $U_i, U_j$  and their intersection. (Of course, this presupposes that we are intersecting  $U_i$  etc. with  $U$ , i.e. that we are effectively working with an open cover of  $U$  itself.)

We know how the  $U_i$  are related over the different patches, namely

$$u_i = i_{g_{ij}} u_j,$$

which on our local element  $h$  gives

$$u_i(h) = g_{ij} u_j(h) g_{ij}^{-1}.$$

As  $h$  is defined on  $U$ , the restrictions to the various  $U_i$  form a compatible family, (i.e. we do not need to worry about transitions for  $h$  in formulae), so

$$[g, i].h = [g u_i(h), i] = [g.u_i(h) g_{ij}, j],$$

on the one hand, and also

$$[g.g_{ij}, j].h = [g g_{ij} u_j(h), j].$$

The earlier identity shows that

$$u_i(h) g_{ij} = g_{ij} u_j(h),$$

so these are the same local element of  $P$  over  $U_{ij}$ .

The  $u_i$  were introduced as the way to link local right and left actions,

$$u_i(h).s_i = s_i.h.$$

They also have an interpretation if we seek to study when a given left  $G$ -torsor,  $P$ , has an additional  $G$ -bitorsor, or more generally, a  $(G, H)$ -bitorsor structure. The cocycle rules linking the  $u_i$  with the

$g_{ij}$  involve the group homomorphism  $i : G \rightarrow \text{Aut}(G)$ . The  $g_{ij}$  part of the cocycle family only uses the left  $G$ -torsor structure on  $P$ . It is perhaps only because of ‘natural curiosity’, but it does seem natural to look at the  $\text{Aut}(G)$ -torsor  $i_*(P)$ . Our earlier calculations show that suitable cocycles for this are given by  $\{i(g_{ij})\} = \{i_{g_{ij}}\}$ , but the  $u_i$  now look very like a coboundary! In fact that key equation,  $u_i = i_{g_{ij}}u_j$ , can obviously be rewritten as

$$i_{g_{ij}} = u_i u_j^{-1},$$

or

$$i_{g_{ij}} = u_i \cdot 1 \cdot u_j^{-1},$$

so the class of  $\{i_{g_{ij}}\}$  is ‘cohomologically null’, i.e. equivalent to 1 modulo coboundaries. In other words,  $i_*(P) \cong T_{\text{Aut}(G)}$ .

Conversely, if we have  $P$  and hence its cocycle representation, *and* a 0-cocycle trivialising  $i_*(P)$ , so  $\{i_{g_{ij}}\}$  is a coboundary,

$$\{i_{g_{ij}}\} = \alpha_i \alpha_j^{-1},$$

then taking  $u_i = \alpha_i$ , we have a cocycle pair,  $(g_{ij}, u_i)$ , giving  $P$  a  $G$ -bitorsor structure.

We clearly should look at this from the viewpoint of contracted products as they have a clearer *geometric* interpretation. The  $\text{Aut}(G)$ -torsor  $i_*(P)$ , has a description as  $\text{Aut}(G)_i \wedge^G P$ , thus, by quotienting  $\text{Aut}(G) \times P$  by the equivalence relation

$$(\alpha \cdot g \cdot p) \sim (\alpha \circ i(g), p).$$

The fact that  $i_*(P)$  is locally trivial was given by the local sections induced by those  $s_i : U_i \rightarrow P$  for  $P$ , namely

$$[(1, s_i)] : U_i \rightarrow \text{Aut}(G)_i \wedge^G P.$$

(Note this formulation is different from that in Breen, [17], as he uses the opposite group  $\text{Aut}^o(G)$  and  $i'$ , but we can avoid that extra complication for our purposes here, since we really only need  $\alpha = 1$  in the above.)

We can compare these local sections on overlaps  $U_{ij}$ ,

$$(1, s_i) \sim (1, g_{ij}s_j) \sim (i_{g_{ij}}, s_j) \sim (u_i u_j^{-1}),$$

but now our local sections  $[(1, s_i)]$  are equivalent to others  $t_i = [(u_i^{-1}, s_i)]$ , which agree on overlaps

$$t_i = [(u_i^{-1}, s_i)] = [(u_i^{-1} u_i u_j^{-1}, s_i)] = t_j$$

over  $U_{ij}$ . These  $t_i$  thus form a global section for  $i_*(P)$ , which is hence the trivial torsor, up to isomorphism.

Reversing the argument, a global section of  $i_*(P)$ , together with the structural cocycle  $\{g_{ij}\}$  for  $P$  gives a  $G$ -bitorsor structure on  $P$ . (We will return to this in more generality a bit later.)

### 6.4.5 Bitorsors, a simplicial view.

Pausing in our development, let us return to the simplicial viewpoint that we adopted earlier. The cover  $\mathcal{U}$  gives a sheaf/bundle

$$p : E = \sqcup \mathcal{U} \rightarrow B$$

and by repeated pullbacks, we get a simplicial sheaf/bundle

$$N(\mathcal{U}) \rightarrow B.$$

The cocycle  $\{(u_i, g_{ij})\}$  consists of a family  $\{u_i\}$  giving a morphism,

$$\mathbf{g}_0 : N(\mathcal{U})_0 = \sqcup \mathcal{U} \rightarrow \text{Aut}(G),$$

together with a second family

$$\mathbf{g}_1 : N(\mathcal{U})_1 \rightarrow G \times \text{Aut}(G).$$

This second piece of data is not quite as obvious as it might seem. The earlier model of the crossed view of group extensions used the crossed module  $\text{Aut}(G) = (G, \text{Aut}(G), i)$  directly. Here we are using the  $\text{cat}^1$ -group / gr-groupoid / 2-group analogue, which can also be thought of simplicially as in our discussion of algebraic 2-types, page 69. Recall the face maps

$$d_i : G \times \text{Aut}(G) \rightarrow \text{Aut}(G), \quad i = 0, 1,$$

are given by

$$\begin{aligned} d_1(g, \alpha) &= \alpha, \\ d_0(g, \alpha) &= i_g \circ \alpha \end{aligned}$$

and the degeneracy is

$$s_0(\alpha) = (1_G, \alpha).$$

The maps  $\mathbf{g}_0, \mathbf{g}_1$  are to be hoped to be a part of a simplicial map from the simplicial sheaf  $N(\mathcal{U})$  to the sheaf of simplicial groups,  $K(\text{Aut}(G))$ , and to check that this is indeed the case, we need to recall that ‘bundle-wise’ the elements of  $\sqcup \mathcal{U} = N(\mathcal{U})_0$  can usefully be thought of as pairs  $(x, U)$ , where  $U \in \mathcal{U}$  and  $x \in U$ . Of course, the projection maps  $p$  sends  $(x, U)$  to  $x$  itself. The 1-simplices of  $N(\mathcal{U})$  therefore are given by triples  $(x, U_0, U_1)$  with  $x \in U_0 \cap U_1$ , so the corresponding face and degeneracy maps are

$$\begin{aligned} d_1(x, U_0, U_1) &= (x, U_0), \\ d_0(x, U_0, U_1) &= (x, U_1), \\ s_0(x, U) &= (x, U, U). \end{aligned}$$

We can thus see what this  $\mathbf{g}$  must satisfy. We write  $\mathbf{g}_1 = (g, \alpha)$  as before, and will try to identify what  $g$  and  $\alpha$  must be. We have, then,

- $d_1 \mathbf{g}_1 = \mathbf{g}_0 d_1$  means  $\alpha = u|_{U_0} =: u_0$ ;
- $d_0 \mathbf{g}_1 = \mathbf{g}_0 d_0$  means  $i_g u_0 = u|_{U_1} = u_1$ ;
- $s_0 \mathbf{g}_0 = \mathbf{g}_1 s_0$  is a normalisation condition, which will make more sense when the first two conditions have been explored in more detail.

The obvious way to build  $\mathbf{g}_1$ , i.e.,  $g$  itself, is thus to take

$$\mathbf{g}(x, U_0, U_1) = (g_{10}(x), u_0(x)),$$



and to require that  $g_{ii}$  is  $1_G$  restricted to  $U_{ii} = U_i \cap U_i$  for the normalisation.

To continue our simplicial description, we should look at triple intersections, i.e.  $N(\mathcal{U})_2$ , and the corresponding  $K(\text{Aut}(G))_2$ . The points of  $N(\mathcal{U})_2$  are, of course, represented by symbols such as  $(x, U_0, U_1, U_2)$ , whilst those of  $K(\text{Aut}(G))_2$  above the point  $x$ , are of form  $(g_2, g_1, \alpha)(x)$ . The face maps of  $N(\mathcal{U})$  are the obvious ones,  $d_2(x, U_0, U_1, U_2) = (x, U_0, U_1)$ , and so on, whilst

$$\begin{aligned} d_2(g_2, g_1, \alpha) &= (g_1, \alpha), \\ d_1(g_2, g_1, \alpha) &= (g_2 g_1, \alpha) \\ d_0(g_2, g_1, \alpha) &= (g_2, i_{g_1} \alpha). \end{aligned}$$

with the  $s_i$  inserting an identity in the appropriate place. (Of course, all these  $g_i$ , etc. are ‘local elements’, so are really local sections, and our formulae would have, over a given  $x$ , the values  $g_2(x)$ , etc., as above.)

We want  $\mathbf{g}$  to be a simplicial morphism, so on 2-simplices we expect, for  $(x, U_0, U_1, U_2)$ ,

$$d_2 \mathbf{g}_2 = \mathbf{g}_1 d_2,$$

etc., i.e. if  $\mathbf{g}_2(x, U_0, U_1, U_2) = (g_2, g_1, \alpha)(x)$ , the  $d_2$ -face  $(g_1, \alpha)(x) = (g_{10}(x), u_0(x))$ , so  $g_1 = g_{10}$ ,  $\alpha = u_0$ , and then the  $d_0$  face gives  $g_2 = g_{21}$ . Finally the  $d_1$ -face gives

$$g_2 g_1 = g_{20},$$

so this gives us the cocycle condition

$$g_{21} g_{10} = g_{20}$$

over  $U_{012}$ .

The other simplicial morphism rules give compatibility with degeneracies, but using simplicial identities these then give that  $g_{01} = g_{10}^{-1}$ , i.e. again a normalisation condition.

We thus have

- (i) the bundle of crossed modules  $\text{Aut}(G)$  given by  $(G, \text{Aut}(G), i)$ ;
- (ii) the corresponding bundle of simplicial groups,  $K(\text{Aut}(G))$ ;
- (iii) the bundle / sheaf of simplicial sets,  $N(\mathcal{U})$ ;  
and
- (iv) our local cocycle description of our bitorsor,  $P$ ,

giving, it would seem, a simplicial map

$$\mathbf{g} : N(\mathcal{U}) \rightarrow K(\text{Aut}(G)).$$

Conversely such a simplicial map gives a cocycle (for you to check).

(Here we are abusing notation slightly, since the domain of  $\mathbf{g}$  is a bundle of simplicial sets, whilst the right hand side is the underlying simplicial set bundle of the simplicial group bundle, not that simplicial group bundle itself, however we have not shown that in the notation.)

Continuing with this quite detailed look at the ‘cocycles for bitorsors’ context, we clearly have next to look at the ‘change of local sections’ from this simplicial viewpoint.

