

# Topological Invariants for G-kernels and Group Actions

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# G-kernels

Let  $G$  be a countable discrete group and let  $A$  be a unital  $C^*$ -algebra. An **action** of  $G$  on  $A$  is a homomorphism

$$G \rightarrow \text{Aut}(A) .$$

Consider the following related notion:

## Definition

A *G-kernel* (or *anomalous action*) is a group homomorphism

$$\bar{\alpha}: G \rightarrow \text{Out}(A) ,$$

where  $\text{Out}(A) = \text{Aut}(A)/\text{Inn}(A)$  is the group of **outer automorphisms**.

# Cocycle actions

## Definition

A *cocycle action*  $(\alpha, u)$  of  $G$  on  $A$  consists of two maps

$$\alpha: G \rightarrow \text{Aut}(A) \quad , \quad u: G \times G \rightarrow U(A) \quad ,$$

such that for all  $g, h, k \in G$

$$\begin{aligned} \alpha_g \circ \alpha_h &= \text{Ad}(u_{g,h}) \circ \alpha_{gh} \quad , \\ \alpha_g(u_{h,k})u_{g,hk} &= u_{g,h}u_{gh,k} \quad . \end{aligned}$$

- Second equation can be derived from associativity, compare

$$(\alpha_g \circ \alpha_h) \circ \alpha_k \quad \text{and} \quad \alpha_g \circ (\alpha_h \circ \alpha_k) \quad .$$

# The lifting obstruction

Let  $\bar{\alpha}: G \rightarrow \text{Out}(A)$  be a  $G$ -kernel. Try **lifting** to a cocycle action ...

- Lift  $\bar{\alpha}$  to a **map**  $\alpha: G \rightarrow \text{Aut}(A)$ .
- Since  $\bar{\alpha}$  is a group homomorphism, for each pair  $g, h \in G$  we have **unitaries**  $u_{g,h} \in U(A)$  such that

$$\alpha_g \circ \alpha_h = \text{Ad}(u_{g,h}) \circ \alpha_{gh} .$$

- The associativity constraint for  $u_{g,h}$  is in general **not** satisfied.
- However, for every  $g, h, k \in G$  we have  **$\omega_{g,h,k} \in Z(U(A))$**  such that

$$\alpha_g(u_{h,k})u_{g,hk} = \omega_{g,h,k} u_{g,h}u_{gh,k} .$$

## The lifting obstruction (continued)

- The map  $\omega: G \times G \times G \rightarrow Z(U(A))$  is a **cocycle** representing an element

$$\text{ob}(\bar{\alpha}) = [\omega] \in H^3(G, Z(U(A))) .$$

### Lemma

The **class**  $\text{ob}(\bar{\alpha})$  does not depend on the choice of unitaries.  
It vanishes if and only if  $\bar{\alpha}$  **lifts to a cocycle action**  $(\alpha, u)$ .

# $G$ -kernels on the hyperfinite $II_1$ -factor

## Theorem (Connes, Jones, Ocneanu)

Let  $A = R$  be the *hyperfinite  $II_1$ -factor* and let  $G$  be a discrete amenable group.

1 The obstruction

$$\text{ob}(\bar{\alpha}) \in H^3(G, \mathbb{T})$$

is a *complete invariant* for injective  $G$ -kernels up to conjugacy.

2 For every class  $[\omega] \in H^3(G, \mathbb{T})$  there is an injective  $G$ -kernel  $\bar{\alpha}: G \rightarrow \text{Out}(R)$  such that  $\text{ob}(\bar{\alpha}) = [\omega]$ .

