# Amenability, interval exchange transformations and groups of dynamical origin

Kate Juschenko

Northwestern University

**Banach-Tarski Paradox, 1924:** There exists a decomposition of a ball into a finite number of non-overlapping pieces, which can be assembleed together into two identical copies of the original ball.

























Hausdorff Paradox, 1914: The unit sphere can be decomposed into finitely many pieces in a way that rotating these pieces we can obtain two unit spheres.

**Def:** An action of a group *G* on a set *X* is **paradoxical** if there exist a pairwise disjoint subsets  $A_1, \ldots, A_n, B_1, \ldots, B_m$  in *X* and there exist  $g_1, \ldots, g_n, h_1, \ldots, h_m$  in *G* such that

$$X = \bigcup_{i=1}^n g_i A_i = \bigcup_{j=1}^m h_j B_j.$$

A group *G* is **paradoxical**, if its left action on itself is paradoxical.

If group *G* is paradoxical then any free action (gx = x only if g = e) is paradoxical.

**Hausdorff:** The free group on two generators is paradoxical, and SO(3) contains it.

Let  $\langle a, b \rangle = \mathbb{F}_2$  be the free non-abelian group, and  $\omega(x)$  the set of all reduced words in  $\mathbb{F}_2$  that start with *x*.

$$\mathbb{F}_2 = \{e\} \cup \omega(a) \cup \omega(a^{-1}) \cup \omega(b) \cup \omega(b^{-1}).$$

Since  $\mathbb{F}_2 \setminus \omega(x) = x \omega(x^{-1})$  for all *x* in  $\{a, a^{-1}, b, b^{-1}\}$  we have a paradoxical decomposition:

$$\mathbb{F}_2 = \omega(a) \cup a\omega(a^{-1}) = \omega(b) \cup b\omega(b^{-1}).$$

Hausdorff: These are free

$$T^{\pm 1} = \begin{pmatrix} \frac{1}{3} & \mp \frac{2\sqrt{2}}{3} & 0\\ \pm \frac{2\sqrt{2}}{3} & \frac{1}{3} & 0\\ 0 & 0 & 1 \end{pmatrix}$$
$$R^{\pm 1} = \begin{pmatrix} 1 & 0 & 0\\ 0 & \frac{1}{3} & \mp \frac{2\sqrt{2}}{3}\\ 0 & \pm \frac{2\sqrt{2}}{3} & \frac{1}{3} \end{pmatrix}$$





Amenability!

# Definition

An action of a discrete group *G* on a set *X* is **amenable** if there exists a map  $\mu : \mathcal{P}(X) \to [0, 1]$  such that

1. 
$$\mu(X) = 1$$
,  $\mu$  is finitely additive

2. 
$$\mu(gE) = \mu(E)$$
 for all  $E \subset X$  and  $g \in G$ .

## Definition

*G* is **amenable** if the action of *G* on itself by left multiplication is amenable.

**Crucial:** If a group is amenable then all its actions are amenable.

### Amenable actions are not paradoxical!

 $A_1, \ldots, A_n, B_1, \ldots, B_m \subset X$  and  $g_1, \ldots, g_n, h_1, \ldots, h_m$  in *G* such that

$$X = \bigcup_{i=1}^{n} g_i A_i = \bigcup_{j=1}^{m} h_j B_j.$$

$$1 = \mu(X) \ge \mu(\bigcup_{i=1}^{n} A_i) + \mu(\bigcup_{i=1}^{m} B_j) = \sum_{i=1}^{n} \mu(A_i) + \sum_{j=1}^{m} \mu(B_j)$$
$$= \sum_{i=1}^{n} \mu(g_i A_i) + \sum_{j=1}^{m} \mu(h_j B_j)$$
$$\ge \mu(\bigcup_{i=1}^{n} g_i A_i) + \mu(\bigcup_{j=1}^{m} h_j B_j) = 2$$



Tarski: A group is not amenable if and only if it is paradoxical.