Suppose we change to local sections,  $s'_i = g_i s_i$ , so, as before, get

$$g'_{ij} = g_i g_{ij} g_j^{-1}$$

and

$$u'_i = i_{g_i} u_i.$$

If we are describing cocycles as simplicial maps, then fairly naturally, we might hope that the equivalence relation coming from coboundaries, as here, was something like homotopy of simplicial maps. We can see immediately that this looks to be not that stupid an idea, by looking at the base of the corresponding simplicial objects.

$$\begin{array}{ccccc} \begin{array}{c} \rightrightarrows \\ \rightrightarrows \\ \rightrightarrows \end{array} G^{(2)} \times \text{Aut}(G) & \begin{array}{c} \rightrightarrows \\ \rightrightarrows \\ \rightrightarrows \end{array} & G \times \text{Aut}(G) & \begin{array}{c} \rightrightarrows \\ \rightrightarrows \\ \rightrightarrows \end{array} & \text{Aut}(G) \\ & & \begin{array}{c} \uparrow \uparrow \\ g_2 \uparrow \uparrow g'_2 \\ \uparrow \uparrow \\ g_1 \uparrow \uparrow g'_1 \\ \uparrow \uparrow \\ g_0 \uparrow \uparrow g'_0 \end{array} & & \\ \begin{array}{c} \rightrightarrows \\ \rightrightarrows \\ \rightrightarrows \end{array} N(\mathcal{U})_2 & \begin{array}{c} \rightrightarrows \\ \rightrightarrows \\ \rightrightarrows \end{array} & N(\mathcal{U})_1 & \begin{array}{c} \rightrightarrows \\ \rightrightarrows \\ \rightrightarrows \end{array} & N(\mathcal{U})_0 \end{array}$$

then we would expect that a homotopy between  $\mathbf{g}$  and  $\mathbf{g}'$  would pick out, for each  $(x, U_0)$  in  $N(\mathcal{U})_0$ , an element  $(g, \alpha) \in G \times \text{Aut}(G)$  with  $g = d_1(g, \alpha) = g_0$ ,  $d_0(g, \alpha) = g'_0$ , i.e.,  $\alpha = u_0$  and  $g'_0 = u'_0 = i_{g_0} \circ u_0$ , exactly as needed. To see if this works in higher dimensions, we need to glance at simplicial homotopies. We will take a fairly naïve view of them to start with.

Given  $f, g : K \rightarrow L$ , two morphisms of simplicial sets, a simplicial homotopy from  $f$  to  $g$  is, of course, a map

$$h : K \times \Delta[1] \rightarrow L$$

such that if  $e_0 : \Delta[0] \rightarrow \Delta[1]$  is the 0-end of  $\Delta[1]$ , (so is actually represented by the  $d_1$  face - beware of confusion) and  $e_1 : \Delta[0] \rightarrow \Delta[1]$ , gives the 1-end, then

$$f = h \circ (K \times e_0),$$

$$g = h \circ (K \times e_1).$$

(More on such cylinder based homotopies in abstract settings can be found in Kamps and Porter, [69].)

This is the neat geometric way of picturing simplicial homotopies. There is an alternative ‘combinatorial’ way that is also very useful (see [69], p.184-186, for a discussion - but not for the formulae which were left as an exercise!) This gives  $h$  being specified by a family of maps,

$$h_i^n : K_n \rightarrow L_{n+1},$$

indexed by  $n = 0, 1, \dots$ , and  $i$  with  $0 \leq i \leq n$ , and satisfying some face and degeneracy relations that we will give later on. For the moment we will only need to use these in low dimensions, so imagine the lowest dimension  $h_0^0 : K_0 \rightarrow L_1$ . For each vertex,  $k_0$ , we get an edge / 1-simplex in  $L_1$  joining  $f_0(k_0)$  and  $g_0(k_0)$ . Now if  $k_1 \in K_1$ , we expect a square in  $K \times \Delta[1]$  looking like

$$\begin{array}{ccc} & \xrightarrow{(k_1,1)} & \\ (d_1 k_1, \iota) \uparrow \tau_0 & \nearrow & \uparrow (d_0 k_1, \iota) \\ & \xrightarrow{(k_1,0)} & \end{array}$$

with  $\iota \in \Delta[1]_1$ , the unique non-degenerate 1-simplex, corresponding to  $id : [1] \rightarrow [1]$ . The homotopy  $h$  has to thus give two 2-simplices of  $L$ . These will be  $h_0^1(k_1) := h(\tau_0)$  and  $h_1^1(k_1) := h(\tau_1)$  respectively. We first note that  $d_1\tau_0 = d_1\tau_1$ , so

$$d_1h_0^1 = d_1h_1^1.$$

Likewise the geometric picture tells us that  $d_2h_1^1 = f_1$  and  $d_0h_0^1 = g_1$  and finally that  $d_0h_0^1 = h_0^0d_0$ , whilst  $d_2h_1^1 = h_0^0d_1$ .

In our special case of that general square,  $k_1 = (x, U_0, U_1)$  with  $d_0k_1 = (x, U_1)$ ,  $d_1k_1 = (x, U_0)$ , thus our earlier choices should mean the horizontal edges are mapped to

$$\begin{aligned} h((x, U_0, U_1), 0) &= (g_{10}(x), u_0(x)), \\ h((x, U_0, U_1), 1) &= (g'_{10}(x), u'_0(x)), \end{aligned}$$

and the vertical ones,

$$\begin{aligned} h((x, U_1), \iota) &= (g_1(x), u_1(x)), \\ h((x, U_0), \iota) &= (g_0(x), u_0(x)). \end{aligned}$$

They match up as required.

We need to work out  $h_0^1$  and  $h_1^1$ . These will map  $(x, U_0, U_1)$  to 2-simplices of  $K(\text{Aut}(G))$ , i.e. to triples  $(\gamma_2, \gamma_1, \alpha)$ , with  $\gamma_i \in G$  and  $\alpha \in \text{Aut}(G)$ . First we look at  $h_0^1(x, U_0, U_1)$  and the faces we know of it.

Let  $h_0^1(x, U_0, U_1) = (\gamma_2, \gamma_1, \alpha)$ , then the two descriptions of  $d_2h_0^1$  give

$$(g_{10}(x), u_0(x)) = (\gamma_1, \alpha),$$

whilst for  $d_0h_0^1$ , we have

$$(g_1(x), u_1(x)) = (\gamma_2, i_{\gamma_1} \circ \alpha).$$

We thus have  $\gamma_1 = g_{10}(x)$ ,  $\alpha = u_0(x)$  and  $\gamma_2 = g_1(x)$  and we can check back that  $i_{g_{10}}u_0 = u_1$  from earlier calculations. We have  $h_1^1$  completely specified as

$$h_1^1(x, U_0, U_1) = (g_1(x), g_{10}(x), u_0(x)).$$

This gives  $d^1h_1^1(x, U_0, U_1) = (g_1(x)g_{10}(x), u_0(x))$ , which we will need shortly.

We next turn to  $h_0^1(x, U_0, U_1)$  and reset the meaning of  $\gamma_i$  and  $\alpha$ , so this is  $(\gamma_2, \gamma_1, \alpha)$ . We do a similar calculation and this gives

$$h_0^1(x, U_0, U_1) = (g'_{10}(x), g_0(x), u_0(x)).$$

This ‘feels’ right, but we have to check it matches  $h_0^1$  on the diagonal:

$$d_1h_0^1(x, U_0, U_1) = (g'_{10}(x)g_0(x), u_0(x)),$$

but  $g'_{10}(x) = g_1(x)g_{10}(x)g_0(x)^{-1}$ , so this equals  $(g_1(x)g_{10}(x), u_0(x))$ , as hoped.

We have laboriously checked through the calculations of  $(h_0^1, h_1^1)$  to show how well behaved things really are. It is reasonably easy to extend the calculation to all dimensions. What needs to be retained is that  $h$  was completely specified by the coboundary and cocycle data and, conversely, if we were given any homotopy  $h$  between  $\mathbf{g}$  and  $\mathbf{g}'$ , then  $\mathbf{g}$  and  $\mathbf{g}'$  will be equivalent. This suggests

that the simplicial mapping sheaf or bundle  $\mathcal{S}Sh_B(N(\mathcal{U}), K(\text{Aut}(G)))$ , is what is really encoding the data in a neat way. (If you are hazy about simplicial mapping spaces, recall that if  $K$  and  $L$  are simplicial sets  $\underline{\mathcal{S}}(K, L)$  is the simplicial set of simplicial maps and (higher) homotopies, so

$$\underline{\mathcal{S}}(K, L)_n = \mathcal{S}(K \times \Delta[n], L).$$

Using the constant simplicial sheaves,  $\Delta[n]_B$ , to replace the use of the  $\Delta[n]$  gives a similar simplicial enrichment for the category of simplicial sheaves/bundles on  $B$ , but this can be localised to make  $\underline{\mathcal{S}Sh}_B(K, L)$ , a simplicial sheaf as well.)

Earlier we omitted the detailed description of homotopies as families of maps. To complete our picture here, that description will now be useful. We first give it for simplicial sets, so in the very classical setting.

Let  $K$  and  $L$  be simplicial sets, and  $f, g : K \rightarrow L$  two simplicial maps, then a homotopy

$$h : K \times I \rightarrow L$$

between  $f$  and  $g$  can be specified by a family of functions

$$h_i = h_i^n : K_n \rightarrow L_{n+1},$$

satisfying various relations. To understand how these arise, we use some simple notation extending that which we used above.

The non-degenerate  $(n+1)$ -simplices of  $\Delta[n] \times I$  are of form  $(s_j \iota_n, s_j \iota_1)$ , where  $\iota_n \in \Delta[n]_n$  is the unique non-degenerate  $n$ -dimensional simplex corresponding to  $id_{[n]} : [n] \rightarrow [n]$  in the description of  $\Delta[n]$  as  $\mathbf{\Delta}(-, [n])$ ,  $\iota_1$  being similarly specified for  $n = 1$  and where  $s_j$  is the multiple degeneracy corresponding to  $\hat{j} = (0, \dots, \hat{j}, \dots, n)$ , i.e.  $s_n \dots s_0$ , but without  $s_j$ . (Any  $(n+1)$  simplex of  $\Delta[1]$  is given by an increasing map  $[n+1] \rightarrow [1]$ , so can be represented as a string  $(0, \dots, 0, 1, \dots, 1)$ , say with  $j$  zeroes. This will be  $s_j \iota_1$ , since the first  $j$  degeneracies ‘add in’ 0s, whilst those after the  $j+1$ st, that is, after the break, will add in 1s. The simplicial identities give  $s_i s_j = s_j s_{i-1}$  if  $i > j$ , so  $s_j$  has a second useful description as  $(s_{last})^{n-j} (s_0)^j$ .)

For an  $n$ -simplex  $k \in K$ , we denote  $(s_j k, s_j \iota_1)$  by  $\tau_j$ , or more exactly  $\tau_j(k)$  if confusion might arise. We then encode our  $h : K \times I \rightarrow L$  by  $h_j^n(k) = h(\tau_j(k))$ . The homotopy  $h$  is, of course, a simplicial map so, for any  $0 \leq i \leq n+1$ , we have  $d_i h = h d_i$ . These relations translate to give the following rules:

$$\begin{aligned} d_0 h_0 &= g, & d_{n+1} h_n &= f, \\ \left\{ \begin{array}{ll} d_i h_j &= h_{j-1} d_i & \text{for } i < j, \\ d_{j+1} h_{j+1} &= d_{j+1} h_j, \\ d_i h_j &= h_j d_{i-1} & \text{for } i > j+1, \end{array} \right. \end{aligned}$$

and the corresponding degeneracy rules are

$$\begin{aligned} s_i h_j &= h_{j+1}, & i &\leq j, \\ s_i h_j &= h_j s_{i-1}, & i &> j. \end{aligned}$$

Of course, these  $h_j$ s etc. are further indexed by a dimension  $h_j^n$ , so, for instance,  $d_i h_j^n = h_{j-1}^{n-1} d_i$  is the full form of the second line of these.