# G-kernels on strongly self-absorbing $C^*$ -algebras

## Theorem (Evington, Girón Pacheco)

Suppose there exists a G-kernel  $\bar{\alpha}: G \rightarrow \text{Out}(\mathcal{Z})$  on the Jiang-Su algebra  $\mathcal{Z}$ . Then

$$\text{ob}(\bar{\alpha}) = 0 \in H^3(G, \mathbb{T}) .$$

## Theorem (Evington, Girón Pacheco)

Let  $G$  be a finite group. Let

$$D = \bigotimes_{k \in \mathbb{N}} M_{n_k}(\mathbb{C}) .$$

Let  $r$  be the order of  $\text{ob}(\bar{\alpha}) \in H^3(G, \mathbb{T})$ . If  $\bar{\alpha}: G \rightarrow \text{Out}(D)$  is a G-kernel, then  $r^\infty$  divides the supernatural number  $\prod_{k \in \mathbb{N}} n_k$ .

# Izumi's invariant

Let  $A$  be a unital  $C^*$ -algebra such that

- $U(A)$  is **path-connected** and  $Z(U(A)) = \mathbb{T}$ ,
- $\pi_1(U(A)) \rightarrow K_0(A)$  is an **isomorphism**.

**Examples:**  $\mathcal{Z}$ ,  $\mathcal{O}_n$  (including  $\mathcal{O}_\infty$ ), UHF-algebras,  $\text{UHF} \otimes \mathcal{O}_\infty$ , ...

Define

$$K_0^\#(A) = \{\gamma: [0, 1] \rightarrow U(A) : f(0) = 1_A, f(1) \in \mathbb{T}\} / U(SA)_0 .$$

This group fits into the short exact sequence

$$1 \longrightarrow K_0(A) \longrightarrow K_0^\#(A) \xrightarrow{\text{ev}_1} \mathbb{T} \longrightarrow 1 .$$



## Izumi's invariant (continued)

Define

$$K_0^\#(A) = \{\gamma: [0, 1] \rightarrow U(A) : \gamma(0) = 1_A, \gamma(1) \in \mathbb{T}\} / U(SA)_0 .$$

Let  $\bar{\alpha}: G \rightarrow \text{Out}(A)$  be a  $G$ -kernel.

- Let  $\alpha: G \rightarrow \text{Aut}(A)$  and  $u: G \times G \rightarrow U(A)$  be [as before](#).
- For each pair  $g, h \in G$  pick a path  $\tilde{u}_{g,h}: [0, 1] \rightarrow U(A)$  with

$$\tilde{u}_{g,h}(0) = 1_A \quad \text{and} \quad \tilde{u}_{g,h}(1) = u_{g,h} .$$

- The paths (obtained by [point-wise multiplication](#))

$$\alpha_g(\tilde{u}_{h,k}) \tilde{u}_{g,hk} \tilde{u}_{gh,k}^* \tilde{u}_{g,h}^*$$

define a [cocycle](#) representing an element  $\widetilde{\text{ob}}(\bar{\alpha}) \in H^3(G, K_0^\#(A))$ .

# Topological crossed modules

## Definition

A (*topological*) *crossed module*  $\mathcal{G} = (\Gamma_0, \Gamma_1, \partial)$  is a **morphism**

$$\partial: \Gamma_1 \rightarrow \Gamma_0$$

of topological groups, and a continuous **left action** of  $\Gamma_0$  on  $\Gamma_1$  (denoted by  $\alpha(u)$  for  $\alpha \in \Gamma_0$  and  $u \in \Gamma_1$ ), which satisfy

- 1  $\partial(\alpha(u)) = \alpha \partial(u) \alpha^{-1}$  for all  $\alpha \in \Gamma_0$  and  $u \in \Gamma_1$ ,
- 2  $\partial(u)(v) = u v u^{-1}$  for all  $u, v \in \Gamma_1$ .

## First examples

- 1  $\Gamma_0 = \{1\}$ ,  $\Gamma_1$  abelian,
- 2  $\Gamma_1$  a normal subgroup of  $\Gamma_0$ .