## Basic set of equivalent definitions:

The following are equivalent to amenability:

- there exists a G-invariant finitely additive probability measure;
- there exists a G-invariant mean, i.e., m ∈ l<sup>∞</sup>(G) such that m(χ<sub>G</sub>) = 1, m(f) ≥ 0 for every f ≥ 0;
- *Reiter's condition* For any finite subset *E* ⊂ *G* and ε > 0, there exists *m* ∈ *l*<sup>1</sup>(*G*) with ||*m*||<sub>1</sub> = 1

 $\|g.m - m\|_1 \le \epsilon$  for every  $g \in E$ ;

Følner condition. For any finite subset E ⊂ G and ε > 0, there exists a finite subset F ⊂ G such that

 $|gF\Delta F| \leq \varepsilon |F|$  for all  $g \in E$ .

### Example:

- finite groups;
- ▶  $\mathbb{Z}$  is amenable, since [-n, n] is a Følner set.
- Groups of subexponential growth, i.e.,

$$\limsup_n |B_n(S)|^{1/n} = 1.$$

In particular, all abelian groups are amenable.

#### More definitions of non-amenability:

G is finitely generated group.

$$N(E) = S \cdot E$$

**Gromov's doubling condition:** There exists a finite generating set *S*, such that for every finite set  $E \subset G$ 

$$|N(E)| \ge 2|E|$$











### Elementary amenable groups

# Definition

The class of **elementary amenable groups** is the smallest class which contains all finite and abelian groups and closed under taking subgroups, quotients, extensions and direct limits.

**von Neumann-Day problem, '57:** find non-elementary amenable group.

# Properties of elementary amenable groups (mainly due to Chou)

- Finitely generated elementary amenable group has either polynomial or exponential growth;
- Finitely generated torsion groups are not elementary amenable;
- Simple and finitely generated groups are not elementary amenable.

Grigorchuk, '83: Grigorchuk's group of intermediate group

(Grigorchuk, Zuk '02)+(amenability proof of Bartholdi, Virag '05): Basilica group

Juschenko, Monod '12+ Matui 10': the full topological group of Cantor minimal system

**Juschenko, '15:** Many subgroups of automorphism group of the tree. Most notable: all branch groups

Juschenko, Matte Bon, Monod, de la Salle '15 + Chorniy, Juschenko, Nekrashaevych, '15: Many subgroups of interval exchange transformation group.

**Nekrashevych, '16:** subgroups of the full topological groups. In particular, simple Burnside groups, simple groups of intermediate growth

**Currently know examples:** subgroups of automorphisms of the tree or full topological of minimal  $\mathbb{Z}$  or  $\mathbb{Z}^2$  actions.

Interval exchange transformation group



Interval exchange is an orientation preserving left-continuous rearrangement of intervals of [0, 1)



We may also think transformations of arcs of  $\mathbb{R}/\mathbb{Z}$ .

# Katok's Conjecture: interval exchange transformation group contains $\mathbb{F}_2$

**Difficulty:** the growth of orbits of the action on  $\mathbb{R}/\mathbb{Z}$  is polynomial.



A permutation  $\sigma$  is called *admissible* if there is no such *m* with  $\sigma(m) = m$  and  $\{1, ..., m-1\}$  is  $\sigma$ -invariant. Let  $IET_a$  be the set of all interval exchanges with admissible underlying permutation.

# Theorem (Dahmani-Fujiwara-Guirardel, '11) There is a dense open subset of $IET_a \times IET$ such that the

group generated by any pair of it is not free.

## **Topological full groups**

Let *G* be a group acting by homeomorphisms on a topological space  $\mathcal{X}$ .

**The full topological group** of the action, [[*G*]], is the group of all homeomorphisms *h* of  $\mathcal{X}$  such that for every  $x \in \mathcal{X}$  there exists a neighborhood of *x* such that restriction of *h* to that neighborhood is equal to restriction of an element of *G*.



This defines a Cantor space with continuous action.

Let  $\Gamma_{\theta_1,\ldots,\theta_n}$  be the group of all iet that piece-wise act as a fixed family of rotations  $\mathcal{R}_{\alpha_1},\ldots,\mathcal{R}_{\alpha_n}$ .

$$\Gamma_{\theta_1,\ldots,\theta_n} = [[\mathcal{R}_{\alpha_1},\ldots,\mathcal{R}_{\alpha_n}]]$$

In particular, we have every finitely generated subgroup of IET is a subgroup of  $[[\mathbb{Z}^d \oplus F]]$ , for some *d* and  $|F| < \infty$ . Moreover, we can assume that the action is minimal.

de Cornulier's conjecture: IET is amenable.