**Aside:** It is often the case, when considering simplicial objects in a category,  $\mathcal{A}$ , that one can form a ‘tensor’  $X \otimes I$  using a coproduct in each dimension, then one defines a homotopy to be a morphism

$$h : X \otimes I \rightarrow Y.$$

The construction of this ‘tensor’ is : given any simplicial set  $K$ , and a simplicial object  $X$  in  $\mathcal{A}$ , (where  $\mathcal{A}$  has the coproducts we will be using below),

$$(X \otimes K)_n = \bigsqcup_{k \in K_n} X_n(k) \text{ with each } X_n(k) = X_n,$$

i.e. a  $K_n$ -indexed copower of  $X_n$ . Using an element based notation, the usual way of denoting the copy of  $x \in X_n$ , in the  $k$ -indexed copy of  $X_n$  would be  $x \otimes k$  and then face and degeneracy maps are given, in  $X \otimes K$ , by  $d_i(x \otimes k) = d_i x \otimes d_i k$ , etc., i.e. ‘component-wise’. In this setting again  $h : X \otimes \Delta[1] \rightarrow Y$  can be decomposed to give a family  $\{h_j^n : X_n \rightarrow Y_{n+1}\}$ . The same description works if instead of a tensor, we have a cotensor. The setting is that of  $\mathcal{S}$ -enriched categories having enough (finite) limits. Suppose now  $\mathcal{C}$  is  $\mathcal{S}$ -enriched, so for objects  $X, Y \in \mathcal{C}$ , we can form a simplicial set  $\underline{\mathcal{C}}(X, Y)$  of ‘morphisms’ from  $X$  to  $Y$ . A homotopy between  $f, g \in \underline{\mathcal{C}}(X, Y)_0$  will, of course, be a  $-$ simplex  $h \in \underline{\mathcal{C}}(X, Y)_1$  with  $d_1 h = f$ ,  $d_0 h = g$ . If  $\mathcal{C}$  is *cotensored* then, for any simplicial set  $K$ , there is a cotensor  $\bar{\mathcal{C}}(K, Y)$  for each  $Y$  in  $\mathcal{C}$ , such that

$$\mathcal{S}(K, \underline{\mathcal{C}}(X, Y)) \cong \mathcal{C}(X, \bar{\mathcal{C}}(K, Y)).$$

Of particular use is the case  $K = \Delta[1]$ , as a 1-simplex  $h \in \underline{\mathcal{C}}(X, Y)$  can be represented by an element in  $\mathcal{S}(\Delta[1], \underline{\mathcal{C}}(X, Y))$  and thus by an element of  $\mathcal{C}(X, \bar{\mathcal{C}}(\Delta[1], Y))$ . In other words, a homotopy is a morphism

$$h : X \rightarrow \bar{\mathcal{C}}(\Delta[1], Y),$$

so  $\bar{\mathcal{C}}(\Delta[1], Y)$  behaves like a path-space object or cocylinder on  $Y$ . The construction of  $\bar{\mathcal{C}}(K, Y)$  uses limits and can be ‘deconstructed’ to give a family based description of homotopies, just as before. The nice thing about that description is, however, that it makes sense whatever category  $\mathcal{A}$  is as it is merely governed by some small list of identities between composite maps. (For any  $\mathcal{A}$ ,  $\text{Simp.}\mathcal{A}$  is  $\mathcal{S}$ -enriched, so can be taken to be the  $\mathcal{C}$  above; see Kamps and Porter, [69] for a discussion of some of these ideas, in particular on cylinders and cocylinders as a basis for ‘doing’ homotopy theory in some seemingly unlikely places!)

**Remark:** We are heading for a fairly simplicial description of cohomology. A very useful reference at this point is Jack Duskin’s memoir, [49], although that emphasises the Abelian theory only, and also his outline of a higher dimensional descent theory, [50]. From this simplicially based theory, it is then a short journey to give a ‘crossed’ description of the bitorsor based, (and then gerbe based), non-Abelian cohomology.

**Pause:** At this point, it is a good idea to take stock of what we have shown. We have used local sections  $\{s_i\}$  to get cocycles  $\{(g_{ij}, u_i)\}$  and have constructed the beginnings of a simplicial morphism  $\mathbf{g}$  from  $N(\mathcal{U})$  to  $K(\text{Aut}(G))$ . So far we have explicitly given  $\mathbf{g}_n$  for  $n \leq 2$  only, and so should check higher dimensions as well. (Intuitively it would be strange if something came adrift in higher dimensions, since  $\text{Aut}(G)$  ‘is a 2-type’, but we should make certain!) We also have to check our interpretation of homotopies in higher dimensions.

Let us see what  $\mathbf{g}_n : N(\mathcal{U}) \rightarrow K(\text{Aut}(G))$  would have to satisfy. Let

$$\mathbf{g}_n(x, U_0, \dots, U_n) = (g_n, \dots, g_1, \alpha),$$

then

$$\begin{aligned} d_n \mathbf{g}_n(x, U_0, \dots, U_n) &= (g_{n-1}, \dots, g_1, \alpha), \\ d_0 \mathbf{g}_n(x, U_0, \dots, U_n) &= (g_n, \dots, g_2, i_{g_1} \circ \alpha), \\ d_i \mathbf{g}_n(x, U_0, \dots, U_n) &= (g_n, \dots, g_{i+1} g_i, \dots, g_1, \alpha), \end{aligned}$$

for  $0 < i < n$ , so we *can* thus read off  $\mathbf{g}_n$  from a knowledge of its faces! In other words, our intuition was right and  $\mathbf{g}_0$ ,  $\mathbf{g}_1$  and  $\mathbf{g}_2$  determined  $\mathbf{g}_n$  in all dimensions.

A very similar calculation shows that  $\mathbf{h} : N(\mathcal{U}) \times I \rightarrow K(\text{Aut}(G))$  corresponds to the 1-cocycle  $\{g_i\}$  and nothing more.

We thus have established a one-one correspondence between the set of isomorphism classes of  $G$ -bitorsors that trivialise over  $\mathcal{U}$  and the set  $[N(\mathcal{U}), K(\text{Aut}(G))]$  of homotopy classes of simplicial sheaf maps from  $N(\mathcal{U})$  to the underlying simplicial sheaf of the simplicial group  $K(\text{Aut}(G))$ .

We should continue our pause here and make some comments about the overall situation. This set can be interpreted as a type of zeroth non-Abelian hyper-cohomology of  $B$  relative to the cover  $\mathcal{U}$ . It is  $H^0(N(\mathcal{U}), \text{Aut}(G))$ . But what is hyper-cohomology? We will have a brief look at its classical Abelian form below, but note that the coefficients, here, are in a sheaf of crossed modules. We saw earlier a related situation (in section 5.1) where we replaced the crossed module  $\text{Aut}(G)$  by a general one  $\mathbf{Q} = (K, Q, q)$ , when discussing non-Abelian extensions of  $G$  by  $K$  ‘of the type of  $\mathbf{Q}$ ’. We there obtained a cohomology set, there called  $H^2(G, \mathbf{Q})$ , identifiable as  $[C(G), \mathbf{Q}]$ , and the correspondence was obtained by identifying the cocycles as maps of crossed complexes and, as  $C(G)$  is ‘free’, it sufficed to give them on the generating elements, in other words on the analogue of  $N(\mathcal{U})$ .

The reason given for introducing the notion of extension of type  $\mathbf{Q}$  was to obtain functoriality in the coefficients. (Recall that if  $\varphi : G \rightarrow H$  is a homomorphism of groups then it is not clear when there is a morphism of crossed modules from  $\text{Aut}(G)$  to  $\text{Aut}(H)$  which is  $\varphi$  on the ‘top group’.) This also gave a good possibility of a finer classification of *all* extensions of  $G$  b  $H$ , some will be of the type of a particular  $\mathbf{Q}$ , others not.

In our bitorsor situation, the functoriality is once again important, but the second aspect gains an additional geometric significance. A very important part of classical fibre bundle theory relates to the possibility of ‘reducing the group’. For instance, suppose we have a  $n$ -dimensional real manifold,  $X$ , then its tangent bundle is a fibre bundle with each fibre a vector space of dimension  $n$  and with the transition functions taking their values in  $Gl(n, \mathbb{R})$ , i.e., a  $n$ -dimensional vector bundle. (Its associated  $Gl(n, \mathbb{R})_X$ -torsor is, as we saw, the frame bundle.) If  $X$  is at all ‘nice’, we can put a Riemannian metric on it (i.e. additional structure of considerable geometric importance), and this corresponds to showing that our transition functions can be replaced by ones taking values in  $O(n, \mathbb{R})$ , the corresponding group of orthogonal matrices, as these are the ones that preserve the metric/inner product. Note that the tangent bundle naturally has an action by  $\text{Aut}(F)$ , that is the corresponding automorphism group of the fibre,  $F$ . (With our bitorsors, the corresponding acting object is a strict automorphism gr-groupoid, and we have used the corresponding crossed module,  $\text{Aut}(G)$ .)

Other examples would correspond to other subgroups of general linear groups. Foliated structures, systems of partial differential equations, etc. correspond to sub-bundles of bundles of jets on  $X$ . These structures may be on  $X$  itself or on some given fibre bundle  $E \rightarrow X$  over  $X$ . In each case, giving a  $G$ -structure on  $E$ , for a group,  $G$ , which is a subgroup of the natural group of automorphisms, corresponds to ‘reducing’ the  $\text{Aut}(F)$ -torsor to a  $G$ -torsor. Another type of structure corresponds to ‘lifting’ the transition functions from some given  $H$  to a  $G$ , where  $\varphi : G \rightarrow H$  is a nice epimorphism. For instance, the special orthogonal group  $SO(n, \mathbb{R})$  for  $n \geq 2$ , has a universal covering group,  $Spin(n) \rightarrow SO(n, \mathbb{R})$ , and extra structure of use for some applications, corresponds to lifting the  $u_{ij} : U_{ij} \rightarrow SO(n, \mathbb{R})$  to take values in  $Spin(n)$ . Of course, this is not always possible. Obstructions may exist to doing it, depending in part on the topological structure of  $X$ .

All these examples were of Lie groups, i.e. groups in the category of differential manifolds, but a similar intuition was central to discussions in the 1960s and 1970s of the relationship between smooth and piecewise linear structures on topological manifolds, in which various simplicial groups of automorphisms were related and the obstructions to lifting transition functions of certain natural simplicial bundles were the key to the problem. Again analogous situations exist in algebraic geometry involving group schemes and their ‘subgroups’. Here, as a group scheme over a fixed base  $\text{Spec}(K)$  is in many ways a bundle of groups, the more general theory of group bundles and change of group bundles, rather than merely change of groups, as such, is what is important here.

It would almost be fair to say that, from a historical perspective, this is one modern interpretation of Klein’s original intuition of what geometry is, i.e. the study of the automorphisms that preserve some ‘structure’. What seems now to be emerging is the relationship between higher level ‘automorphism gadgets’ such as  $\text{Aut}(G)$  and classical invariants such as cohomology and consequently, some appreciation of higher level ‘structure’. Many of the ingredients of the theory are still missing or are merely ‘embryonic’ in the crossed module / 2-group case as yet, but the plan of action is becoming clearer.

Returning to the detail, we therefore consider a sheaf or bundle of crossed modules,  $\mathbf{M} = (C, P, \partial)$  and look at data of the form

$$\mathbf{g} : N(\mathcal{U}) \rightarrow K(\mathbf{M}),$$

so  $g_0(x, U_i) = p_i(x)$  with  $p_i : U_i \rightarrow P$ , a local section of  $P$  over  $U_i$  and  $g_1(x, U_i, U_j) = (c_{ji}(x), p_i(x))$ , where  $c_{ji} : U_{ji} \rightarrow C$  is a local section of  $C$  over the intersection  $U_{ji}$ . These local sections satisfy

$$\partial(c_{ji})p_i = p_j \text{ and } c_{kj}c_{ji} = c_{ki}$$

over the intersections. Corresponding to a change in local sections will be a coboundary rule of the form:

$$c'_{ij} = c_i c_{ij} c_j^{-1},$$

and

$$p'_i = \partial(c_i)p_i,$$

i.e. a homotopy between  $\mathbf{g}$  and  $\mathbf{g}'$ . The equivalence classes will be in  $H^0(N(\mathcal{U}), \mathbf{M})$  and both in this general case and in the particular case of  $\mathbf{M} = \text{Aut}(G)$ , it is natural to pass to the limit over coverings (or if working in a more general Grothendieck topos, over hypercoverings) to get the zeroth Čech hyper-cohomology set with values in  $\mathbf{M}$ , denoted  $\check{H}^0(B, \mathbf{M})$ .