# Cohomology with coefficients in $\mathcal{G}$

## Definition

A *1-cocycle on  $G$  with values in  $\mathcal{G}$*  consists of a pair  $(\alpha, u)$ , where  $\alpha: G \rightarrow \Gamma_0$  and  $u: G \times G \rightarrow \Gamma_1$  satisfying the following conditions

$$\begin{aligned}\alpha_g \alpha_h &= \partial(u_{g,h}) \alpha_{gh} , \\ \alpha_g(u_{h,k}) u_{g,hk} &= u_{g,h} u_{gh,k} .\end{aligned}$$

Two cocycles  $(\alpha^{(0)}, u^{(0)})$  and  $(\alpha^{(1)}, u^{(1)})$  are *cohomologous* if  $\exists \gamma \in \Gamma_0$  and  $w: G \rightarrow \Gamma_1$  with:

$$\begin{aligned}\alpha_g^{(1)} &= \partial w_g \gamma \alpha_g^{(0)} \gamma^{-1} \\ u_{g,h}^{(1)} &= w_g \gamma (\alpha_g^{(0)} (\gamma^{-1}(w_h))) u_{g,h}^{(0)} w_{gh}^{-1}\end{aligned}$$

Define  $H^1(G, \mathcal{G}) = Z^1(G, \mathcal{G}) / \sim$ . In general this is **not a group!**

# Crossed modules associated to $C^*$ -algebras

Let  $A$  be a unital  $C^*$ -algebra.

## Examples

- 1  $\mathcal{G}_A = (\text{Aut}(A), U(A), \text{Ad})$  with  $\text{Ad}(u)(a) = uau^*$  and the canonical action of  $\text{Aut}(A)$  on  $U(A)$ .

$$H^1(G, \mathcal{G}_A) \xleftarrow{1:1} \{\text{cocycle actions on } A\} / \sim_{cc}$$

- 2  $P\mathcal{G}_A = (\text{Aut}(A), PU(A), \text{Ad})$  with  $PU(A) = U(A)/Z(U(A))$ .

$$H^1(G, P\mathcal{G}_A) \xleftarrow{1:1} \{G\text{-kernels on } A\} / \sim_c$$

- 3 If  $U(A)$  is connected, then  $\tilde{\mathcal{G}}_A = (\text{Aut}(A), \widetilde{U(A)}, \widetilde{\text{Ad}})$  where  $\widetilde{U(A)}$  is the universal cover of  $U(A)$ .

## Revisiting the lifting obstructions

Let  $A$  be a unital  $C^*$ -algebra and let  $\mathcal{Z}_A = (\{1\}, Z(U(A)), \text{triv})$ .

The [short exact sequence of crossed modules](#)

$$\begin{array}{ccccc} Z(U(A)) & \longrightarrow & U(A) & \longrightarrow & PU(A) \\ \downarrow & & \downarrow_{\text{Ad}} & & \downarrow_{\text{Ad}} \\ 1 & \longrightarrow & \text{Aut}(A) & \xlongequal{\quad} & \text{Aut}(A) \end{array}$$

gives rise to a long(-ish) [exact sequence of pointed sets](#)

$$\dots \longrightarrow H^1(G, \mathcal{Z}_A) \longrightarrow H^1(G, \mathcal{G}_A) \longrightarrow H^1(G, P\mathcal{G}_A) \xrightarrow{\text{ob}} H^2(G, \mathcal{Z}_A)$$

Note that  $H^2(G, \mathcal{Z}_A)$  only exists, because  $Z(U(A))$  is abelian. In fact,

$$H^2(G, \mathcal{Z}_A) \cong H^3(G, Z(U(A))) .$$

## Revisiting the lifting obstructions (continued)

Let  $A$  be a unital  $C^*$ -algebra such that

- $U(A)$  is connected and  $Z(U(A)) = \mathbb{T}$ ,
- $\pi_1(U(A)) \rightarrow K_0(A)$  is an isomorphism.

