

The Grothendieck Construction for Double Categories

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University of Ottawa Logic Seminar, Oct 22, 2020

Double Categories, the concise and the expanded definition

- A **double category** is an internal category in **Cat**,

$$C_1 \times_{C_0} C_1 \xrightarrow{\circ} C_1 \begin{array}{c} \xrightarrow{s} \\ \xleftarrow{t} \\ \xrightarrow{t} \end{array} C_0 .$$

- It has
 - **objects** (objects of C_0),
 - **vertical arrows** (arrows of C_0), denoted $A \xrightarrow{u} A'$,
 - **horizontal arrows** (objects of C_1), denoted $A \xrightarrow{f} B$,
 - **double cells** (arrows of C_1), denoted

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ \downarrow u & \alpha & \downarrow v \\ A' & \xrightarrow{f'} & B' \end{array}$$

Compositions and identities

- Since C_0 is a category, we have vertical compositions $u' \bullet u : A \xrightarrow{u} A' \xrightarrow{u'} A''$ and identities $A \xrightarrow{id_A} A$.
- Since C_1 is a category too, we have vertical compositions (pasting)

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 \downarrow u & \alpha & \downarrow v \\
 A' & \xrightarrow{f'} & B' \\
 \downarrow u' & \alpha' & \downarrow v' \\
 A'' & \xrightarrow{f''} & B''
 \end{array}
 \quad \text{and identities} \quad
 \begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 \downarrow id_A & id_f & \downarrow id_B \\
 A & \xrightarrow{f} & B
 \end{array}$$

Compositions and identities

Since $C_1 \times_{C_0} C_1 \xrightarrow{\circ} C_1 \xleftarrow{1} C_0$ are functors:

- horizontal arrows form a category too, we have thus $g \circ f : A \xrightarrow{f} B \xrightarrow{g} C$ and identities $A \xrightarrow{1_A} A$.
- double cells can also be pasted horizontally

$$\beta \circ \alpha : \begin{array}{ccccc} A & \xrightarrow{f} & B & \xrightarrow{g} & C \\ \downarrow u & \alpha & \downarrow v & \beta & \downarrow w \\ A' & \xrightarrow{f'} & B' & \xrightarrow{g'} & C' \end{array} \quad \text{with identities} \quad \begin{array}{ccccc} A & \xrightarrow{1_A} & A \\ \downarrow u & 1_u & \downarrow u \\ A' & \xrightarrow{1_{A'}} & A' \end{array}$$

Middle four interchange

Since $C_1 \times_{C_0} C_1 \xrightarrow{\circ} C_1$ is a functor, it commutes with composition. That means that given two arrows of $C_1 \times_{C_0} C_1$

$$\begin{array}{c}
 (g, f) \\
 \downarrow (\beta, \alpha) \\
 (g', f') \\
 \downarrow (\beta', \alpha') \\
 (g'', f'')
 \end{array}$$

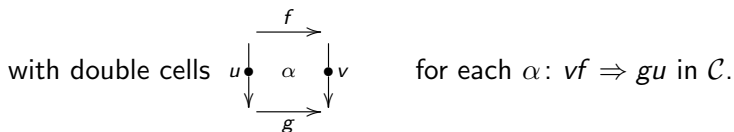
i.e. a configuration

$$\begin{array}{ccccc}
 A & \xrightarrow{f} & B & \xrightarrow{g} & C \\
 u \downarrow & \alpha & \downarrow v & \beta & \downarrow w \\
 A' & \xrightarrow{f'} & B' & \xrightarrow{g'} & C' \\
 u' \downarrow & \alpha' & \downarrow v' & \beta' & \downarrow w' \\
 A'' & \xrightarrow{f''} & B'' & \xrightarrow{g''} & C''
 \end{array}$$

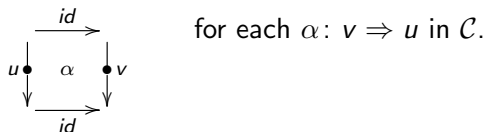
$$\text{we have } (\beta' \circ \alpha') \bullet (\beta \circ \alpha) = (\beta' \bullet \beta) \circ (\alpha' \bullet \alpha)$$