We have  $H^0(N(\mathcal{U}), \mathbf{M}) = [N(\mathcal{U}), K(\mathbf{M})]$ , and it is reasonably safe to think of  $\check{H}^0(B, \mathbf{M})$  in these terms, but, in fact, one really needs to introduce the category  $D(\mathcal{E}) = \text{Ho}(\text{Simp}(\mathcal{E}))$ , obtained by

taking the category of simplicial objects in the topos,  $\mathcal{E}$ , in our simplest case, that of simplicial sheaves on  $B$ , and inverting the ‘quasi-isomorphisms’, i.e. those simplicial maps that induce isomorphisms on all homotopy groups. There are several detailed treatments of this type of construction in the literature - not all completely equivalent - so we will not give another one here!

We could, and later on will, go further. We could replace the crossed module  $M$  by a crossed complex, or, in general, could use a simplicial group  $H$  instead of  $K(M)$ . We will definitely keep this in mind, but just because it could be done, does not mean it needs doing *now*. The problem is that we, as yet, have only an embryonic understanding of the algebraic and geometric properties of the situation with  $M$  a crossed module or bundle / sheaf of such things. Past experience shows that the generalisation and abstraction *will be* worth doing, but we may not yet have the auxiliary concepts and intuitions to interpret what that theory will tell us, nor what are the *significant* new questions to ask and problems to solve. As yet, there are few signposts in that new land!

## 6.5 M-bitorsors?

(The basic references are Breen’s paper [18], (but our conventions are different and so some of the results also look different), and also the papers of Jurčo, in particular, [68].)

What are the objects corresponding to a  $\mathbf{g} : N(\mathcal{U}) \rightarrow K(M)$ ? We saw that this consisted of some local sections

$$p_i : U_i \rightarrow P$$

and others

$$c_{ij} : U_{ij} \rightarrow C$$

satisfying some evident relations, one of which was the cocycle condition

$$c_{kj}c_{ji} = c_{ki}.$$

These  $c_{ji}$  will give us a left  $C$ -torsor,  $E$ , say. We can examine the induced  $P$ -torsor,  $\partial_*(E)$ , and - surprise, surprise - the  $p_i$  part of the cocycle pair,  $\{(c_{ij}, p_i)\}$ , provides a trivialising coboundary, since

$$p_i = \partial(c_{ij})p_j$$

yields

$$\partial(c_{ij}) = p_i p_j^{-1} = p_i \cdot 1 \cdot p_j^{-1}.$$

Conversely suppose we have a  $C$ -torsor,  $E$ , and we know that  $\partial_*(E)$  is trivial, then we can find  $p_i$ s satisfying the above equations and making  $E$  into an  $M$ -bitorsor. If we look back to our motivating case with  $M = \text{Aut}(G)$ , then we can adapt the argument given there (page 143) to get an explicit global section of  $\partial_*(E) = P_\partial \wedge^C E$ , namely, for local sections  $e_i$  of  $E$ , define  $\mathbf{t} = \{t_i\} = \{[p_i^{-1}, e_i]\}$  to get a compatible family and hence a global section,  $t$ , of  $\partial_*(E)$ . This process can be reversed, so from  $t$  and a choice of  $e_i$ , one can obtain  $p_i$ . We will see a neat way of doing this shortly.

What happens if we choose different local sections  $e'_i$  of  $E$ ? These  $e'_i$  will give some  $c_i$ s such that  $e'_i = c_i e_i$ , and also  $p'_i = \partial(c_i)p_i$ , but then

$$[(p'_i)^{-1}, e'_i] = [p_i^{-1} \partial(c_i)^{-1}, c_i e_i] = [p_i^{-1}, e_i],$$

so the global section does not change.



We saw earlier that contracted product gave the category of  $G$ -bitorsors the structure of a group-like monoidal category with inverses, a gr-groupoid. (If  $P$  and  $Q$  are in  $Bitors(G)$ , then  $P \wedge^G Q$  gave the ‘product’, whilst  $P^0$  was ‘inverse’ to  $P$ . Of course, the trivial bitorsor,  $T_G$ , was the identity object.) There is an obvious category of M-bitorsors, which we will denote by  $M\text{-Bitors}$ , (so  $\text{Aut}(G)\text{-Bitors} = \text{Bitors}(G)$ ), does this in general have any similar structure?

Before we attempt to answer that, we should give formal definitions of M-bitorsors, etc, as a base reference:

**Definition:** Let  $M = (C, P, \partial)$  be a bundle or sheaf of crossed modules over a space  $B$ , (or more generally a crossed module in a topos  $\mathcal{E}$ ). By a M-bitorsor, we mean a left  $C$ -torsor together with a global section  $t$  of  $\partial_*(E)$ .

A morphism of M-bitorsors,  $f : (E, t) \rightarrow (E', t')$ , is a  $C$ -torsor morphism,  $f : E \rightarrow E'$ , such that

$$\begin{array}{ccc} \partial_*(E) & \xrightarrow{\partial_*(f)} & \partial_*(E') \\ & \swarrow t & \nearrow t' \\ & B & \end{array}$$

commutes.

At this point, we need to revisit an old intuition that we have used several times before, but without which ‘life’ will seem unduly complicated! That intuition is that a principal  $G$ -set is a copy of  $G$  with an ‘identity crisis’. In more detail, in situations such as that of universal covering spaces,  $E$  over a space  $B$ , the fibre is a copy of  $\pi_1(B)$ , but without a definite element being chosen as the identity. The natural path lifting property of covering spaces gives that any loop  $\gamma$  at a chosen base-point  $b_0$  in  $B$  will lift uniquely to a path in the covering space, once a start point  $e_0$  above  $b_0$  has been chosen. If you choose a different start point  $e'_0$ , you, of course, get a different lifted path. The end point of the lifted path will give the image of  $e_0$  under the action of the path class  $[\gamma] \in \pi_1(B)$ . Thus once  $e_0$  is chosen  $p^{-1}(b_0) = E_{b_0}$  can be mapped bijectively to  $\pi_1(B)$ . (Remember we did say  $E$  was a *universal* covering space.) Under this bijection, the identity element of  $\pi_1(B)$  corresponds to  $e_0$ , but our alternative choice,  $e'_0$ , will give a bijection with  $e'_0$  itself corresponding to  $1_{\pi_1(B)}$ . There is no canonical choice of start point in  $E_{b_0}$ , so no definitive identification of  $E_{b_0}$  with  $\pi_1(B)$ .

For a  $G$ -bitorsor, with a local section  $e_i : U_i \rightarrow E$ , we have essentially the same situation. The left and right  $G$ -actions are globally independent and yet are locally linked by the  $u_i : GU_i \rightarrow GU_i$ . To use these it *is* necessary to use the  $e_i$  to temporarily pick a ‘start point’ in each fibre of  $E$ . Thus

$$u_i(g).e_i = e_i.g$$

interprets as both the definition of  $u_i$  given the right action *and* conversely, given the  $u_i$ , as a defining equation of a right action. This does need to be spelt out again: given any local element  $x$  of  $E$  over  $U_i$ , it has the form  $x = g'e_i$  for some local element  $g'$  of  $G$ . Suppose we now operate with  $g$  on the right of  $x$ , then we get

$$x.g = g'e_i.g = g'u_i(g)e_i.$$

(This is very analogous to defining a linear transformation between vector spaces by transforming the elements of a chosen basis and then ‘extending linearly’. Here we extend  $G$ -equivariantly for the *left* action, having transformed the ‘basic’ element  $e_i$  to  $e_i.g$ .)

The key transition equation for the  $u_i$ s was

$$u'_i = i_{g_i} \circ u_i$$

emphasises this viewpoint. We changed  $e_i$  to  $e'_i$  using  $g_i$ , so  $e'_i = g_i e_i$ , but then, for right action by  $g$ ,

$$e'_i g = u'_i(g) e'_i = u'_i(g) g_i e_i,$$

whilst also

$$e'_i g = g_i e_i g = g_i u_i(g) \cdot e_i,$$

giving the transition equation in the form  $g_i u_i(g) = u'_i(g) g_i$ .

We now need to translate this into a tool that can be used for M-bitorsors. The plan of action is to show that any M-bitorsor,  $E$ , has a natural  $C$ -bitorsor structure and for this we have to use  $t : B \rightarrow \partial_*(E)$  to obtain a right  $C$ -action on  $E$ . In Lemma 13, (page 125), we saw how to go from a global section of a torsor to an identification of it as an ‘identity-less’ copy of the group bundle. We thus have that  $t$  allows us to identify  $\partial_*(E)$  with  $T_P$ , i.e. with  $P$  itself (as left  $P$ -torsor). We can unpack the recipe in Lemma 13, (but beware the change of notation,  $P$  is here the basic group of our crossed module  $M$ , but was the torsor in that earlier discussion). Any local element of  $\partial_*(E)$  over some  $U_i$  is of form  $[p, e]$ , with  $p$  a local section of  $P$  over  $U_i$  and  $e$  a local section of  $E$ , again over  $U_i$ . We can get from  $t$  an expression  $[p, e] = p' \cdot t$  for some  $p'$  defined over  $U_i$ . Using the structural map of  $\partial_*(E)$  as a  $P$ -torsor, we get

$$\partial_*(E) \xrightarrow{(t\pi, id)} \partial_*(E) \times \partial_*(E) \xrightarrow{\cong} P \times_B \partial_*(E) \xrightarrow{proj} P,$$

which, from  $[p, e]$  gives the  $p'$ . (Recalling that, given  $e_i$ , the unadjusted choice of local sections is  $[1, e_i]$ , then this process picks out the corresponding  $p_i$ , so that  $t = [p_i^{-1}, e_i]$ .) Thus from  $t$ , we get a map from  $\partial_*(E)$  to  $P$ .

In this ‘game’, it pays to go back-and-fore between the different descriptions and to revisit the special case,  $M = \text{Aut}(G)$ , for guidance, and hopefully, inspiration. Our key equation defining the  $u_i$  was  $u_i(g)e_i = e_i \cdot g$ . In our general case of  $M = (C, P, \partial)$ , the rôle of the  $u_i$  is taken by the local elements  $p_i$ , which act on  $C$  (since, recall, that is part of the crossed module structure) and the corresponding equation would be

$$p_i c \cdot e_i = e_i \cdot c,$$

but  $e_i \cdot c$  is not defined, at least not yet! Take this as a definition (and remember our earlier discussion of right actions, and what here would be the  $C$ -equivariant extension), then see if it works!

First let us underline what the equation actually says. An arbitrary local element of  $E_{U_i}$  has form  $e = c_i \cdot e_i$  and the expression for  $e \cdot c$  will be  $c_i \cdot p_i c \cdot e_i$  as the right action has to be left  $C$ -equivariant, now if  $c_1, c_2 \in C_{U_i}$ , then

$$(e_i \cdot c_1) \cdot c_2 = p_i c_1 \cdot e_i \cdot c_2 = p_i c_1 \cdot p_i c_2 \cdot e_i = p_i (c_1 c_2) \cdot e_i = e_i \cdot (c_1 \cdot c_2),$$

so it does define an action, at least locally. Next we have to check on intersections. Supposing that  $p_i$  on  $U_i$  and  $p_j$  on  $U_j$  satisfy  $p_j = \partial(c_{ji})p_i$ , where  $e_j = c_{ji}e_i$ , then over  $U_{ij}$ ,

$$e_j \cdot c = c_{ji} e_i \cdot c = c_{ji} p_i c \cdot e_i = c_{ji} p_i c_{ji}^{-1} \cdot e_j$$

and also

$$e_j.c = p_j c.e_j = \partial^{(c_j i)p_i} c.e_j,$$

and the Peiffer rule for crossed modules gives

$$\partial c' = c'c^{-1},$$

so the two local actions patch together neatly. We thus have an action of  $C$  on the right of  $E$ . Is it giving us a right  $C$ -torsor structure on  $C$ . This amounts to asking if locally the equation  $x = yc$  can be solved uniquely for  $c$  in (some) terms of  $x$  and  $y$  over  $U_i$ , but  $x = c'.y$  for a unique  $c'$ , since  $E$  is a left  $C$ -torsor. The obvious element to try for  $c$  is  $p_i^{-1}c'$  - try it! It works. We have proved

**Lemma 20** *If  $(E, t)$  is a M-torsor, then  $E$  is a  $C$ -bitensor.* ■

From another perspective, this is quite clearly due to the natural map from  $M$  to  $\text{Aut}(C)$ , given by the identity on  $C$  and the action map

$$\begin{array}{ccc} C & \xrightarrow{=} & C \\ \downarrow & & \downarrow \\ P & \xrightarrow{\alpha} & \text{Aut}(C) \end{array}$$

We would expect a M-bitorsor to be mapped to a  $\text{Aut}(C)$ -bitorsor via this morphism of crossed modules, so from this viewpoint the lemma may not seem surprising.