Difficulty:

- ► IET is not *elementary amenable*;
- For every d ≥ 2 there are minimal actions of Z<sup>d</sup> with non-amenable [[Z<sup>d</sup>]].

# Theorem (Juschenko-Monod, '13, Annals of Math)

For minimal actions of  $\mathbb{Z}$  on the Cantor space, the group  $[[\mathbb{Z}]]$  is amenable. In particular,  $\Gamma_{\theta}$  for irrational  $\theta$  is amenable.

Vorobets, '11: IET' is simple.

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Chorniy, Juschenko, Nekrashaevych, '16: \Gamma'_{\theta_1,...,\theta_n} < IET is simple and finitely generated.
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(Methods are based on a techniques of Matui for  $[[\mathbb{Z}]]'$ )

The group is not finitely presented, it's LEF.

Juschenko, Matte Bon, Monod, de la Salle ('15, J. Ergodic Theory and Dyn Syst.):

 $\Gamma_{\theta_1,\ldots,\theta_n}$  is amenable provided that the group generated by  $\theta_1,\ldots,\theta_n$  is virtually  $\mathbb{Z}^2$ .

### Amenable and faithful actions of non-amenable groups

Let  $W(\mathbb{Z}^d)$  be **the wobbling group** of integers, i.e.  $W(\mathbb{Z})^d$  consists of all bijections  $g : \mathbb{Z}^d \to \mathbb{Z}^d$  such that

||g(x) - x|| is uniformly bounded.

Obviously, the action of  $W(\mathbb{Z})$  on  $\mathbb{Z}$  admits an invariant mean.

### van Douwen: $\mathbb{F}_2 < W(\mathbb{Z})$ .

### Fact

Assume that the action of G on X is amenable and  $Stab_G(x)$  is amenable for every x in X, then G is amenable.

### Extensively amenable actions

### Fact

Assume that the action of G on X is amenable and  $Stab_G(x)$  is amenable for every x in X, then G is amenable.

 $G \curvearrowright X$  is **extensively amenable** if one of the following equivalent conditions hold:

- The action of  $\bigoplus_X \mathbb{Z}_2 \rtimes G$  on  $\bigoplus_X \mathbb{Z}_2$  is amenable;
- ► The action of G on P<sub>f</sub>(X) admits an G-invariant mean giving the full weight to the collection of sets containing p;

Fact

G is amenable iff  $\bigoplus_X \mathbb{Z}_2 \rtimes G$  is amenable.

Theorem (J-Nekrashevych-de la Salle, '14, Invent. Math.) If  $G \curvearrowright X$  recurrent then it is extensively amenable.

If there exists an increasing to *X* sequence of subsets  $X_i$  such that  $\sum_i |\partial X_i|^{-1} = \infty$  then the action is recurrent.

### Fact

Let F be a functor from the category of sets to the category of amenable groups, which maps each finite set to a finite group. If the action of  $\Gamma$  on X is extensively amenable, then the action of  $F(X) \rtimes \Gamma$  on F(X) is extensively amenable.

### Example

$$\Gamma \frown X$$
, we define a functor as  $F(X) = \bigoplus_X \mathbb{Z}/2\mathbb{Z}$ .

### Example

For a set X we define a functor F(X) = Sym(X), the group of finitely supported permutations of a set X, on which  $\Gamma$  acts by conjugation.

# Theorem (J-Nekrashevych-de la Salle) The action of $W(Z^d) \curvearrowright \mathbb{Z}^d$ is extensively amenable for d = 1, 2.

 $IET(\Lambda)$  the subgroup of all  $g \in IET$  so that the angle gx - x is in  $\Lambda$  for every  $x \in \mathbb{R}/\mathbb{Z}$ .

Define a finitely supported bijection of  $\mathbb{R}/\mathbb{Z}$ :

$$\tau_g = \hat{g}^{-1}g,$$

where  $\hat{g}$  is the right-continuous bijection uniquely defined by g.

$$\iota \colon \textit{IET} o \textit{Sym}_\infty(\mathbb{R}/\mathbb{Z}) 
times \textit{IET} \ g \mapsto ( au_g, g)$$

Theorem (J-Matte Bon-Monod-de la Salle) Let  $\Lambda$  be finitely generated subgroup of  $\mathbb{R}/\mathbb{Z}$ . If rank( $\Lambda$ )  $\leq$  2, then IET( $\Lambda$ ) is amenable.



# Thank you