Examples

- 1 A 2-category can be defined as a double category in which every horizontal arrow is an identity. It has 2-cells $\alpha : v \Rightarrow u$.
- 2 For any 2-category \mathcal{C} , $\mathbb{Q}(\mathcal{C})$ is the double category of quintets in \mathcal{C} ,



- 3 $\mathbb{V}(\mathcal{C})$ is the double category with double cells



- 4 More generally, if Σ is a 1-subcategory of \mathcal{C} , in $\mathbb{Q}^{\Sigma}(\mathcal{C}) \subseteq \mathbb{Q}(\mathcal{C})$ we require the horizontal arrows to be in Σ . ($\mathbb{Q}^{\{id\}}(\mathcal{C}) = \mathbb{V}(\mathcal{C})$)
- 5 The double category $\mathbb{H}(\mathcal{C})$ is defined analogously.

The category **DbICat** - Definition

The category **DbICat** of double categories has:

- **objects**: double categories $\mathbb{C}, \mathbb{D}, \dots$;
- **arrows**: double functors F, G, \dots ;
- **transformations**: these come in two *flavors*:
 - a **horizontal transformation** $\gamma: F \Rightarrow G$ is given by

$$\begin{array}{ccc} FA & \xrightarrow{\gamma^A} & GA \\ \downarrow F_V & \gamma_V & \downarrow G_V \\ FB & \xrightarrow{\gamma^B} & GB \end{array} \quad \text{for each } A \text{ in } \text{dom}(F)$$

functorial in the vertical direction and natural in the horizontal direction.

- **vertical transformations** $\nu: F \rightrightarrows G$ are defined dually;
- **modifications** given by a family of double cells.

The category **DbICat** - Properties

- **DbICat** is not a double category;
- a double category has two types of arrows, and **DbICat** has only one;
- a double category has one type of 2-cell, and **DbICat** has two;
- **DbICat** is enriched in double categories: **DbICat**(\mathbb{C}, \mathbb{D}) is a double category.

Review of the Grothendieck construction \rightsquigarrow Questions

Whiteboard

- Can we do this for $F: \mathbb{D} \rightarrow \mathbf{DbICat}$?
- What sort of colimit do we get?
- What's the relation to other pre-existing constructions?

Double Index Functors

- We would like to have a double functor $F: \mathbb{D} \rightarrow \mathbf{DbICat}$.
- So we need to build a double category out of \mathbf{DbICat} .
- We first make the 2-category \mathbf{DbICat}_v of double categories, double functors and **vertical** transformations.
- And then apply the quintet construction to get the double category $\mathbb{Q}\mathbf{DbICat}_v$.
- So a **double index functor** is a double functor $F: \mathbb{D} \rightarrow \mathbb{Q}\mathbf{DbICat}_v$.
- We will also call this a **vertical double functor** $\mathbb{D} \rightarrow \mathbf{DbICat}$.
- It looks as if at this point we have lost most of the horizontal data.

Double Transformations

- We regain use of some of the horizontal structure in the definition of **double transformation** between double index functors

$$F, G: \mathbb{D} \rightarrow \mathbb{Q}\mathbf{DbICat}_v.$$

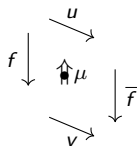
- Analogous to the set-up for bicategories, we will define these transformations in terms of a double category of *cylinders*, $\mathbf{Cyl}_v(\mathbf{DbICat})$.