A few pages ago, we set out to extend the contracted product to M-bitorsors. Now that we have this lemma, we can, at least, work with a contracted product of the associated  $C$ -bitorsors. In other words, if  $(E_1, t_1), (E_2, t_2)$  are M-bitorsors, then we might tentatively explore a definition of  $(E_1, t_1) \wedge^M (E_2, t_2)$  as being  $(E_1 \wedge^C E_2, t)$  with  $t$  still to be described. Here is a suitable, almost heuristic, approach that tells us we are going in the right direction.

We have  $\partial_*(E) = P_\partial \wedge^C E_1$ , where  $P_\partial$  is the trivial (left)  $P$ -torsor with, in addition, a right  $C$ -action given by  $:$  if  $x \in P_\partial, x = p.t$ , where  $t$  is a global section (fixed for the duration of the calculation), then, for  $c \in C, x.c = p.\partial(c).t$ . Now if  $\partial_*(E)$  is assumed to have a global section, it is easy to show that it is, itself, isomorphic to  $P_\partial$ . Next look at  $(E_1, t_1)$ , and  $(E_2, t_2)$  and let us examine  $\partial_*(E_1 \wedge^C E_2)$ . This is  $P_\partial \wedge^C E_1 \wedge^C E_2 = (P_\partial \wedge^C E_1) \wedge^C E_2 \cong P_\partial \wedge^C E_2$  by the above calculation, using  $t_1$  to trivialise  $(P_\partial \wedge^C E_1)$ , and finally this is trivial using  $t_2$ .

This argument, although valid, merely shows that  $t$  exists. It could be taken apart further to get an explicit formula, but we will, instead, approach that through cocycles. We pick local sections of  $E_1$  and  $E_2$  over the same open cover  $\mathcal{U}$ . These we will denote by  $e_i^1 : U_i \rightarrow E_1, e_i^2 : U_i \rightarrow E_2$ . Given  $t_1$  and  $t_1$ , we get local elements of  $P, p_i^1$  and  $p_i^2$ , so that

$$t_1 = [(p_i^1)^{-1}, e_i^1],$$

and similarly for  $t_2$ . These  $p_i^1$ s are those for the local cocycle description of  $E_1$  as  $(c_{ij}^1, p_i^1)$ , so are the parts of the contracting homotopy on  $\partial_*(E_1)$ , etc.

Now look at  $E_1 \wedge^C E_2$ . The obvious local sections of this would be  $e_i = [e_i^1, e_i^2]$ , and using these we want to work out the corresponding cocycle pair. We need to work out the relationship of  $e_i$  with  $e_j = [e_j^1, e_j^2]$ . We have  $e_i^1 = c_{ij}^1.e_j^1, e_i^2 = c_{ij}^2.e_j^2$ , so

$$\begin{aligned} (e_i^1, e_i^2) &= (c_{ij}^1.e_j^1, c_{ij}^2.e_j^2) \equiv c_{ij}^1.(e_j^1, c_{ij}^2.e_j^2) \\ &= c_{ij}^1.(p_j^1.c_{ij}^2.e_j^1, e_j^2) = c_{ij}^1.p_j^1.c_{ij}^2.(e_j^1, e_j^2), \end{aligned}$$

and we have  $e_i = c_{ij}^1 p_j^1 c_{ij}^2 . e_j$ . This  $C$ -coefficient may look familiar (or not), but before we identify it, we should look for the  $p_i$ s. The obvious ones to try are  $p_i = p_i^1 p_i^2$ , i.e. the product within  $P$  of the two values. We have a  $c_{ij} = c_{ij}^1 . p_j^1 c_{ij}^2$ , so can see if this works for the equation  $p_i = \partial(c_{ij})p_j$ :

$$\begin{aligned} p_i = p_i^1 p_i^2 &= \partial(c_{ij}^1) p_j^1 . \partial(c_{ij}^2) p_j^2 \\ &= \partial(c_{ij}^1) p_j^1 . \partial(c_{ij}^2) (p_j^1)^{-1} p_j^1 p_j^2 = \partial(c_{ij}) p_j. \end{aligned}$$

The simplicial interpretation of the cocycles gave a map from  $N(\mathcal{U})$  to  $K(\mathbf{M})$ , and in dimension 1,  $K(\mathbf{M})$  is  $C \rtimes P$ . The multiplication in this semidirect product is

$$(c_1, p_1) . (c_2, p_2) = (c_1^{p_1} c_2, p_1 p_2).$$

In other words, if  $(E_1, t_1)$  corresponds to a simplicial map  $\mathbf{g}_1 : N(\mathcal{U}) \rightarrow K(\mathbf{M})$  and similarly  $\mathbf{g}_2$  corresponding  $(E_2, t_2)$ , then  $(E_1, t_1) \wedge^{\mathbf{M}} (E_2, t_2)$  is associated to the product  $\mathbf{g}_1 . \mathbf{g}_2$ ,

$$N(\mathcal{U}) \rightarrow K(\mathbf{M}) \times K(\mathbf{M}) \rightarrow K(\mathbf{M}),$$

using the multiplication map of the simplicial group  $K(\mathbf{M})$  corresponding to the crossed module,  $\mathbf{M}$ .

Note that we have not checked certain necessary facts about the  $(c_{ij}, p_j)$ , namely that  $c_{ij} c_{jk} = c_{ik}$  and they transform correctly under change of local sections. The details of these are ‘left to the reader’. They use the crossed module axioms several times. We have proved the following:

**Proposition 33** *Under the identification of  $\pi_0(\mathbf{M}\text{-Bitors})$  and  $\check{H}^0(B, \mathbf{M})$ , the group structure on the first given by the contracted product coincides with that given on the second under the group structure of  $K(\mathbf{M})$ , the associated simplicial group bundle of the bundle of crossed modules,  $\mathbf{M}$ . ■*

**Change of crossed module bundle for bitorsors.** We now have a very thorough knowledge of  $G$ -bitorsors and the more general  $\mathbf{M}$ -bitorsors, via the link with simplicial maps from  $N(\mathcal{U})$  to  $K(\mathbf{M})$ , but, of course, that link makes change of ‘coefficients’ more or less obvious.

First it should be noted, once again that the identification of  $\check{H}^0(B, \text{Aut}(G))$  as a second non-Abelian cohomology group of  $B$  with coefficients in  $G$ , runs foul of non-functoriality in  $G$ , but that this is not due to some subtle deep property of non-Abelian cohomology, rather it is due to the banal failure of  $\text{Aut}(G)$  to be functorial in  $G$ , in other words, to a low level group theoretic fact, low level but in fact fundamental. It is here group theoretic but generally automorphism groups do not vary functorially - and that opens the way to crossed modules.

If  $\varphi : G \rightarrow H$  is a morphism of group bundles, then there may, or may not, be a morphism  $\varphi' : \text{Aut}(G) \rightarrow \text{Aut}(H)$  such that

$$\begin{array}{ccc} G & \xrightarrow{\varphi} & H \\ \downarrow i & & \downarrow i \\ \text{Aut}(G) & \xrightarrow{\varphi'} & \text{Aut}(H) \end{array}$$

is a morphism of crossed modules.

There is an induced morphism on  $\check{H}^0(B, \text{Aut}(G))$  if such a  $\varphi'$  does exist, and, of course, in more generality, if we have that  $\varphi : M \rightarrow N$  is a morphism of crossed modules, then there is an induced homomorphism of groups

$$\varphi_* : \check{H}^0(B, M) \rightarrow \check{H}^0(B, N).$$

(It could happen that two crossed modules of the form  $\text{Aut}(G)$  could be linked by a zig-zag of other crossed modules so that the morphisms in the reverse direction were weak equivalences / quasi-isomorphisms in our earlier sense, and then there would be an induced map between the two  $\check{H}^0(B, \text{Aut}(G))$  groups.)

Exploring the above at a gr-groupoid level, i.e. on  $M$ -Bitors with contracted product, rather than at connected component / cohomology level, we get an induced gr-functor between  $M$ -Bitors and  $N$ -Bitors, since it uses the functor  $K$  from crossed modules to simplicial groups. Explicitly  $\varphi : M \rightarrow N$  induces  $K(\varphi) : K(M) \rightarrow K(N)$ . a morphism of simplicial groups, but then our identification of the contracted product structure on  $M$ -Bitors as being induced from the simplicial group structure of  $K(M)$  immediately implies that  $K(\varphi)$  induces a functor from  $M$ -Bitors to  $N$ -Bitors compatibly with the gr-groupoid structures.

**Representations of crossed modules.** In the classical group based case, the naturally occurring vector bundles such as the tangent and normal bundles had the general linear group of some dimension as the basic  $G$  over which one worked. Extra structure corresponded to restricting to a subgroup or lifting to some ‘covering group’. We recalled earlier, e.g. page 114, that the fibres of the bundles were vector spaces with an action of the chosen group, i.e. a matrix representation of that group. What is, or should be, the representation theory ‘of crossed modules’? There are several tentative answers.

A representation of a (discrete) group  $G$  and thus an action of  $G$  on some object, can be thought of in different ways. For instance, as a group homomorphism  $G \rightarrow H$ , where  $H$  is some group of permutations or matrices in which we can use methods from outside group theory, perhaps combinatorics, perhaps linear algebra, to analyse more deeply the properties of the elements of  $G$ . We could also consider this as a functor from  $G[1]$ , the corresponding groupoid with one object, to *Sets* for the permutation representations, or to some category of vector spaces or modules in the linear case.

The generalisations are to ‘categorify’ this second description by taking  $\mathcal{X}(M)$ , the 2-groupoid with one object (i.e. the 2-group) of  $M$ , and looking for a nice category of ‘2-vector spaces’ or ‘2-modules’. (The permutation version has not been well explored yet.) Some doubt exists as to what is the ‘best’ category of ‘2-vector spaces’ to use, in fact the discussion is really about what that term should mean. We mention two possibilities here, but there may be others. The first is due independently to Forrester-Barker, [57] and to Baez and Crans, [8] The second is based on an idea of Kapranov and Voedvodsky, [72], using more monoidal category theory than we have been assuming.

Here we will adopt the simpler version, more as an illustration than as a claim that this is the ‘correct’ version. The motivation for the definition used by Forrester-Barker and by Baez and Crans is that as crossed modules are category objects in groups for a linear representation theory of such things, it is natural to try category objects in the category of vector spaces, but such objects are equivalent to the short complexes of vector spaces we considered above. The idea is also that some of the potential applications of the structures that we have been studying use chain complexes as coefficients. (We will see this more clearly in the following discussion of hyper-cohomology.)

Keeping things simple, we look at chain complexes of vector spaces (or more generally of modules) of length 1. (Warning: for us here ‘length 1’ means one morphism,  $C_1 \rightarrow C_0$ , not ‘one group’ so our objects are linear transformation between vector spaces and our morphisms are commutative squares.) These are highly Abelian versions of crossed modules, so we will use similar notation such as  $C$ ,  $D$ , etc., for them.)