It turns out that the group  $K_0^\#(A)$  fits into an [exact sequence of crossed modules](#) of the form

$$\begin{array}{ccccc} K_0^\#(A) & \longrightarrow & \widetilde{U(A)} & \xrightarrow{\pi} & PU(A) \\ \downarrow & & \downarrow \widetilde{\text{Ad}} & & \downarrow \text{Ad} \\ 1 & \longrightarrow & \text{Aut}(A) & \xlongequal{\quad} & \text{Aut}(A) \end{array}$$

which gives rise to an [exact sequence of pointed sets](#)

$$\dots \rightarrow H^2(G, K_0^\#(A)) \rightarrow H^1(G, \widetilde{\mathcal{G}}_A) \rightarrow H^1(G, P\mathcal{G}_A) \xrightarrow{\text{ob}} H^3(G, K_0^\#(A))$$

# The special unitary group $SU_\tau(A)$

## Definition

Let  $\tau: A \rightarrow \mathbb{C}$  be a tracial state on the unital  $C^*$ -algebra  $A$ . Define

$$SU_\tau(A) = \{u = e^{ih_1} \cdots e^{ih_n} : \text{for some } n \in \mathbb{N}, h_i \in A^{sa} \cap \ker(\tau)\}$$

## Theorem (Girón Pacheco, Izumi, P.)

Suppose that  $\pi_1(U(A)) \rightarrow K_0(A)$  is *surjective*, then

$$SU_\tau(A) = \ker(\Delta_\tau) \cap U(A)_0$$

where  $\Delta_\tau: U(A)_0 \rightarrow \mathbb{R}/\tau_*(K_0(A))$  is the *de la Harpe-Skandalis determinant*.

# The special unitary group $SU_\tau(A)$ (continued)

Let  $A$  be a unital  $C^*$ -algebra with **tracial state**  $\tau$  such that

- $U(A)$  is connected and  $Z(U(A)) = \mathbb{T}$ ,
- $\pi_1(U(A)) \rightarrow K_0(A)$  is an isomorphism,
- $\tau_* : K_0(A) \rightarrow \mathbb{R}$  is **injective**.

## Theorem (Girón Pacheco, Izumi, P.)

Let  $A$  be *as above*. Then

- 1  $SU_\tau(A)$  is simply-connected,
- 2  $\widetilde{U(A)} \cong SU_\tau(A) \times \mathbb{R}$ ,
- 3 we have a short exact sequence

$$1 \longrightarrow e^{2\pi i\tau_*(K_0(A))} \longrightarrow SU_\tau(A) \longrightarrow PU(A) \longrightarrow 1$$



# The tracial lifting obstruction

Let  $S\mathcal{G}_A = (\text{Aut}(A), SU_\tau(A), \text{Ad})$ . The [extension](#) of crossed modules

$$\begin{array}{ccccc}
 e^{2\pi i\tau_*(K_0(A))} & \longrightarrow & SU_\tau(A) & \xrightarrow{\pi} & PU(A) \\
 \downarrow & & \downarrow & & \downarrow \text{Ad} \\
 1 & \longrightarrow & \text{Aut}(A) & \xlongequal{\quad} & \text{Aut}(A)
 \end{array}$$

gives rise to the [tracial lifting obstruction](#)

$$H^1(G, S\mathcal{G}_A) \longrightarrow H^1(G, P\mathcal{G}_A) \xrightarrow{\text{ob}_\tau} H^3(G, e^{2\pi i\tau_*(K_0(A))})$$

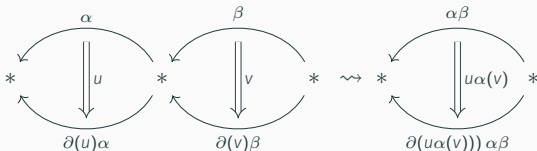
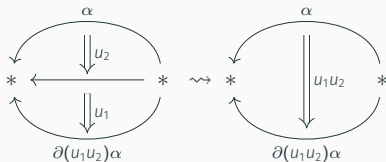
Let  $S_{\mathbb{R}}\mathcal{G} = (\text{Aut}(A), SU_\tau(A) \times \mathbb{R}, \text{Ad})$ . The following diagram commutes