Whiteboard

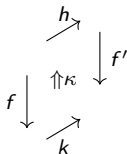
The Double Category of (Vertical) Cylinders

The double category $\text{Cyl}_v(\mathbf{DbICat})$ of vertical cylinders has

- **Objects** f are arrows of \mathbf{DbICat} , $\downarrow f$.
- **Vertical arrows** $f \xrightarrow{(u, \mu, v)} \bar{f}$ are given by vertical transformations,



- **Horizontal arrows** are given by horizontal transformations,



Double Cylinders

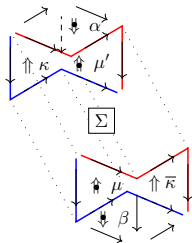
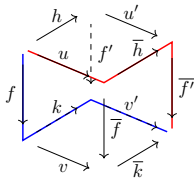
A double cell, $(u, \mu, \nu) \downarrow (\alpha, \Sigma, \beta) \downarrow (u', \mu', \nu')$ is given by two vertical

$$\begin{array}{ccc} f & \xrightarrow{(h, \kappa, k)} & f' \\ \downarrow & & \downarrow \\ \bar{f} & \xrightarrow{(\bar{h}, \bar{\kappa}, \bar{k})} & \bar{f}' \end{array}$$

transformations $\begin{array}{ccc} h & & u' \\ \swarrow & \Downarrow & \searrow \\ & \alpha & \\ \swarrow & & \searrow \\ u & & \bar{h} \end{array}, \quad \begin{array}{ccc} k & & v' \\ \swarrow & \Downarrow & \searrow \\ & \beta & \\ \swarrow & & \searrow \\ v & & \bar{k} \end{array}$

and a modification Σ ,

$$\begin{array}{ccc} v'kf & \xrightarrow{v'\kappa} & v'f'h \\ \Downarrow \beta f & & \Downarrow \mu'h \\ \bar{k}vf & \Sigma & \bar{f}'u'h \\ \Downarrow \bar{k}\mu & & \Downarrow \bar{f}'\alpha \\ \bar{k} \bar{f}u & \xrightarrow{\bar{\kappa}u} & \bar{f}' \bar{h}u \end{array}$$



Cylinders lead to double lax transformations

- There are vertical double functors $\pi_0, \pi_1: \text{Cyl}_V(\mathbf{DbICat}) \rightarrow \mathbf{DbICat}$, projecting to the top and the bottom of each cylinder respectively;
- A **double lax transformation** $\theta: F \Rightarrow G$ between vertical double functors $F, G: \mathbb{D} \rightarrow \mathbf{DbICat}$ is given by a double functor

$$\theta: \mathbb{D} \rightarrow \text{Cyl}_V(\mathbf{DbICat}), \quad \text{with } \pi_0\theta = F, \pi_1\theta = G.$$

- For each A :

$$\begin{array}{c} FA \\ \theta_A \downarrow \\ GA \end{array} .$$

- For each u :

$$\begin{array}{ccc} FA & \xrightarrow{Fu} & FA' \\ \theta_A \downarrow & \uparrow \theta_u & \downarrow \theta_{A'} \\ GA & \xrightarrow{Gu'} & GA' \end{array}$$

- For each f :

$$\begin{array}{ccc} & \xrightarrow{Ff} & FB \\ FA & \nearrow & \downarrow \theta_B \\ \theta_A \downarrow & \uparrow \theta_f & \\ GA & \nearrow & GB \\ & \xrightarrow{Gf} & \end{array}$$

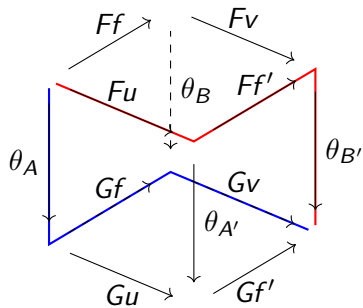
, and...

A Double Lax Transformation $\theta: F \Rightarrow G$

For each double cell

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 u \downarrow & \alpha & \downarrow v \\
 A' & \xrightarrow{f'} & B'
 \end{array}$$

$$\begin{array}{ccc}
 GvGf\theta_A & \xrightarrow{Gv\theta_f} & Gv\theta_B Ff \\
 \Downarrow G\alpha\theta_A & & \Downarrow \theta_v Ff \\
 Gf'Gu\theta_A & \xrightarrow{\theta_\alpha} & \theta_{B'} FvFf \\
 \Downarrow Gf'\theta_u & & \Downarrow \theta_{B'} F\alpha \\
 Gf'\theta_{A'} Fu & \xrightarrow{\theta_{f'} Fu} & \theta_{B'} Ff' Fu
 \end{array}$$