We recall that chain complexes have a natural ‘internal hom’ construction, well known from classical homological algebra. (We will see this again in our discussion of hyper-cohomology so will treat it in more detail there.) The chain complex  $Ch(C, D)$  has graded maps of degree  $n$  in dimension  $n$ , so for instance has chain homotopies in dimension 1. Putting  $D = C$  and looking at the invertible maps gives an automorphism group,  $\text{Aut}(C)$ , which is also a chain complex of groups, i.e. we get a crossed module. If we have a general (discrete) crossed module  $M$ , we can consider a morphism  $M \rightarrow \text{Aut}(C)$  as a representation of  $M$ , and can talk of  $M$  acting on  $C$  by ‘linear maps’. We will not explore this further here, but note that we are very near the idea of representing a simplicial group as a simplicial group of simplicial automorphisms, somewhat as in section 5.5. At present the available discussions of 2-group representations of this form include the thesis, [57], and papers, [8]. A more extensive use of monoidal category theory would allow us to consider a variant that considers 2-vector spaces to mean a 2-categorical version of the monoidal category of vector spaces. We will not explore that here.

## 6.6 Hyper-cohomology

**Classical Hyper-cohomology.** We have several times mentioned this subject and so should provide some slight introduction to the basic ideas. We will go right back to basics, even though we have already used many of the ideas previously, usually without comment. Most of this first part may be well known to you.

The basic idea is that of a graded group and variants such as graded vector spaces, or graded modules, or sheaves of these on some space,  $X$  or in some topos  $\mathcal{E}$ . A graded vector space, for instance, can be thought of as a vector space  $V$ , over whatever field is being considered, together with a direct sum decomposition  $V = \bigoplus_{i \in \mathbb{Z}} V_i$  for subspaces,  $V_i$ . Of course, it could equally well be defined as a family  $\{V_i\}_{i \in \mathbb{Z}}$  of vector spaces, since we could then form their direct sum and obtain the first version. (The definitions are, pedantically, not completely equivalent as one can have a constant family with all  $V_i$  equal, but that is really a smokescreen and causes no problem.) Both versions are useful. For example, if  $K$  is a simplicial set, we can define a graded vector space using the second version by taking  $V_n$  to be the vector space with basis indexed by the elements of  $K_n$  if  $n \geq 0$  and to be the trivial vector space if  $n < 0$ . From our treatment of simplicial sets, it would be artificial to define  $V = \bigoplus_{i \in \mathbb{Z}} V_i$ . For another example, the other description fits better. The polynomial ring,  $\mathbb{R}[x]$ , is a graded vector space with  $V_n$  having basis  $\{x^n\}$ , i.e.  $V_n$  is the subspace of degree  $n$  monomials over  $\mathbb{R}$ . The whole space  $\mathbb{R}[x]$  is here by far the more natural object.

For graded groups, etc., just substitute ‘group’ etc. for ‘vector space’ and correspondingly, ‘direct product’ for ‘direct sum’.

A morphism  $f : V \rightarrow W$  of graded vector spaces is *homogeneous* if  $f(V_p) \subseteq W_{p+q}$  for all  $p$  and some common  $q$ , called the *degree of  $f$* . The set of such morphisms of given degree is  $\text{Hom}(V, W)_q = \prod_p \text{Hom}(V_p, W_{p+q})$ .

An endomorphism  $d : V \rightarrow V$  of degree -1 is called a *differential* or *boundary* (depending largely on the context) if  $d \circ d = 0$ .

This is really the usual chain complex description with  $d_n : V_n \rightarrow V_{n-1}$  with  $d_{n-1}d_n = 0$ . A graded vector space together with a differential is variously called a *differential graded vector space* (dgvs), or a *chain complex*. Some authors reserve that latter term for a positively graded differential vector space, or module, or .... The elements of  $V_n$  are called *n-chains*, those of  $\text{Ker } d_n$ , *n-cycles*, and those of  $\text{Im } d_{n+1}$ , *n-boundaries*.

A graded vector space  $V$  is positively graded if  $V_i = 0$  for all  $i < 0$ . It is, on the other hand, negatively graded if  $V_i = 0$  for  $i > 0$ . The classical convention is to write  $V^{-n}$  instead of  $V_n$  for all  $n$  in the negatively graded case. This, of course, has the effect that if  $(V, d)$  is a differential graded vector space which is negatively graded, then  $d$  has apparent degree  $+1$ ,  $d^n : V^n \rightarrow V^{n+1}$ . In the usual terminology that will give a *cochain complex*. For some purposes, it is usual to adapt the terminology somewhat, for instance to use chain complex as a synonym for dgvs without mention of positive or negative, but then also to use cochain complex for what is essentially the same type of object, but with ‘upper index’ notation, so  $V = (V^n, d^n)$  with  $d^n : V^n \rightarrow V^{n+1}$ . Terms such as ‘bounded above’, ‘bounded below’ or simply ‘bounded’ are also current where they correspond respectively to  $V_n = 0$  for large positive  $n$ , or large negative  $n$  or both. We will make little use, if any, of these in the context of these notes, but it is a good thing to be aware of the existence of the various conventions and to check before assuming that a given source uses exactly the same one as that which you are used to!

For simplicity of exposition, we will concentrate our attention on general dgvs, which we will call chain complexes and will attempt to be reasonably consistent - although that is virtually impossible! We will extend that terminology to dg-modules and dg-groups if and when needed.

- A *chain map*  $f : V \rightarrow W$  of chain complexes is a graded map of degree 0,  $\{f_n : V_n \rightarrow W_n\}$  compatible with the differentials, so, for all  $n$ ,

$$d_n^W f_n = f_{n-1} d_n^V,$$

and, of course, we will drop the  $V$  and  $W$  superfixes whenever possible.

- A *chain homotopy* between two chain maps  $f, g : V \rightarrow W$  is a graded map of degree 1,  $s : V \rightarrow W$  such that

$$f_n - g_n = d_{n+1} s_n + s_{n-1} d_n.$$

- The *homology* of a chain complex  $(V, d)$  is the graded object

$$H_n(V) = \frac{\text{Ker } d_n}{\text{Im } d_{n+1}}.$$

If we are using upper indices, for whatever reason, the more usual term will be ‘cohomology’,

$$H^n(V^*) = \frac{\text{Ker}(d^n : V^n \rightarrow V^{n+1})}{\text{Im}(d^{n-1} : V^{n-1} \rightarrow V^n)}.$$

This most often occurs in the situation where  $C$  is a chain complex and  $A$  is a vector space / module or similar, then we form  $\text{Hom}(C, A)$ , by applying the functor  $\text{Hom}(-, A)$  to  $C$ . Of course,  $d_n : C_n \rightarrow C_{n-1}$  induces a differential

$$\text{Hom}(C_{n-1}, A) \rightarrow \text{Hom}(C_n, A)$$

and the elements of  $\text{Hom}(C_n, A)$  are called *cochains*, with *cocycles*, and *coboundaries* as the corresponding elements of kernels and images. The notation  $\text{Hom}(C, A)^n$  is used for the object  $\text{Hom}(C_{-n}, A)$ , so this ‘dual’ has negative grading if  $C$  has positive grading, and is given upper indexing. The homology of  $\text{Hom}(C, A)$  is then called the *cohomology of  $C$  with coefficients in  $A$* . (We will try to follow usual terminology as given in standard homological algebra texts, e.g. the classic [77].)

- More generally, if  $C$  and  $D$  are chain complexes (of modules), then we can form the graded Abelian group  $\text{Hom}(C, D)$  with  $\text{Hom}(C, D)_n$  being the Abelian group of graded maps of degree  $n$  from  $C$  to  $D$ . This means, of course,

$$\text{Hom}(C, D)_n = \prod_{p=-\infty}^{\infty} \text{Hom}(C_p, D_{p+n}),$$

as before.

We make this into a chain complex by specifying, for  $f \in \text{Hom}(C, D)_n$ , its ‘boundary’  $\partial f$  by, if  $c \in C_p$ ,

$$(\partial f)_p c = \partial^D(f_p c) + (-1)^{n+1} f_{p-1}(\partial^C c).$$

(In the event that you have not seen this before, check that (i)  $\partial\partial = 0$ , (ii) if  $f$  is of degree 0, then it is a chain map if and only if  $\partial f = 0$  and (iii) a chain homotopy,  $s$  between two chain maps,  $f, g \in \text{Hom}(C, D)_0$  is precisely an  $s \in \text{Hom}(C, D)_1$  with  $\partial s = f - g$ .)

The homology of  $\text{Hom}(C, D)$  is called the *hyper-cohomology of  $C$  with coefficients in  $D$* . The case where  $D_0 = A$  and  $D_n = 0$  if  $n \neq 0$  is the cohomology we saw earlier. In general  $H^0(\text{Hom}(C, D))$ , i.e. chain maps modulo coboundaries, is just the group of chain homotopy classes of chain maps by (ii) and (iii) above. As is usual in homological (and homotopical) algebra, we usually need good conditions on  $C$  and  $D$  to get really good invariants from this construction - typically  $C$  needs to be ‘projective’ or  $D$  ‘injective’, or  $C$  needs to be ‘fibrant’ or  $D$  ‘cofibrant’. Our use of this will be somewhat hidden by the situations we will be considering.

**Čech hyper-cohomology** The main type of application for us will be the ‘hyper’-version of Čech cohomology. In this, or at least in its simplest form, we have a space,  $X$ , and we form the colimit over the open covers,  $\mathcal{U}$ , of  $X$  of the hyper-cohomology groups  $H^n(C(\mathcal{U}), D)$ . In more detail:

The classical Čech cohomology of  $X$  with coefficients in a sheaf of  $R$ -modules,  $A$ , is defined via open covers  $\mathcal{U}$  of  $X$ . If  $\mathcal{U}$  is an open cover of  $X$ , then we form the chain complex  $C(\mathcal{U})$  by taking  $N(\mathcal{U})$ , the nerve of  $\mathcal{U}$ , and letting  $C(\mathcal{U})_n$  be the sheaf of free  $R$ -modules generated by  $N(\mathcal{U})_n$  with  $\partial = \sum_{k=0}^n (-1)^k d_k$  being the differential. This can either be thought of as a complex of (sheaves of)  $R$ -modules or in the straight forward module version. We take coefficients in another sheaf of  $R$ -modules,  $A$ , and form  $H^n(C(\mathcal{U}), A)$ .

If  $\mathcal{V}$  is a finer cover than  $\mathcal{U}$ , there is a chain map from  $C(\mathcal{V})$  to  $C(\mathcal{U})$ . Recall if  $\mathcal{V} < \mathcal{U}$ , for each  $V \in \mathcal{V}$ , there is a  $U \in \mathcal{U}$  with  $V \subseteq U$ , and  $(x, V_0, \dots, V_n) \in N(\mathcal{V})_n$ , we can map it to a corresponding  $(x, U_0, \dots, U_n) \in N(\mathcal{U})_n$  with each  $V_i \subseteq U_i$ . This is not well defined as several  $U$ s might do for a particular  $V$ , so the construction of the chain map involves a choice, however it induces firstly a chain map from  $C(\mathcal{V})$  to  $C(\mathcal{U})$ , which is determined up to (coherent) homotopy and thus a *well defined* map on cohomology,  $H^*(C(\mathcal{U}), A) \rightarrow H^*(C(\mathcal{V}), A)$ .

The Čech cohomology,  $\check{H}^*(X, A) = \text{colim}_{\mathcal{U}} H^*(C(\mathcal{U}), A)$ , was the first, historically, of the sheaf type cohomologies. Others apply to a topos rather than merely a space. The obvious hyper-variant



of this replaces  $A$  by a sheaf of chain complexes (of whatever variety you like, provided they are ‘Abelian’), so  $H^n(C(\mathcal{U}), D) = H^n(\text{Hom}(C(\mathcal{U}), D))$  and then  $\check{H}^*(X, D) = \text{colim}_{\mathcal{U}} H^*(C(\mathcal{U}), D)$ .

We should ‘deconstruct’ this a bit to see why it is relevant to us.