$$\begin{array}{ccccc}
 H^1(G, S_{\mathbb{R}}\mathcal{G}_A) & \longrightarrow & H^1(G, P\mathcal{G}_A) & \xrightarrow{(\text{ob}_\tau, 0)} & H^3(G, e^{2\pi i\tau_*(K_0(A))} \times \mathbb{R}) \\
 \downarrow \cong & & \parallel & & \downarrow \cong \\
 H^1(G, \tilde{\mathcal{G}}_A) & \longrightarrow & H^1(G, P\mathcal{G}_A) & \xrightarrow{\tilde{\text{ob}}} & H^3(G, K_0^\#(A))
 \end{array}$$

# Crossed modules and 2-categories

(top.) crossed module  $\mathcal{G} = (\Gamma_0, \Gamma_1, \partial) \rightsquigarrow$  (top.) 2-category

- a single object (denoted  $*$ ),
- 1-morphisms given by  $\Gamma_0$ ,
- 2-morphisms given by  $\Gamma_0 \rtimes \Gamma_1$ ,  
 where  $(\alpha, u) \in \Gamma_0 \rtimes \Gamma_1$  gives a 2-morphism  $\alpha \Rightarrow \partial(u)\alpha$ .



# Classifying space of $\mathcal{G}$

Let  $\mathcal{G} = (\Gamma_0, \Gamma_1, \partial)$  be a crossed module. The spaces

$$N_n^D(\mathcal{G}) = \text{Fun}_{\text{su}}([n], \mathcal{G}) .$$

given by strictly unital pseudofunctors  $[n] \rightarrow \mathcal{G}$  form a [simplicial space](#) called the [Duskin nerve](#) of  $\mathcal{G}$ . We have

$$N_0^D(\mathcal{G}) = * \quad \text{and} \quad N_1^D(\mathcal{G}) = \Gamma_0 .$$

The space  $N_2^D(\mathcal{G})$  consists of triangles of the form

$$\begin{array}{ccc} * & \xleftarrow{\alpha_{02}} & * \\ & \swarrow \alpha_{01} & \searrow \alpha_{12} \\ & & * \\ & \Uparrow u_{012} & \end{array}$$

(in particular:  $\partial(u_{012}) \alpha_{02} = \alpha_{01} \alpha_{12}$ )

# Classifying space of $\mathcal{G}$ (continued)

## Definition

The *classifying space*  $B^D\mathcal{G}$  is the geometric realisation of  $N_*^D(\mathcal{G})$ , i.e.

$$B^D\mathcal{G} = |N_*^D(\mathcal{G})| .$$

Each 1-cocycle  $(\alpha, u) \in Z^1(G, \mathcal{G})$  induces a pseudofunctor  $G \rightarrow \mathcal{G}$ . Applying the Duskin nerve and geometric realisation gives a map

$$BG \rightarrow B^D\mathcal{G} .$$

## Lemma

*This provides a well-defined natural transformation of pointed sets*

$$H^1(G, \mathcal{G}) \rightarrow [BG, B^D\mathcal{G}]$$

# Classifying space of $\mathcal{G}$ (continued)

This allows us to study the lifting obstructions by mapping them to [homotopy sets](#). For example,

$$\begin{array}{ccccc} H^1(G, \tilde{\mathcal{G}}_A) & \longrightarrow & H^1(G, P\mathcal{G}_A) & \xrightarrow{\tilde{\text{ob}}} & H^3(G, K_0^\#(A)) \\ \downarrow & & \downarrow & & \downarrow \\ [BG, B^D\tilde{\mathcal{G}}_A] & \longrightarrow & [BG, B^DP\tilde{\mathcal{G}}_A] & \longrightarrow & [BG, B^D(K_0^\#(A) \rightarrow \{1\})] \end{array}$$

## Caveats:

- The upper sequence is an exact sequence of pointed sets. There is **no reason** why this should be true for the lower sequence.
- For integer coefficients  $H^1(G, \mathbb{Z}) \cong H^1(BG, \mathbb{Z}) \cong [BG, B\mathbb{Z}]$ . This is **no longer true** for our crossed module coefficients.