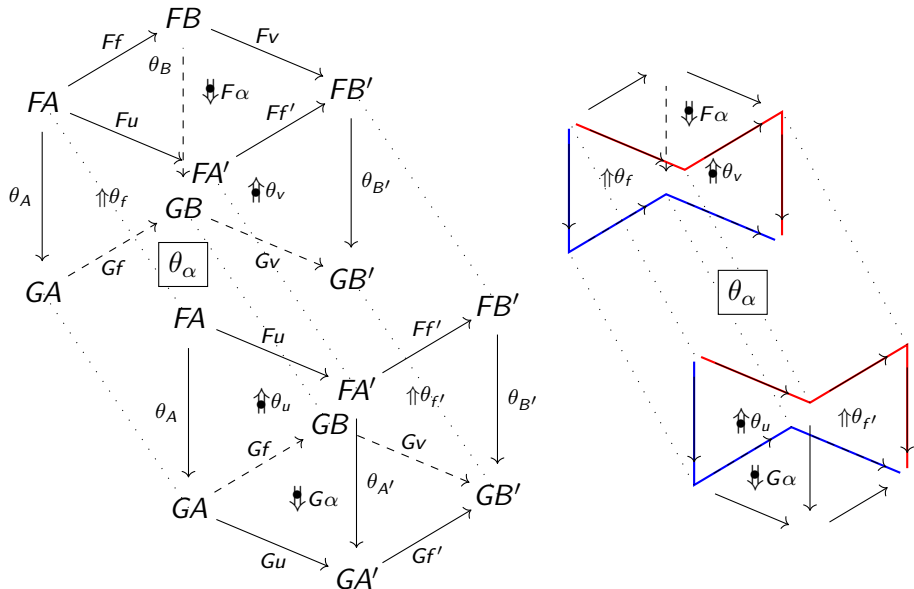
Double Lax Cones

Let $F : \mathbb{D} \rightarrow \mathbf{DbICat}$ be a vertical double functor and $\mathbb{E} \in \mathbf{DbICat}$. A **double lax (co)cone** for F , with vertex \mathbb{E} , is a double lax transformation

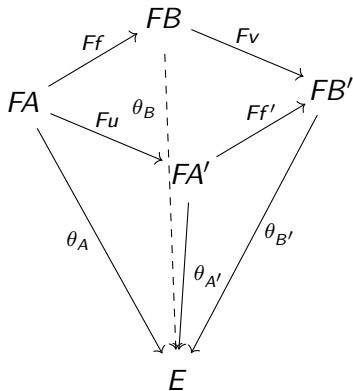
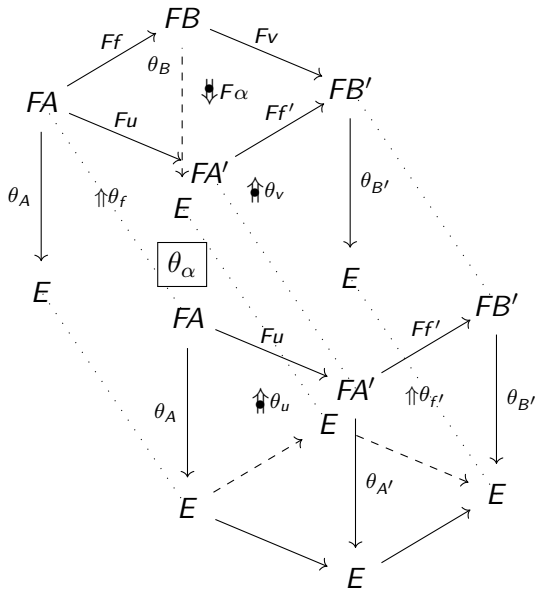
$$F \xRightarrow{\theta} \Delta \mathbb{E}$$

A **double lax colimit** of F is a *universal* double lax cone $F \xRightarrow{\lambda} \Delta \mathbb{L}$.