To simplify our lives no end, we will assume  $D$  is a presheaf of chain complexes of  $R$ -modules which is positive, ( $D_n = 0$  if  $n < 0$ ). By the formation of colimits of modules, etc., we can find for any element of  $\check{H}^*(X, D)$ , an open cover  $\mathcal{U}$  of  $X$  and a representing element in  $H^*(C(\mathcal{U}), D)$ . We can thus, further, find a representing  $n$ -cocycle from  $C(\mathcal{U})$  to  $D$ , i.e. an element in  $\prod_p \text{Hom}(C(\mathcal{U})_p, D_{n+p})$ .

To simplify still further, we look at low values of  $n$ :

- for  $n = 0$ , we have some  $\mathbf{f} = \{f_p : C(\mathcal{U})_p \rightarrow D_p\}$ , which satisfies  $\partial \mathbf{f} = 0$ , so  $\mathbf{f}$  forms a chain map. In our most interesting cases  $D$  is usually very short, e.g.  $D_n = 0$  if  $n > 1$ , so  $D = (D_1 \rightarrow D_0)$  with zeroes elsewhere in other dimensions. Then the only  $f_p$ s that contribute to  $\mathbf{f}$  are  $f_0$  and  $f_1$ . Over an open set  $U_i$  of the cover,  $f_0$  will be a local section,  $f_{0,i}$  of  $D_0$ , since 0-simplices of  $N(\mathcal{U})$  have form  $(x, U_i)$  over  $x \in U_i$ . Similarly 1-simplices are, of course, represented by  $(x, U_i, U_j)$  with  $x \in U_{ij}$ , so  $f_1$  corresponds to local sections  $f_{1,ij} : U_{ij} \rightarrow D_1$ . The boundary in  $C(\mathcal{U})$  of  $(x, U_i, U_j)$  is  $(x, U_j) - (x, U_i)$ , so

$$d^D f_{1,ij} = f_{0,j}(x) - f_{0,i}(x),$$

or

$$f_{0,j}(x) = d^D f_{1,ij} + f_{0,i}(x).$$

If we look at the non-Abelian analogue of this, it gives

$$f_{0,j}(x) = d^D f_{1,ij} \cdot f_{0,i}(x),$$

which ‘is’ the equation  $p_j = \partial(c_{ij})p_i$ . (You could explore the cases where  $D$  is slightly longer, or what about a non-Abelian version?)

- for  $n = 1$ , we expect to find a formula corresponding to the coboundaries that we met on ‘changing the local sections’ for  $M$ -bitorsors. If  $h$ , (yes, ‘ $h$ ’ as in ‘homotopy’) is a degree 1 map in  $\text{Hom}(C(\mathcal{U}), D)$  and  $D$  has length 1 as above, the only case that contributes is  $h_0 : C(\mathcal{U})_0 \rightarrow D_1$  and hence  $h_{0,i} : U_i \rightarrow D_1$ . You are left to check that this does give (the Abelian version of) the coboundary / chain homotopy formula.

**Non-Abelian Čech hyper-cohomology.** The idea should be fairly obvious in its general form. We replace our overall structural viewpoint of chain complexes or sheaves of such, by our favorite non-Abelian analogue. For instance, we could take  $D$  to be a sheaf of simplicial groups, or crossed complexes, or  $n$ -truncated simplicial groups or . . . . These would really include sheaves of 2-crossed modules and clearly we might try sheaves of 2-crossed complexes, and so on. Some of these classes of coefficient are very likely to turn out to be useful in the future if recent developments in algebraic and differential geometry are any indication. We cannot consider all of them here. The first is the easiest to deal with and to some extent includes the others. It is not structurally the neatest, but . . . .

If  $D$  is a sheaf of simplicial groups, then we might be tempted to replace  $C(\mathcal{U})$  by the free simplicial group sheaf on  $N(\mathcal{U})$ . It is very important to note that this is NOT the same as  $\mathcal{G}(N(\mathcal{U}))$  and we should pause to consider this point.

Let  $K$  be a simplicial set and  $G$  a simplicial group. The set of simplicial maps from  $K$  to the underlying simplicial set of  $G$  is isomorphic to  $\text{Simp.Grps}(FK, G)$  by the standard adjunction

between the free group functor,  $F$ , and the forgetful functor,  $U$  from  $Grps$  to  $Sets$ . Complications seem to arise if one tries to work with  $\underline{\mathcal{S}}(K, UG)$  and  $\underline{Simp.Grps}(FK, G)$ , as initially it need to be noted that  $\underline{\mathcal{S}}(K, UG) = \mathcal{S}(K \times \Delta[n], UG)$  and one has to think of the relationship between  $F(K \times \Delta[n])$  and  $F(K) \otimes \Delta[n]$ , the latter in the sense of our earlier discussion of tensoring in simplicially enriched categories. (This problem is, in fact, not really there, as although  $F$  does not preserve products, the product  $K \times \Delta[n]$  is actually being thought of, and constructed, as a colimit and  $F$ , as a left adjoint behaves, nicely with respect to such.) We will not explore that further here and will, in fact, stick with  $\underline{\mathcal{S}}(N(\mathcal{U}), D)$  rather than use  $F$ . (Note that by a result of Milnor,  $FK$  and  $\mathcal{G}SK$  are isomorphic for a reduced simplicial set  $K$ , where  $S$  is the reduced suspension; see [42] and the paper, [84], which can be found in Adams, [1].) The relationship between  $\underline{\mathcal{S}}(K, UG)$  and other related construction such as  $\underline{\mathcal{S}}(K, \overline{WG}) \cong \underline{\mathcal{S}}-Grpds(K, G)$  is given by the induced fibration sequence

$$\underline{\mathcal{S}}(K, UG) \rightarrow \underline{\mathcal{S}}(K, WG) \rightarrow \underline{\mathcal{S}}(K, \overline{WG})$$

coming from the fibration

$$UG \rightarrow WG \rightarrow \overline{WG}.$$

If we work within our favourite topos  $\mathcal{E}$ , or with bundles over  $B$ , this still holds true. It is also the case that  $WG$  is (naturally) contractible.

Returning to hyper-cohomology, let  $D$  be a sheaf of simplicial groups and form  $\underline{Simp.\mathcal{E}}(N(\mathcal{U}), U(D))$ . We put forward the homotopy groups of this simplicial group as being one analogue of  $H^*(C(\mathcal{U}), D)$  in this context. (If  $D$  is Abelian, it will be  $KD$  for some sheaf of chain complexes  $D$ , and the Dold-Kan theorem, plus the freeness of  $C(\mathcal{U})$ , give a correspondence between the elements in the two cases. Since we have  $\underline{Simp.\mathcal{E}}(N(\mathcal{U}), U(D))$  is a simplicial Abelian group in that case, its homotopy is its homology and the detailed correspondence passes down to homology without any pain. We thus do have a generalisation of the Abelian situation with our formula.)

We have  $\pi_n(\mathcal{U}, D) := \pi_n(\underline{Simp.\mathcal{E}}(N(\mathcal{U}), U(D)))$  is thus a candidate for a ‘non-Abelian’ Čech cohomology relative to  $\mathcal{U}$  with coefficients in  $D$ . (If  $n > 1$ , it is an Abelian group, which makes it suspiciously well behaved - in fact too well behaved! We really need not these  $\pi_n$ , but rather the various algebraic models for the various  $k$ -types of the homotopy type  $\underline{Simp.\mathcal{E}}(N(\mathcal{U}), U(D))$ , i.e. we could do with examining  $M(\underline{Simp.\mathcal{E}}(N(\mathcal{U}), U(D)), k)$ , the crossed  $k$ -cube of that simplicial group. (For those of you who hanker for the simple life, it should be pointed out that when discussing extensions we already had that there was a groupoid of extensions  $\mathcal{E}xt(G, K)$ , and although we could extract information from that groupoid to get cohomology groups, the natural invariant is really that groupoid, not the cohomology group as such. We can extract information from such an invariant, just as we can extract homotopy information from a homotopy type. To keep the information tractable we often truncate, or kill off, some of the structure to make the extraction process more amenable to calculation.)

We are, however, running before we can walk here! The case we have met earlier is for  $n = 0$ , i.e.  $[N(\mathcal{U}), D]$  and we could pass to the colimit over covers to get  $\check{H}^0(B, D)$ . This is without restriction on the sheaf of simplicial groups,  $D$ . Our earlier example was with  $D = K(M)$  for  $M = (C, P, \partial)$ , a sheaf of crossed modules. (Breen in [17] calls this the *zeroth cohomology of the crossed module*,  $M$ , but as it varies covariantly in  $M$  perhaps his later terminology, [20], as the *zeroth Čech non-Abelian cohomology of  $B$  with coefficients in  $M$* , is more appropriate.)

What about  $\check{H}^1(B, M)$ ? This will be  $colim_{\mathcal{U}} H^1(N(\mathcal{U}, M))$ , which is  $colim_{\mathcal{U}} \pi_1(\underline{Simp.\mathcal{E}}(N(\mathcal{U}), K(M)))$ . From the long exact fibration sequence, this will be isomorphic to  $colim_{\mathcal{U}} [N(\mathcal{U}), \overline{WK}(M)]$  and so

should classify some sort of simplicial  $K(\mathbf{M})$ -bundles on  $B$ . It does, but we need to wait until the next chapter for the details.

The set  $[N(\mathcal{U}), \overline{WK}(\mathbf{M})]$  has elements which are homotopy classes of maps from  $N(\mathcal{U})$  to  $\overline{WK}(\mathbf{M})$  and by the properties of the loop groupoid construction,  $\mathcal{G}$  of section 5.3, page 99, each such is adjoint to a morphism of sheaves of  $\mathcal{S}$ -groupoids from  $\mathcal{G}(N(\mathcal{U}))$  to  $K(\mathbf{M})$ . The category of crossed modules is equivalent to via  $K$  and  $M(-, 2)$  to a full reflective subcategory / variety of  $\mathcal{S}$ -Grpds, and this extends to sheaves, so the elements of  $[N(\mathcal{U}), \overline{WK}(\mathbf{M})]$  correspond to homotopy classes of crossed module morphisms from  $M(\mathcal{G}N(\mathcal{U}), 2)$  to  $\mathbf{M}$ . In particular, for nice spaces,  $B$ , one would expect there to be ‘nice’ covers  $\mathcal{U}$ , such that  $N(\mathcal{U})$  corresponded, via geometric realisation, to  $B$  itself, then taking  $\mathbf{M} = M(\mathcal{G}N(\mathcal{U}), 2)$  itself, one would have a sort of universal element in  $\check{H}^1(B, \mathbf{M})$ , corresponding in this level, to a universal simplicial sheaf over  $B$ , extending in part the construction and properties of the universal covering space. This argument is one form of the ‘evidence’ for believing Grothendieck’s intuition in ‘En Poursuite des Champs / Pursuing Stacks’, [59]. There seems no good reason why, for any nice class of simplicial groups, forming a variety,  $\mathcal{V}$ , and perhaps having some stability with respect to homotopy types, there should not be a ‘universal  $\mathcal{V}$ -stack’ over  $B$ . The above corresponds to the case of crossed modules, but crossed complexes and many of the other types of crossed objects that we have met earlier would seem to be relevant here. The main hole in our understanding of this is not really how to do it, rather it is how to interpret the theory once it is there. This form of crossed homotopical algebra would extend Galois theory to higher ‘levels’, but what do the invariants tell us algebraically?