# Principal bundles and stabilisation

Let  $D$  be a strongly self-absorbing  $C^*$ -algebra with  $K_1(D) = 0$  and let  $(\alpha, u)$  be a cocycle action of  $G$  on  $D$ .

For an appropriate choice of  $V_g \in U(\ell^2(G))$  (depending on  $u_g$  and  $\alpha_g$ )

$$g \mapsto \hat{\alpha}_g = \text{Ad}(V_g) \circ (\alpha_g \otimes \text{id})$$

is an action of  $G$  on  $D \otimes \mathbb{K} = D \otimes \mathbb{K}(\ell^2(G))$ . Let

$$P_{\hat{\alpha}} = EG \times_{\hat{\alpha}} \text{Aut}_0(D \otimes \mathbb{K}) \rightarrow BG$$

## Theorem (Izumi, Meyer, Gabe-Szabo)

Let  $D$  be a strongly self-absorbing Kirchberg algebra, let  $G$  be countable discrete amenable, such that  $BG$  has a finite CW-complex model. Then

$$\{\text{outer cocycle actions of } G \text{ on } D\} / \sim_{cc} \xleftarrow{1:1} [BG, B\text{Aut}_0(D \otimes \mathbb{K})]$$

# Classification via cohomology theories

Let  $D$  be a strongly self-absorbing  $C^*$ -algebra as above.

## Theorem (Dadarlat-P.)

*We have that  $[X, B\text{Aut}_0(D \otimes \mathbb{K})]$  is a group with respect to  $\otimes_X$ . If  $D \neq \mathbb{C}$ , then*

$$[X, B\text{Aut}_0(D \otimes \mathbb{K})] \cong [X, BSL_1(KU^D)] .$$

## Conjecture

There is a weak homotopy equivalence

$$B^D \mathcal{G}_D \simeq B\text{Aut}_0(D \otimes \mathbb{K})$$

and  $H^1(G, \mathcal{G}_D) \rightarrow [BG, B^D \mathcal{G}_D] \cong [BG, B\text{Aut}_0(D \otimes \mathbb{K})]$  is the map

$$[(\alpha, u)] \mapsto [P_{\hat{\alpha}}] .$$

Moreover,  $B^D \mathcal{G}_D$ ,  $B^D P\mathcal{G}_D$  and  $B^D \tilde{\mathcal{G}}_D$  are infinite loop spaces.

## Group actions and equivariant bundles

Let  $G$  be a **finite group** and let  $H$  be a **countable** group. An action

$$\theta: G \times H \rightarrow \text{Aut}(D \otimes \mathbb{K})$$

gives rise to an equivariant principal bundle

$$E(G \times H) \times_{\theta|_H} \text{Aut}(D \otimes \mathbb{K}) \rightarrow B_G H$$

where  $B_G H = E(G \times H)/H$  is the equivariant classifying space of  $H$ .  
These are classified by

$$[B_G H, B\text{Aut}(D \otimes \mathbb{K})]^G$$



## Group actions and equivariant bundles (continued)

Let  $G = \mathbb{Z}/p\mathbb{Z}$  for a prime  $p$ . Let  $V$  be a finite-dimensional unitary  $G$ -representation and

$$D = \text{End}(V)^{\otimes \infty}$$

Let  $\mathbb{K}$  be the compact operators on a full  $G$ -universe.

### Theorem (Bianchi,P)

The group  $\text{Aut}(D \otimes \mathbb{K})$  is a  *$G$ -equivariant infinite loop space*. The associated  $G$ -equivariant cohomology theory  $E_D^*(X)$  satisfies

$$E_D^*(X) \cong gl_1(KU^D)_+^*(X)$$

and  $E_{D,G}^1(X) \cong [X, B\text{Aut}(D \otimes \mathbb{K})]^G$ .

Thank you!