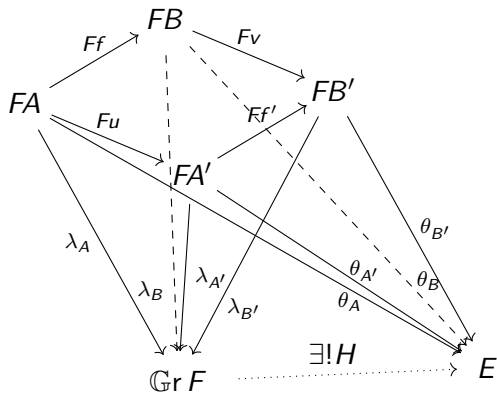
Recall the general double lax natural (6 double cells, one triple cell):



A double lax cone (5 double cells, one triple cell):



The double lax colimit of F :



$$H\lambda_A = \theta_A, \quad H\lambda_u = \theta_u, \quad H\lambda_f = \theta_f, \quad H\lambda_\alpha = \theta_\alpha$$

(for all A, u, f, α !)

Examples “It matters how we index”

- If \mathcal{A} is a 2-category, then a 2-functor $\mathcal{A} \xrightarrow{F} \mathbf{DbICat}_V$ can be seen as a vertical double functor $\mathbb{V}\mathcal{A} \xrightarrow{F} \mathbf{DbICat}$ and as $\mathbb{H}\mathcal{A} \xrightarrow{F} \mathbf{DbICat}$.
- For $\mathbb{H}\mathcal{A} \xrightarrow{F} \mathbf{DbICat}$, we see that a lax cone is given by:
 - double functors $FA \xrightarrow{\theta_A} E$,
 - horizontal transformations $\theta_A \xRightarrow{\theta_f} \theta_B Ff$,
 - for each 2-cell $f \xRightarrow{\alpha} f'$ of \mathcal{A} , a modification θ_α ,

$$\begin{array}{ccc}
 \theta_A & \xRightarrow{\theta_f} & \theta_B Ff \\
 \Downarrow \text{id} & \theta_\alpha & \Downarrow \theta_B F\alpha \\
 \theta_A & \xRightarrow{\theta_{f'}} & \theta_B Ff'
 \end{array}$$

- For $\mathbb{V}\mathcal{A} \xrightarrow{F} \mathbf{DbICat}$, the 2-cells θ_f are required to be vertical, thus the triple cells θ_α are triple cells of \mathbf{DbICat}_V . Now everything is vertical! This is a *lax tricolimit* in the 3-category \mathbf{DbICat}_V .

The Double Grothendieck Construction: Objects and Arrows

Let \mathbb{D} be a double category, and let $\mathbb{D} \xrightarrow{F} \mathbf{QDbICat}_V$ be a double functor. The **double category of elements**, $\mathbb{G}r F = \mathbb{E}l F = \int_{\mathbb{D}} F$, is defined by:

- **Objects:** (C, X) with C in \mathbb{D} and X in FC ,
- **Vertical arrows:**

$$(C, X) \xrightarrow{\bullet} (C', X'),$$

where $C \xrightarrow{u} C'$ in \mathbb{D} and $FuX \xrightarrow{\rho} X'$ in FC' .

- **Horizontal arrows:**

$$(C, X) \xrightarrow{\longrightarrow} (D, Y),$$

where $C \xrightarrow{f} D$ in \mathbb{D} , and $FfX \xrightarrow{\varphi} Y$ in FD .