That provides some overview of this general case, but in our earlier situation, with extensions of groups, we used a crossed resolution of a group,  $G$ , not a simplicial one. We have also mentioned once or twice that the category,  $Crs$ , of crossed complexes is monoidal closed. This would suggest (i) that given a topos  $\mathcal{E}$ , and, in particular, given a space  $B$  and  $\mathcal{E} = Sh(B)$ , the category of crossed complexes in  $\mathcal{E}$ , denoted  $Crs_{\mathcal{E}}$ , would be monoidal closed, (ii) there would be a free crossed complex on a cover / hypercover in  $\mathcal{E}$ , i.e., if we have a simplicial object  $K$  in  $\mathcal{E}$ , we would get a crossed complex objects  $\pi(K)$  and if  $K \rightarrow 1$  is a ‘weak equivalence’ then there would be a local contracting homotopy on  $\pi(K)$ , i.e.  $\pi(K) \rightarrow 1$  would be a ‘weak equivalence’ of crossed complex bundles (recall 1 is the terminal object of  $\mathcal{E}$ , so in the case of  $\mathcal{E} = Sh(B)$  is the singleton sheaf), then (iii) if  $Crs_{\mathcal{E}}$  denotes the internal ‘hom’ of crossed complex bundles, we would be looking at the model  $Crs_{\mathcal{E}}(\pi(K), D)$  for a crossed complex,  $D$ , in  $\mathcal{E}$  and would want the homotopy colimit of these over (hyper-)covers,  $K$ , so as to get a well-structured model. Of course, if  $\mathcal{E} = Sh(B)$  and we have ‘nice’ (hyper-)covers  $K$ , then we would expect the homotopy type of this to stabilise, up to homotopy, so  $Crs_{\mathcal{E}}(\pi(K), D)$  would be the same, up to homotopy, as that homotopy colimit. This plan almost certainly works, but in detail has not been followed through as yet. The first part looks very feasible given the construction of  $Crs(C, D)$  for (set based) crossed complexes,  $C$  and  $D$ . ( A source for this is Brown and Higgins, [25] and it is discussed with some detail in Kamps and Porter, [69], p.222-227.) We will not give the details here. The other parts also look to work as the set based originals are given by explicit constructions, all of which generalise to  $Sh(B)$ . If that does all work then one has a  $Crs$ -based ‘hyper-cohomology’ crossed complex  $Crs(B, D) = hocolim_K Crs(\pi(K), D)$ , whose homotopy groups represent the analogue of hyper-cohomology.

If you are wary of not having a group or groupoid as an ‘answer’ for what is this ‘hypercohomology’, think of various analogous situations. For instance, for total derived functor theory, in homological and homotopical algebra, from a functor you get a complex, but it is the homotopy type of that complex which is used, not just its homotopy groups. In algebraic K-theory, it is usual

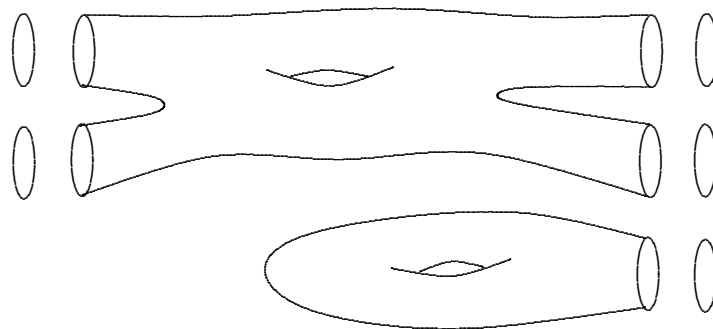
to refer to the algebraic K-theory of a ring as being the (homotopy type of) a simplicial set or space. The algebraic K-groups are then the homotopy invariants of that simplicial set. In other words, in ‘categorifying’, one naturally ends up with an object whose homotopy type encapsulates the invariants that you are mostly used to, but that object is the thing to work with, not just the invariants themselves.

# Chapter 7

## Topological Quantum Field Theories

### 7.1 What is a topological quantum field theory?

In Topological Quantum Field Theory one studies  $d$ -dimensional orientable smooth or piecewise linear manifolds and the  $(d + 1)$ -dimensional (orientable) cobordisms between them, pictured, for  $d = 1$  as:



$$X = S^1 \sqcup S^1$$

$$M : X \longrightarrow Y$$

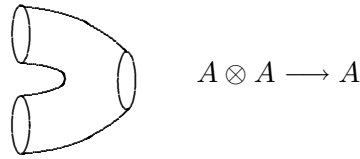
$$Y = S^1 \sqcup S^1 \sqcup S^1$$

but write  $Y = S^1 \otimes S^1 \otimes S^1$

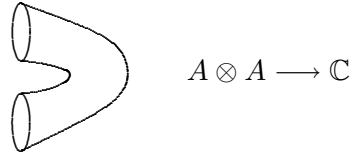
After some technical difficulties, one shows these form a category  $d$ -Cobord. This has a monoidal category structure given by disjoint union,  $\sqcup$ , but which will be written as a tensor,  $\otimes$ . (In the above picture, in the case  $d = 1$ ,  $M = M_1 \otimes M_2$ , where  $M_1 : X \rightarrow Y_1 = S^1 \otimes S^1$ ,  $M_2 : \emptyset \rightarrow Y_2 = S^1$  and  $Y = Y_1 \otimes Y_2$ .)

**Definition:** A TQFT is a monoidal functor  $Z : d$ -Cobord  $\rightarrow Vect^\otimes$  or more generally to  $R$ -Mod $^\otimes$ , so  $Z$  preserves  $\otimes$  and  $Z(\emptyset) = \mathbb{C}$ .

An interesting simple case is  $d = 1$ . Clearly any 1-manifold is a disjoint union  $X = (S^1)^{\otimes n}$  for some  $n \geq 0$ , so  $Z(X) = Z(S^1)^{\otimes n}$ , and much of the structure of  $Z$  will be about this vector space  $Z(S^1)$ , which we will denote by  $A$ . This has a natural algebra structure given by:



and dually a coalgebra structure. It has a pairing



Checking we get that  $A$  has a Frobenius algebra structure, see [74].

How can we construct such TQFTs? What happens if  $d = 2$ ? What algebra structures are revealed?

### 7.2 How can we construct TQFTs? ... from a finite group

One method of generation is based on simplicial lattices or triangulations. First we work with triangulations of the oriented manifolds and cobordisms (The version here and in the next few sections is based in a construction of Dave Yetter, [104, 105], see also the papers, [95, 96]. The original idea is discussed quite fully in the first of the two papers by Yetter. It is a version of a construction due to Dijkgraaf and Witten, [48].)

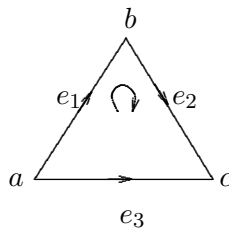
Fix a finite group,  $G$ , and let  $X$  be a space with triangulation  $T$ .

**Definition:** A  $G$ -colouring of  $T$  is a map

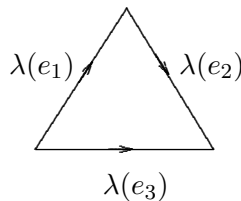
$$\lambda : T_1 \rightarrow G$$

such that given  $\sigma \in T_2$ ,  $\lambda(e_1)^{\varepsilon_1} \lambda(e_2)^{\varepsilon_2} \lambda(e_3)^{\varepsilon_3} = 1$ , whenever  $\partial\sigma = e_1^{\varepsilon_1} e_2^{\varepsilon_2} e_3^{\varepsilon_3}$ .

**Picture:** To simplify, assume the orientation is given and the vertices of  $T$  are ordered, so if we write  $\sigma = (a, b, c)$  then  $a < b < c$  and the order is compatible with the orientation



gives



with  $\lambda(e_1)\lambda(e_2)\lambda(e_3)^{-1} = 1$ .

The intuition is: looking at  $G$ -valued functions on edges, integrating around a triangle is to give you nothing. The  $G$ -valued functions concerned are typically those associated with transition functions of a bundle, usually of  $G$ -sets, i.e., a  $G$ -torsor or principal  $G$ -bundle. That intuition then corresponds to problems where a  $G$ -bundle on  $M$  is specified by charts and the elements  $g, h, k$ , etc. are transition automorphisms of the fibre. The construction methods for the TQFT then use manipulations of the pictures as the triangulation is changed by subdivision, etc.

Another closely related view of this is to consider continuous functions  $f : M \rightarrow BG$  to the classifying space of  $G$ . If we triangulate  $M$ , we can assume that  $f$  is a cellular map using a suitable cellular model of  $BG$  and at the cost of replacing  $f$  by a homotopic map and perhaps subdividing the triangulation. From this perspective the previous model is a combinatorial description of such a continuous ‘characteristic’ map,  $f$ . The edges of the triangulation pick up group elements since the end points of each edge get mapped to the base point of  $BG$ , and  $\pi_1 BG \cong G$ , whilst the faces give a realisation of the cocycle condition. Likewise we can use a labelled decomposition of the objects as regular CW-complexes.

### 7.3 From triangulations to coverings and ‘bundles’

Earlier we mentioned that the intuition behind the finite group case was linked to the transition functions of a  $G$ -torsor or  $G$ -principal bundle. There one has an open cover over which the bundle is assumed to trivialise. By this we mean that we have a cover  $\mathcal{U} = \{U_\alpha : \alpha \in A\}$ , say, of a space  $X$  and a ‘bundle’  $p : Y \rightarrow X$  such that if we restrict to a  $U_\alpha$ ,  $p^{-1}(U_\alpha) \rightarrow U_\alpha$  is just the projection of a product  $U_\alpha \times F \rightarrow U_\alpha$  for some ‘fibre’  $F$ . In other words the bundle is locally trivial. The usual way this is handled is something like the following. (We will repeat some material from earlier in the notes, but from a different perspective.)

If the space  $X$  is a polyhedron then we can easily obtain a link between nerves and triangulations so as to connect up this ‘observational’ idea with the ‘imposition’ of a triangulation. We can define the *star of a vertex*  $v$  by

$$st(v) = \bigcup \{Int|s| \mid v \text{ is a vertex of } s\},$$

the union of the interiors of those simplices that have  $v$  as a vertex. These vertex stars give an open covering of  $|K|$  and the following classical result tells us that the nerve of this covering is  $K$  itself (up to isomorphism):

**Proposition 34** (cf. Spanier [101], p. 114)

Let  $X$  be a polyhedron and let  $\mathcal{U} = \{st(v) \mid v \in V(K)\}$  be the open cover of  $X$  by vertex stars. The vertex map  $\phi$  from  $K$  to  $N(\mathcal{U})$  defined by

$$\phi(v) = \langle st(v) \rangle$$

is a simplicial isomorphism

$$\phi : K \cong N(\mathcal{U}).$$

■

As an example, suppose a triangle, as simplicial complex, has vertices

$$V(K) = \{v_0, v_1, v_2\}$$

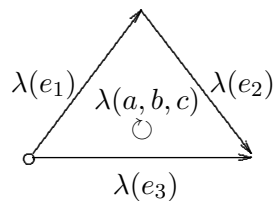
and simplices  $\{v_0\}, \{v_1\}, \{v_2\}, \{v_0, v_1\}, \{v_0, v_2\}, \{v_1, v_2\}$ . (This is the *triangle* not the *2-simplex*, so there is no 2-dimensional face.) This obviously provides a triangulation of the circle,  $S^1$ , and this can be done in such a way that the vertex star covering of  $S^1$  that results is precisely that considered in example 1.

The above result, and the example, illustrate that for polyhedra (and thus for triangulated manifolds), an approach via open coverings is at least as strong as that via triangulations. Triangulations give open coverings that themselves give back the triangulation. If we on the other hand start with an open covering, can we always find a triangulation that is finer than it in the sense that any open star of a vertex is completely within some open set of the covering. The following classical result (for instance in Spanier, [101], p.125) tells us that we can and hence that for polyhedra the two approaches, triangulations and open coverings are, in fact, of equal strength:

**Theorem 8** *Let  $\mathcal{U}$  be any open covering of a (compact) polyhedron  $X$ . Then  $X$  has triangulations finer than  $\mathcal{U}$ .* ■

## 7.4 How can we construct TQFTs? ... from a finite crossed module

In Yetter's construction of a TQFT, he replaced the finite group  $G$  by a finite crossed module  $M = (C, P, \partial)$ . It should be fairly clear, given the route we have taken so far, how we can treat this from our perspective. We look at  $M$ -colourings as being an assignment of elements of  $P$  to edges of a triangulation, elements of  $C$  to the 2-simplexes with a boundary condition, and any tetrahedra giving some cocycle condition. In pictures:





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