The Double Grothendieck Construction: Double Cells

- $(C, X) \xrightarrow{(f, \varphi)} (D, Y)$
 $(u, \rho) \bullet \quad (\alpha, \Phi) \quad \bullet (v, \lambda)$, where $\alpha : (u \xrightarrow{f} v)$ is a double
 $(C', X') \xrightarrow{(f', \varphi')} (D', Y')$
 cell in \mathbb{D} and Φ is a double cell in FD' :

$$\begin{array}{ccc}
 FvFfX & \xrightarrow{Fv\varphi} & FvY \\
 (F\alpha)_X \bullet \downarrow & & \downarrow \bullet \\
 Ff'FuX & \Phi & \bullet \\
 Ff'\rho \bullet \downarrow & & \downarrow \\
 Ff'X' & \xrightarrow{\varphi'} & Y'
 \end{array}$$

Examples

Let A be a 2-category and $F: A \rightarrow \mathbf{2-Cat}$ a 2-functor. There are several ways to construct a double index functor: “first compose, then apply \mathbb{Q} , and then (optional) restrict”

$$\textcircled{1} \quad A \xrightarrow{F} \mathbf{2-Cat} \xrightarrow{\mathbb{V}, \mathbb{Q}, \mathbb{Q}^{op}} \mathbf{DbICat}_v$$

$$\textcircled{2} \quad \mathbb{Q}(A) \rightarrow \mathbb{Q}(\mathbf{DbICat}_v)$$

$\textcircled{3}$ Restrict to $\mathbb{H}(A)$ or $\mathbb{V}(A)$

- $\int_{\mathbb{V}A} \mathbb{Q}(\mathbb{V} \circ F) = \int_{\mathbb{V}A} \mathbb{V}(\mathbb{V} \circ F) = \mathbb{V} \int_A F$ (Bakovic, Buckley)
- $\int_{\mathbb{Q}A} \mathbb{Q}(\mathbb{Q} \circ F) = \mathbb{Q} \int_A F$
- $\int_{\mathbb{Q}A} \mathbb{Q}(\mathbb{V} \circ F) = \mathbb{Q}^{\{cart\}} \int_A F$ (only the cartesian arrows horizontally)
- $\int_{\mathbb{H}A} \mathbb{Q}(\mathbb{V} \circ F) = \mathbb{E}I(F)$ (Pare)
- $\int_{\mathbb{Q}A} \mathbb{Q}(\mathbb{Q}^{op} \circ F) = F \wr F^{op}$ (Myers)

Factorization

- Any horizontal arrow (f, φ) can be factored as $(A, x) \xrightarrow{(f, id)} (B, Ffx) \xrightarrow{(id, \varphi)} (B, y)$.
- Any vertical arrow (u, ρ) can be factored as $(A, x) \xrightarrow{(u, id)} (A', Fux) \xrightarrow{(id, \rho)} (A', x')$.
- And any double cell (α, Φ) can be factored as

$$\begin{array}{ccccc}
 (A, x) & \xrightarrow{(f, id)} & (B, Ffx) & \xrightarrow{(id, \varphi)} & (B, y) \\
 \downarrow (u, id) & & \downarrow (v, id) & \text{blue } (id, id) & \downarrow (v, id) \\
 & \text{red } (\alpha, id) & (B', FvFfx) & \xrightarrow{(id, Fv\varphi)} & (B', Fvy) \\
 & & \downarrow (id, (F\alpha)_x) & & \downarrow (id, \lambda) \\
 (A', Fux) & \xrightarrow{(f', id)} & (B', Ff'Fux) & \text{green } (id, \Phi) & \\
 \downarrow (id, \rho) & & \downarrow (id, Ff'\rho) & & \\
 (A', x') & \xrightarrow{(f', id)} & (B', Ff'x') & \xrightarrow{(id, \varphi')} & (B', y')
 \end{array}$$

$\mathbb{G}r F$ as a double lax cone

For $F: \mathbb{D} \rightarrow \mathbf{DbICat}$ a vertical double functor, construct $F \xrightarrow{\lambda} \Delta \mathbb{G}r F$ as follows:

- Double functors $FA \xrightarrow{\lambda_A} \mathbb{G}r F: \lambda_A(-) = (A, -)$.
- Vertical transformations $\lambda_A \xrightarrow{\lambda_u} \lambda_{A'}Fu$: for each $x \in FA$, resp. $x \xrightarrow{\varphi} y$:

$$\begin{array}{ccc}
 (A, x) & & (A, x) \xrightarrow{(id, \varphi)} (A, y) \\
 \downarrow \bullet (\lambda_u)_x = (u, id) & & \downarrow \bullet (u, id) \\
 (A', F\varphi x) & & (A', Fu x) \xrightarrow{(id, Fu\varphi)} (A', Fuy)
 \end{array}$$

$(\lambda_u)_\varphi = (id, id)$

Gr F as a double lax cone

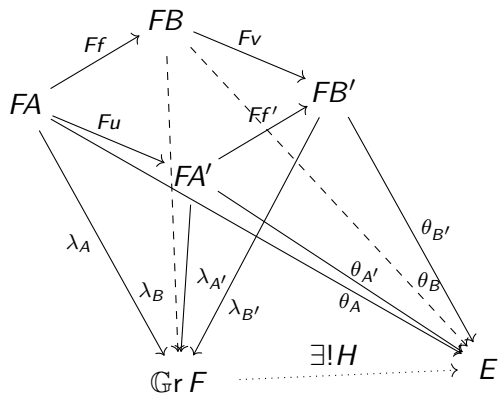
- Hor. transformations $\lambda_A \xRightarrow{\lambda_f} \lambda_B Ff$: for each $x \in FA$, resp. $x \xrightarrow{\rho} x'$:

$$\begin{array}{ccc}
 (A, x) & \xrightarrow{(\lambda_f)_x=(f, id)} & (B, Ffx) \\
 & & \downarrow (id, \rho) \quad \downarrow (id, Ff\rho) \\
 (A, x) & \xrightarrow{(\lambda_f)_x=(f, id)} & (B, Ffx) \\
 & & \downarrow (id, \rho) \quad \downarrow (id, Ff\rho) \\
 (A, x') & \xrightarrow{(f, id)} & (B, Ffx')
 \end{array}$$

- The modifications λ_α , given for each $x \in FA$:

$$\begin{array}{ccc}
 \lambda_A \xRightarrow{\lambda_f} \lambda_B Ff & & (A, x) \xrightarrow{(f, id)} (B, Ffx) \\
 \downarrow \lambda_u & \lambda_\alpha & \downarrow (u, id) \\
 \lambda_{A'} Fu \xRightarrow{\lambda_{f'} Fu} \lambda_{B'} Ff' Fu & & (A', Fux) \xrightarrow{(f', id)} (B', Ff' Fux) \\
 \downarrow \lambda_{B'} F\alpha & & \downarrow (id, (F\alpha)_x) \\
 \lambda_{B'} Fv Ff & & (B', Fv Ffx) \\
 \downarrow \lambda_{B'} F\alpha & & \downarrow (v, id) \\
 \lambda_{B'} Fv Ff & & (B', Fv Ffx)
 \end{array}$$

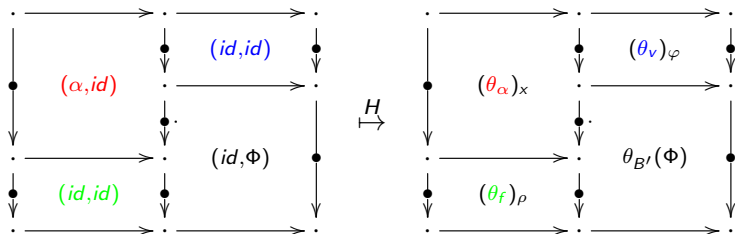
Theorem: $\mathbb{G}r F$ is the double lax colimit of F (in DblCat)



$$H\lambda_A = \theta_A, \quad H\lambda_u = \theta_u, \quad H\lambda_f = \theta_f, \quad H\lambda_\alpha = \theta_\alpha$$

Factorization gives H on double cells

Recall that any double cell (α, Φ) can be factored as



this is why this works

A corollary: Recall that $\int_{\mathbb{V}_A} \mathbb{V}(\mathbb{V} \circ F) = \mathbb{V} \int_A F$. We obtain that $\int_A F$ is the *lax tricolimit* of F in 2-Cat . Looking at the other examples gives other universal properties of those constructions.

Thank you!